

ACCOMPANYING DOCUMENTS

Explanatory Notes and an Explanatory Memorandum are printed separately.

# Proposed Welsh Language (Wales) Measure

[AS AMENDED AT STAGE 2]

## CONTENTS

### PART 1

#### OFFICIAL STATUS OF THE WELSH LANGUAGE

1. Official status of the Welsh language

### PART 2

#### THE WELSH LANGUAGE COMMISSIONER

##### *The Commissioner*

2. The Welsh Language Commissioner

##### *General duty*

- 2A. The Commissioner's principal aim

##### *Functions*

3. Promoting and facilitating use of Welsh and treating Welsh no less favourably than English
4. Production of 5-year reports
5. 5-year reports: supplementary
6. Inquiries
7. Judicial review and other legal proceedings
8. Legal assistance
9. Legal assistance: costs
10. Powers
11. Staff
12. Exercise of Commissioner's functions by staff
13. Complaints procedure
14. Seal and validity of documents

- 15. Welsh Ministers' powers of direction
- 16. Consultation

*Annual reports*

- 17. Annual reports
- 18. Annual reports: supplementary

*Working with other ombudsmen, commissioners etc*

- 19. Working jointly with the Public Services Ombudsman for Wales
- 20. Working collaboratively with ombudsmen, commissioners etc

*Disclosure of information*

- 21. Power to disclose information

**PART 3**

ADVISORY PANEL TO THE WELSH LANGUAGE COMMISSIONER

- 22. Advisory Panel
- 23. Consultation

**PART 4**

STANDARDS

**CHAPTER 1**

DUTY TO COMPLY WITH STANDARDS

- 24. Duty to comply with a standard

**CHAPTER 2**

STANDARDS AND THEIR SPECIFICATION

*Specification of standards*

- 25. Welsh Ministers to specify standards
- 26. Specification of standards: supplementary provision

*Service delivery standards*

- 27. Service delivery standards

*Policy making standards*

- 28. Policy making standards

*Operational standards*

- 29. Operational standards

*Promotion standards*

- 30. Promotion standards

*Record keeping standards*

- 31. Record keeping standards

**CHAPTER 3**

PERSONS LIABLE TO BE REQUIRED TO COMPLY WITH STANDARDS

- 32. Persons liable to be required to comply with standards
- 33. Persons who are within Schedules 5, 6, 7 and 8
- 34. Amendment of persons and categories specified in Schedules 6 and 8

**CHAPTER 4**

STANDARDS POTENTIALLY APPLICABLE

- 35. Persons within Schedule 6
- 36. Persons within Schedule 8
- 37. Amendment of standards potentially applicable

**CHAPTER 5**

STANDARDS THAT ARE SPECIFICALLY APPLICABLE

- 38. Standards that are specifically applicable
- 39. Duty to make standards specifically applicable
- 40. Different standards relating to particular conduct
- 41. Duty to make certain service delivery standards specifically applicable
- 42. Limitation on power to make standards specifically applicable

## CHAPTER 6

### COMPLIANCE NOTICES

#### *Compliance notices*

43. Compliance notices

#### *Giving compliance notices*

44. Giving compliance notices to any person  
45. Imposition days  
46. Consultation  
47. Giving compliance notices to contractors

#### *Varying compliance notices*

48. Varying compliance notices

#### *Revoking compliance notices*

49. Revoking compliance notices

#### *When compliance notice in force*

50. When compliance notice in force

#### *Publicising compliance notices*

51. Publicising compliance notices

#### *Cessation of requirement to comply with standard*

52. Cessation of requirement to comply with standard

## CHAPTER 7

### RIGHT OF CHALLENGE

53. Challenging future duties  
54. Challenging existing duties  
55. Applications to the Commissioner  
56. Determining an application  
57. Right of appeal  
57A. Appeals from Tribunal

58. Postponement of imposition of duty

**CHAPTER 8**

STANDARDS INVESTIGATIONS AND REPORTS

*Standards investigations*

59. Standards investigations  
60. Power to carry out standards investigation  
61. Requirements when carrying out standards investigations

