

NATIONAL ASSEMBLY FOR WALES

S T A T U T O R Y I N S T R U M E N T S

2004 No. (W.)

EDUCATION, WALES

**The Education (Individual Pupil
Information) (Prescribed Persons)
(Wales) Regulations 2004**

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations revoke and replace the Education (Individual Pupil Information) (Prescribed Persons) (Wales) Regulations 1999.

They prescribe which persons may be provided with “individual pupil information” under section 537A(4) and (5) of the Education Act 1996 (regulation 3).

These Regulations also prescribe which individual pupil information may be provided by “information collators”. Applying the definition of an information collator in section 537A(9) to Wales, an information collator is any body which, for the purposes of or in connection with the education functions of the National Assembly for Wales, is responsible for collating or checking information relating to pupils. The information which they may provide by these Regulations relates to the educational achievements of pupils in any National Curriculum assessment of pupils in the first, second or third key stage, any GCSE subjects, any GCE “A” level examinations, any GCE “AS” examinations, any GNVQ subjects and any course of study provided to pupils of compulsory school age in a school maintained by a local education authority leading to a qualification which is approved by the National Assembly for Wales or by a body designated by the National Assembly under section 99 of the Learning and Skills Act 2000 (regulation 3(3)).

STATUTORY INSTRUMENTS

2004 No. (W.)

EDUCATION, WALES

**The Education (Individual Pupil
Information) (Prescribed Persons)
(Wales) Regulations 2004**

Made 2004

Coming into force 30th April 2004

The National Assembly for Wales makes the following Regulations in exercise of the powers conferred on the Secretary of State by sections 537A(4) and (5) and 569(4) and (5) of the Education Act 1996(1) and now vested in the National Assembly for Wales(2):

Name, commencement, application and revocation

1.—(1) These Regulations are called the Education (Individual Pupil Information) (Wales) Regulations 2004 and come into force on 30th April 2004.

(2) These Regulations apply to individual pupil information relating to pupils at schools (other than nursery schools) in Wales.

(3) The Education (Individual Pupil Information) (Prescribed Persons) (Wales) Regulations 1999(3) are revoked.

Interpretation

2. In these Regulations-

“the 1996 Act” (“*Deddf 1996*”) means the Education Act 1996;

“the Assembly” (“*y Cynulliad*”) means the National Assembly for Wales;

-
- (1) 1996 c.56. Section 537A was inserted by the Education Act 1997 (1997 c.44), section 20, and substituted by the School Standards and Framework Act 1998 (1998 c.31), section 140(1) and Schedule 30, paragraphs 57 and 153. For the meaning of “prescribed” and “regulations” see section 579(1).
- (2) See the National Assembly for Wales (Transfer of Functions) Order 1999 (S.I. 1999/672).
- (3) S.I. 1999/1781.

“GCE “A” level examinations” (“*arholiadau TAG Safon Uwch*”) and “GCE “AS” examinations” (“*arholiadau TAG Uwch Gyfrannol*”) mean General Certificate of Education advanced level examinations and General Certificate of Education Advanced Subsidiary examinations respectively;

“GCSE” (“*TGAU*”) means General Certificate of Secondary Education;

“GNVQ” (“*GNVQ*”) means General National Vocational Qualification;

“individual pupil information” (“*gwybodaeth am ddisgyblion unigol*”) means information within the meaning of section 537A(9) of the 1996 Act, namely, information relating to and identifying individual pupils or former pupils (at schools, other than nursery schools, in Wales), whether obtained under section 537A(1) of the 1996 Act or otherwise;

“information collator” (“*cydlynnydd gwybodaeth*”) means an information collator within section 537A(9) of the 1996 Act, namely, any body which, for the purposes of or in connection with the functions of the Assembly relating to education, is responsible for collating or checking information relating to pupils; and

“key stage” (“*cyfnod allweddol*”) means any of the periods set out in paragraphs (a) to (d) respectively of section 103(1) of the Education Act 2002(4) and a reference to the first, second or third key stage is a reference to the periods set out respectively in paragraphs (a), (b) and (c) of the said section 103(1).

Prescribed persons

3.—(1) For the purposes of section 537A(4) of the 1996 Act, the Assembly prescribes as a person to whom it may provide any individual pupil information any person referred to in paragraph (4) below.

(2) For the purposes of section 537A(5)(b) of the 1996 Act, the Assembly prescribes as a person to whom an information collator may provide individual pupil information –

(a) any person referred to in paragraph (5) below; and

(b) any person falling within the category referred to in paragraph (6) below.

(3) The individual pupil information which an information collator may so provide, at such times as the Assembly may determine, in accordance with section 537A(5)(b) of the 1996 Act, is any such

(4) 2002 c.32.

information relating to the educational achievements of pupils in –

- (a) any National Curriculum assessment of pupils in the first, second or third key stage;
- (b) any GCSE subjects;
- (c) any GCE “A” level examinations;
- (d) any GCE “AS” examinations;
- (e) any GNVQ subjects; and
- (f) any course of study provided to pupils of compulsory school age in any school maintained by a local education authority which leads to a qualification which is approved by the Assembly or a body designated by the Assembly under section 99 of the Learning and Skills Act 2000⁽⁵⁾ (other than a qualification of the kind referred to in sub-paragraph (b) or (e) above).

(4) The persons referred to in paragraph (1) above are –

- (a) the Office of Her Majesty’s Chief Inspector of Education and Training in Wales or Prif Arolygydd Ei Mawrhydi dros Addysg a Hyfforddiant yng Nghymru; and
- (b) Awdurdod Cymwysterau Cwricwlwm ac Asesu Cymru or the Qualifications, Curriculum and Assessment Authority for Wales.

(5) The persons referred to in paragraph (2)(a) above are –

- (a) the local education authority which maintains the school at which the pupil who is the subject of that information is or was registered or, in the case of a school which is not so maintained, the local education authority for the area in which the school is situated, at which the pupil who is the subject of that information is or was registered;
- (b) the Office of Her Majesty’s Chief Inspector of Education and Training in Wales or Prif Arolygydd Ei Mawrhydi dros Addysg a Hyfforddiant yng Nghymru; and
- (c) Awdurdod Cymwysterau Cwricwlwm ac Asesu Cymru or the Qualifications, Curriculum and Assessment Authority for Wales.

(6) The category referred to in paragraph (2)(b) above is that of persons conducting research into the educational achievements of pupils and who require individual pupil information for that purpose.

⁽⁵⁾ 2000 c.21.

AF 20.11.03

Signed on behalf of the National Assembly for Wales
under section 66(1) of the Government of Wales Act
1998(6).

Date

The Presiding Officer of the National Assembly for
Wales

(6) 1998 c.38.