*Standards reports*

62. Standards report

*Welsh Ministers' power of direction*

63. Direction to carry out standards investigation

*Regard to be had to standards report*

64. Welsh Ministers to have due regard to report

**CHAPTER 9**

GENERAL

*Exception for broadcasting*

65. Exception for broadcasting

*Codes of practice*

66. Codes of practice  
67. Failure to comply with codes

*Information*

68. Supply of information to Commissioner

*Interpretation*

69. Interpretation

**PART 5**

**ENFORCEMENT OF STANDARDS**

**CHAPTER 1**

**INVESTIGATING FAILURE TO COMPLY WITH STANDARDS ETC**

*Investigations*

- 70. Investigating failure to comply with standards etc
- 71. Discontinuing an investigation

*Determination of investigation*

- 72. Determination of investigation

*Investigation reports*

- 73. Investigation reports

*Decision notices*

- 74. Decision notices

*No failure to comply: Commissioner's options*

- 75. No failure to comply with the relevant requirement

*Failure to comply: Commissioner's options*

- 76. Failure to comply with relevant requirement

*No imposed enforcement action*

- 77. No imposed enforcement action

*Preventing continuation or repetition of D's failure*

- 78. Requirement to prepare action plan or take steps
- 79. Action plans

*Publicising D's failure to comply*

- 80. Publicising the failure to comply

- 81. Requiring the failure to comply to be publicised

*Civil penalties*

- 82. Civil penalties
- 83. Imposition of civil penalty

*Consultation*

- 84. Consultation before final determination etc

*When enforcement action takes effect*

- 85. When enforcement action takes effect

*Enforcement by county court*

- 86. Failure to comply with requirement to prepare action plan or take steps
- 87. Failure to comply with action plan
- 88. Failure to comply with requirement to publicise failure to comply

**CHAPTER 2**

SETTLEMENT AGREEMENTS

- 89. Settlement agreements
- 90. Failure to comply with settlement agreement

**CHAPTER 3**

APPEALS

- 91. Appeals to the Tribunal
- 92. Powers of Tribunal on appeal
- 93. Appeals from Tribunal
- 93A. Commissioner's duty on an appeal

**CHAPTER 4**

GENERAL

*Non-compliance with standards: complaints by persons affected*

- 94. Consideration of whether to investigate if conduct complained about
- 95. Notification if no investigation etc

*Obstruction and contempt*

- 96. Obstruction and contempt

*Enforcement policy document*

- 97. Enforcement policy document

*Register of enforcement action*

- 98. Register of enforcement action

*Interpretation*

- 99. Interpretation

**PART 6**

FREEDOM TO USE WELSH

- 100. Application to Commissioner
- 101. Welsh communications
- 102. Interference with freedom to use Welsh
- 103. Deciding whether to investigate
- 104. Investigations
- 105. Discontinuing investigations
- 106. Concluding investigations
- 107. Reports
- 108. Annual report to Welsh Ministers



**PART 7**

**WELSH LANGUAGE TRIBUNAL**

*The Tribunal*

- 109. The Welsh Language Tribunal
- 110. Composition for proceedings before Tribunal
- 111. Hearings in public

*Practice and procedure etc*

- 112. Welsh Language Tribunal Rules
- 114. Practice directions
- 115. Guidance, advice and information
- 116. Supplementary powers

*Staff and other resources*

- 117. Staff, accommodation and other resources of Tribunal
- 118. Specially qualified advisers

*Administrative matters*

- 119. Seal
- 120. Financial year
- 121. Vacancy in the office of President

*Reports, reviews and performance*

- 122. President's annual report
- 123. Training etc for members of Tribunal

**PART 8**

**GENERAL**

**CHAPTER 1**

**INTEGRITY**

- 125. Register of interests
- 126. Publication of registers of interests
- 127. Conflicts of interest
- 128. Validity of acts
- 129. Regulations
- 130. Interpretation of this Chapter

**CHAPTER 2**

DEFAMATION

- 131. Absolute privilege
- 132. Interpretation of this Chapter

**CHAPTER 3**

RESTRICTIONS

- 133. Restrictions

**PART 9**

WELSH LANGUAGE BOARD, WELSH LANGUAGE SCHEMES ETC

- 134. Abolition of Board and transfer of functions
- 135. Abolition of Board's general functions and replacement of schemes with standards
- 136. Replacement of Welsh language schemes with standards
- 137. Other provision
- 138. Supplementary

**PART 9A**

WELSH MINISTERS' WELSH LANGUAGE STRATEGY

- 138A. Welsh Ministers to prepare an action plan
- 138B. The Welsh Language Partnership Council

**PART 10**

SUPPLEMENTARY

- 139. Orders and regulations
- 140. Directions
- 141. Notices etc
- 142. Interpretation of this Measure
- 143. Transitional and consequential provision etc
- 144. Extent
- 145. Commencement
- 146. Short title

Schedule 1 – The Welsh Language Commissioner

- Part 1 – Status etc
- Part 2 – Appointment
- Part 3 – End of appointment
- Part 4 – Disqualification from being Commissioner
- Part 5 – Financial matters

Part 6 – General

Schedule 2 – Inquiries by the Commissioner

Schedule 3 – Amendments about joint and collaborative working

Schedule 4 – Members of the Advisory Panel

Part 1 – Appointment

Part 2 – End of appointment

Part 3 – Disqualification

Part 4 – General

Schedule 5 – Categories of person that may be added to Schedule 6

Schedule 6 – Public bodies etc: standards

Schedule 7 – Categories of person that may be added to Schedule 8

Schedule 8 – Other bodies: standards

Schedule 9 – Activities in relation to which service delivery standards must be specified

Schedule 10 – Commissioner’s investigation of failure to comply with standards etc

Part 1 – General

Part 2 – Information, documents and oral evidence

Part 3 – Power of entry and inspection

Schedule 11 – The Welsh Language Tribunal

Part 1 – Number of members of the Tribunal

Part 2 – Appointment

Part 3 – End of appointment

Part 4 – Disqualification from membership or appointment

Part 5 – General

Schedule 12 – Abolition of Welsh Language Board: other provision

# Proposed Welsh Language (Wales) Measure

[AS AMENDED AT STAGE 2]

5 A Measure of the National Assembly for Wales to make provision about the official status of the Welsh language in Wales; to provide for a Welsh Language Partnership Council; to establish the Office of Welsh Language Commissioner; to provide for an Advisory Panel to the Welsh Language Commissioner; to make provision about promoting and facilitating the use of the Welsh language and working towards ensuring that the Welsh language is treated no less favourably than the English language; to make provision about standards relating to the Welsh language (including duties to comply with those standards, and rights arising from the enforceability of those duties); to make provision about investigation of interference with the freedom to use the Welsh language; to establish a Welsh Language Tribunal; to  
10 abolish the Welsh Language Board and Welsh language schemes; and for connected purposes.

## PART 1

### OFFICIAL STATUS OF THE WELSH LANGUAGE

#### 1 Official status of the Welsh language

- 15 (1) The Welsh language has official status in Wales.
- (2) The official status of the Welsh language is given legal effect by the enactments about –
- 20 (a) duties on bodies to use the Welsh language, and the rights which arise from the enforceability of those duties, which enable Welsh speakers to use the language in dealings with those bodies (such as the provision of services by those bodies);
- (b) the treatment of the Welsh language no less favourably than the English language;
- (c) the validity of the use of the Welsh language;
- 25 (d) the promotion and facilitation of the use of the Welsh language;
- (e) the freedom of persons wishing to use the Welsh language to do so with one another;
- (f) the creation of the Welsh Language Commissioner; and
- (g) other matters relating to the Welsh language.
- 30 (2A) Those enactments include (but are not limited to) the enactments which –
- (a) (require the Welsh and English languages to be treated on the basis of equality in the conduct of the proceedings of the National Assembly for Wales;
- (b) confer a right to speak the Welsh language in legal proceedings in Wales;
- (c) give equal standing to the Welsh and English texts of –

- (i) Measures and Acts of the National Assembly for Wales, and
  - (ii) subordinate legislation;
- (d) impose a duty on the Welsh Ministers to adopt a strategy setting out how they propose to promote and facilitate the use of the Welsh language;
- 5 (e) create standards of conduct that relate to the use of the Welsh language, or the treatment of the Welsh language no less favourably than the English language, in connection with –
  - (i) delivering services,
  - (ii) making policy, and
  - 10 (iii) exercising functions or conducting businesses and other undertakings;
- (f) create standards of conduct in promoting and facilitating the use of the Welsh language;
- (g) create standards of conduct for keeping records in connection with the Welsh language;
- 15 (h) impose a duty to comply with those standards of conduct that are created, and create remedies for failures to comply with them; and
- (i) create the Welsh Language Commissioner with functions that include –
  - (i) promoting the use of the Welsh language,
  - (ii) facilitating the use of the Welsh language,
  - 20 (iii) working towards ensuring that the Welsh language is treated no less favourably than the English language,
  - (iv) conducting inquiries into matters relating to the Commissioner’s functions, and
  - (v) investigating interference with the freedom to use the Welsh language.
- 25 (3) This Measure does not affect the status of the English language in Wales.

## PART 2

### THE WELSH LANGUAGE COMMISSIONER

#### *The Commissioner*

## **2 The Welsh Language Commissioner**

- 30 (1) There is to be a Welsh Language Commissioner (referred to in this Measure as “the Commissioner”).
- (2) The First Minister must appoint the Commissioner.
- (3) Schedule 1 makes further provision about the Commissioner.
- (4) For provision about the integrity of the Commissioner, see Chapter 1 of Part 8.

*General duty***2A The Commissioner's principal aim**

- (1) The principal aim of the Commissioner in exercising his or her functions is to promote and facilitate the use of the Welsh language.
- 5 (2) The actions which the Commissioner must undertake in exercising functions in accordance with subsection (1) include (but are not limited to) working towards increasing –
- (a) the use of the Welsh language in the provision of services, and
  - (b) other opportunities for persons to use the Welsh language.
- 10 (3) In exercising functions in accordance with subsection (1), the Commissioner must have regard to –
- (a) the official status which the Welsh language has in Wales,
  - (b) the duties to use Welsh which are (or may be) imposed by law, and the rights which arise from the enforceability of those duties,

15 (c) the principle that, in Wales, the Welsh language should be treated no less favourably than the English language, and

  - (d) the principle that persons in Wales should be able to live their lives through the medium of the Welsh language if they choose to do so.

*Functions*

20 **3 Promoting and facilitating use of Welsh and treating Welsh no less favourably than English**

- (1) The Commissioner may do anything that he or she thinks appropriate –
- (a) to promote the use of the Welsh language,
  - (b) to facilitate the use of the Welsh language, or

25 (c) to work towards ensuring that the Welsh language is treated no less favourably than the English language.
- (2) That includes, but is not limited to, doing any of the following things –
- (a) promoting the provision of opportunities to use the Welsh language;
  - (b) encouraging best practice in relation to the use of Welsh by persons who deal with, or provide services to, other persons;

30 (c) keeping under review the adequacy and effectiveness of the law relating to the Welsh language;

  - (d) producing and publishing reports;
  - (e) carrying out, or commissioning others to carry out, research;

35 (f) carrying out, or commissioning others to carry out, educational activities;

- (g) giving assistance (including financial assistance) to any person;
  - (h) making recommendations in writing to the Welsh Ministers;
  - (i) making representations to any person;
  - (j) giving advice to any person.
- 5 (3) If the Commissioner makes a recommendation or representation, or gives advice, to the Welsh Ministers in writing, they must have due regard to the recommendation, representation or advice in exercising any function to which it relates.
- (4) The power of the Commissioner under subsection (2)(g) to give financial assistance is subject to section 10(4).
- 10 (5) The powers of the Commissioner under subsection (2)(h) to (j) to make recommendations or representations, or to give advice, to a person (including the Welsh Ministers) may be exercised whether or not the person has requested the Commissioner to exercise the powers.
- (6) The Commissioner may consult the Advisory Panel in connection with the exercise of a function conferred by this section.
- 15

#### 4 Production of 5-year reports

- (1) The Commissioner must produce, in relation to each reporting period, a report on the position of the Welsh language in that period.
- (2) In this Measure, such a report is referred to as “a 5-year report”.
- 20 (3) If a 5-year report is the first such report to be produced after a census, the report must include the following matters –
- (a) a report of the results of the census so far as they relate to the Welsh language;
  - (b) an assessment of the implications of those results for the position of the Welsh language.
- 25 (4) Subsection (3) does not limit the matters which the Commissioner may include in any 5-year report.
- (5) In this section –
- “census” (“*cyfrifiad*”) means a census taken under the Census Act 1920 in Wales (whether or not the census is also taken elsewhere than in Wales);
- 30 “reporting period” (“*cyfnod adrodd*”) means –
- (a) the period that begins with the day on which section 2 comes into force and ends with 31 December 2015; and
  - (b) each successive period of 5 years.

#### 5 5-year reports: supplementary

- 35 (1) In preparing each 5-year report, the Commissioner –
- (a) must consult the Advisory Panel, and

(b) may consult any other persons that the Commissioner thinks it appropriate to consult.

(2) The Commissioner must publish each 5-year report in Welsh and in English.

(3) The Commissioner must publish each 5-year report as soon as reasonably practicable after the end of the period to which it relates.

(4) As soon as reasonably practicable after each 5-year report is published, the Commissioner must send a copy of the report to the Welsh Ministers.

(5) The Welsh Ministers must –

(a) examine each 5-year report submitted to them, and

(b) lay a copy of the report before the National Assembly for Wales.

## 6 Inquiries

(1) The Commissioner may conduct an inquiry into any matter relating to any of the Commissioner's functions.

(2) But that is subject to subsections (3) to (5).

(3) Subsection (1) does not authorise the Commissioner to conduct an inquiry in a case where he or she –

(a) may or must carry out a standards investigation under Chapter 8 of Part 4, or

(b) undertakes (and does not discontinue) an investigation under Part 5.

(4) Subsection (1) does not authorise the Commissioner to conduct an inquiry into the failure, by one or more particular persons, to comply with one or more relevant requirements.

(5) Subsection (1) does not authorise the Commissioner to conduct an inquiry into the interference, by one or more particular persons, with the freedom to communicate in Welsh (but see Part 6 for power to investigate certain interference with that freedom).

(6) Subsection (4) or (5) does not prevent the Commissioner from taking the conduct of one or more particular persons into account when conducting an inquiry into –

(a) failure to comply with relevant requirements, or

(b) interference with the freedom to communicate in Welsh.

(7) The Commissioner may –

(a) discontinue, or

(b) suspend,

the conduct of an inquiry or any aspect of an inquiry.

(8) If, in the course of an inquiry, the Commissioner begins to suspect that a person may have failed to comply with one or more relevant requirements –

(a) in continuing the inquiry the Commissioner must, so far as possible, avoid further consideration of whether or not the person has failed to comply with the requirements,



(b) the Commissioner may commence an investigation into that question under Part 5, and

(c) the Commissioner may use information or evidence acquired in the course of the inquiry for the purpose of the investigation.

5 (9) Schedule 2 makes supplemental provision about inquiries.

(10) In this section, a reference to failure to comply with one or more relevant requirements has the same meaning as in Part 5.

## 7 Judicial review and other legal proceedings

10 (1) The Commissioner may institute or intervene in legal proceedings in England and Wales if it appears to the Commissioner that the proceedings are relevant to a matter in respect of which the Commissioner has a function.

(2) Subsection (1) –

(a) does not create a cause of action, and

15 (b) is subject to any limitation or restriction imposed by virtue of an enactment or in accordance with the practice of a court.

(3) In this section “legal proceedings” includes, but is not limited to, proceedings before any court or tribunal.

## 8 Legal assistance

20 (1) The Commissioner may provide an individual with assistance if the person is, or may become, a party to actual or possible legal proceedings in England and Wales that are relevant to a matter in respect of which the Commissioner has a function.

(2) This section does not affect any restriction imposed in respect of representation –

(a) by virtue of an enactment, or

(b) in accordance with the practice of a court or tribunal.

25 (3) It is for the Commissioner to determine, for the purposes of this section, whether there are possible legal proceedings that are relevant to a matter in respect of which the Commissioner has a function.

(4) In this section –

“assistance” (“*cymorth*”) includes, but is not limited to, the following things –

30 (a) legal advice;

(b) legal representation;

(c) facilities for the settlement of a dispute;

“legal proceedings” (“*achos cyfreithiol*”) includes, but is not limited to, proceedings before any court or tribunal.

## 9 Legal assistance: costs

(1) This section applies where –

(a) the Commissioner has assisted an individual under section 8 in relation to proceedings, and

(b) the individual becomes entitled to some or all of his or her costs in the proceedings (whether by virtue of an award or by virtue of an agreement).

(2) The Commissioner's expenses in providing the assistance –

(a) are charged on sums paid to the individual by way of costs, and

(b) may be enforced as a debt due to the Commissioner.

(3) A requirement to pay money to the Commissioner under subsection (2) ranks after a requirement imposed by virtue of section 11(4)(f) of the Access to Justice Act 1999 (recovery of costs in funded cases).

(4) For the purposes of subsection (2), the Commissioner's expenses are to be calculated in accordance with such provision (if any) as the Welsh Ministers make for the purpose by regulations.

(5) Regulations under subsection (4) may, amongst other things, provide for the apportionment of expenditure incurred by the Commissioner –

(a) partly for one purpose and partly for another, or

(b) for general purposes.

## 10 Powers

(1) The Commissioner may do anything that he or she thinks to be appropriate in connection with any of his or her functions.

(2) That includes, but is not limited to, any of the following things –

(a) making grants and loans and giving guarantees;

(b) charging for the provision of advice or other services;

(c) paying third parties for the provision of advice or other services;

(d) accepting gifts of money or other property;

(e) acquiring or disposing of any property or right.

(3) Subsection (2) is subject to subsections (4) to (6).

(4) The Commissioner must not –

(a) make a grant or loan,

(b) give a guarantee, or

(c) acquire or dispose of any interest in land,

except with the approval of the Welsh Ministers.

- (5) Subsection (4) does not apply to anything done under section 8.
- (6) The Commissioner's power to charge for the provision of advice or services is limited to charging such amounts as the Commissioner thinks appropriate to recover the actual or estimated costs to the Commissioner of providing that advice or those services.

## 11 Staff

- (1) The Commissioner –
- (a) must appoint a person to be the Deputy Welsh Language Commissioner (referred to in this Measure as “the Deputy Commissioner”), and
  - (b) may appoint such other staff as the Commissioner thinks appropriate in connection with the exercise of his or her functions.
- (2) References in this Measure to the Commissioner's staff are to the Deputy Commissioner and other staff.
- (3) The Commissioner may pay remuneration to the members of the Commissioner's staff.
- (4) The Commissioner may pay allowances (including, but not limited to, travelling and subsistence allowances) and gratuities to the members of the Commissioner's staff.
- (5) The Commissioner may pay –
- (a) pensions to, or in respect of, persons who have been members of the Commissioner's staff, and
  - (b) amounts for or towards provision of pensions to, or in respect of, persons who have been members of the Commissioner's staff.
- (6) The Commissioner must obtain the approval of the Welsh Ministers for –
- (a) the number of staff that may be appointed,
  - (b) the terms and conditions of service of the staff, and
  - (c) payments made under any of subsections (3) to (5).
- (7) The First Minister must appoint the Deputy Commissioner if –
- (a) the office of Commissioner is vacant, or
  - (b) it appears to the First Minister that the Commissioner will fail to appoint the Deputy Commissioner in accordance with this section.
- (8) For provision about the integrity of the Deputy Commissioner, see Chapter 1 of Part 8.

## 12 Exercise of Commissioner's functions by staff

- (1) The Commissioner may delegate any or all of the Commissioner's functions to a member of the Commissioner's staff.
- (2) The functions of the Commissioner are exercisable by the Deputy Commissioner if –
- (a) the office of Commissioner is vacant, or

(b) it appears to the First Minister that the Commissioner is for any reason unable to exercise the functions of Commissioner.

(3) If a function of the Commissioner is exercisable by a member of the Commissioner's staff in accordance with subsection (1) or (2), any property or rights vested in the Commissioner may be dealt with by the member of staff in exercising the function as if vested in the member of staff.

### 13 Complaints procedure

(1) The Commissioner must establish a procedure for the investigation of complaints about acts or omissions relating to the exercise of the Commissioner's functions ("the complaints procedure").

(2) The complaints procedure must include provision about –

(a) how a complaint may be made;

(b) the person to whom a complaint may be made;

(c) the period within which consideration of a complaint must begin and be concluded; and

(d) action that the Commissioner must consider taking in response to a complaint.

(3) The Commissioner may amend the complaints procedure.

(4) The Commissioner must –

(a) ensure that a copy of the complaints procedure is available for inspection at the Commissioner's office, and

(b) ensure that copies of the complaints procedure are made available at such other places and by such other means (including by electronic means) as he or she thinks appropriate.

(5) The Commissioner must ensure that the arrangements for inspecting and gaining access to copies of the complaints procedure are published in such a way as to bring those arrangements to the attention of persons whom the Commissioner thinks likely to have an interest in the procedure.

### 14 Seal and validity of documents

(1) The Commissioner may have a seal.

(2) A document purporting to be –

(a) duly executed under the seal of the Commissioner, or

(b) signed by or on the Commissioner's behalf,

is to be received in evidence and, unless the contrary is proved, taken to be so executed or signed.

### 15 Welsh Ministers' power of direction

(1) The Welsh Ministers may give directions to the Commissioner.

(1A) But the Welsh Ministers may not direct the Commissioner in relation to the following matters –

- (a) giving a compliance notice to a person under Chapter 6 of Part 4 (including the content of a compliance notice to be given to a person);
  - (b) Part 5 (enforcement of standards);
  - (c) Part 6 (the freedom to use Welsh).
- 5 (2) The Commissioner must comply with directions given by the Welsh Ministers.

## 16 Consultation

If, in connection with the exercise of a function, the Commissioner consults –

- (a) the Advisory Panel, or
  - (b) any other person in accordance with this Measure,
- 10 the Commissioner must have regard to the consultation in exercising the function.

### *Annual reports*

## 17 Annual reports

- (1) The Commissioner must produce a report in relation to each financial year of the Commissioner (an “annual report”).
- 15 (2) An annual report must include the following matters –
  - (a) a summary of the action taken in the exercise of the Commissioner’s functions;
  - (b) a review of issues relevant to the Welsh language;
  - (c) a summary of the Commissioner’s work programme;
  - 20 (d) the Commissioner’s proposals for a work programme for the following financial year;
  - (e) a summary of the complaints made in accordance with the procedure established under section 13.
- (3) An annual report may also include any other matters which the Commissioner thinks it appropriate to include in such a report.
- 25 (4) For provision about the Commissioner’s financial year, see paragraph 14 of Schedule 1.

## 18 Annual reports: supplementary

- (1) In preparing each annual report, the Commissioner may consult –
  - 30 (a) the Advisory Panel, and
  - (b) any other persons that the Commissioner thinks it appropriate to consult.
- (2) The Commissioner must publish each annual report in Welsh and in English.

- (3) The Commissioner must publish each annual report no later than 31 August in the financial year following the financial year to which the report relates.
- (4) As soon as reasonably practicable after each annual report is published, the Commissioner must send a copy of the report to the Welsh Ministers.
- 5 (5) The Welsh Ministers must –
- (a) examine each annual report submitted to them, and
  - (b) lay a copy of the report before the National Assembly for Wales.

*Working with other ombudsmen, commissioners etc*

**19 Working jointly with the Public Services Ombudsman for Wales**

- 10 (1) This section applies if it appears to the Commissioner that the subject matter of a particular standards enforcement investigation (the “Commissioner’s investigation”) could also be the subject of an investigation by the Public Services Ombudsman for Wales.
- (2) If the Commissioner thinks it appropriate, he or she must –
- 15 (a) inform the Ombudsman about the Commissioner’s investigation (including the Commissioner’s proposals for undertaking the investigation), and
- (b) consult the Ombudsman in relation to the Commissioner’s investigation.
- (3) If the Commissioner consults the Ombudsman in relation to the Commissioner’s investigation, the Commissioner and the Ombudsman may do any or all of the
- 20 following –
- (a) co-operate with each other in relation to the investigation;
  - (b) conduct a joint investigation;
  - (c) prepare and publish a joint report in relation to the investigation.
- (4) The Welsh Ministers may by order –
- 25 (a) provide for this section to apply in relation to any other person as it applies in relation to the Public Services Ombudsman for Wales, and
- (b) make such other provision as the Welsh Ministers think appropriate in connection with, for the purposes of, or in consequence of provision made under paragraph (a).
- 30 (5) The provision that may be made under subsection (4) includes, but is not limited to –
- (a) provision enabling or requiring the other person to work jointly with the Commissioner; and
  - (b) amendments of any enactment.

(6) Before making an order under subsection (4), the Welsh Ministers must consult the Commissioner and any other persons they think it appropriate to consult.

(7) In this section –

“investigation” (*“ymchwiliad”*), in relation to the Public Services Ombudsman for Wales, includes examination and inquiry, and cognate expressions are to be construed accordingly;

“standards enforcement investigation” (*“ymchwiliad i orfodi safonau”*) means an investigation which the Commissioner is entitled to undertake, or is undertaking, under section 70.

## 20 Working collaboratively with ombudsmen, commissioners etc

(1) This section applies if it appears to the Commissioner that the subject matter of a particular standards enforcement investigation (the “Commissioner’s investigation”) relates to, or raises, a matter which could be the subject of an investigation by a particular ombudsman (the “connected matter”).

(2) If the Commissioner thinks it appropriate, he or she must inform the ombudsman about the connected matter.

(3) If the Commissioner undertakes the Commissioner’s investigation, the Commissioner must, if he or she thinks it appropriate –

(a) inform the ombudsman about the investigation (including the Commissioner’s proposals for undertaking the investigation), and

(b) consult the ombudsman in relation to the investigation.

(4) If the Commissioner undertakes the Commissioner’s investigation and the ombudsman investigates the connected matter, they may do any or all of the following –

(a) co-operate with each other in relation to their separate investigations;

(b) conduct a joint investigation;

(c) prepare and publish a joint report in relation to their separate investigations or their joint investigation.

(5) If the Commissioner does not undertake the Commissioner’s investigation, the Commissioner must, if he or she thinks it appropriate –

(a) give the person seeking to bring the case information about how to refer the connected matter to the ombudsman, and

(b) give that information to any other person interested in the case.

(6) In this section –

“investigation” (*ymchwiliad*), in relation to an ombudsman, includes examination and inquiry, and cognate expressions are to be construed accordingly;

5 “ombudsman” (*ombwdsmon*) means –

- (a) the Public Services Ombudsman for Wales,
- (b) the Children’s Commissioner for Wales,
- (c) the Commissioner for Older People in Wales, and
- (d) the Commission for Equality and Human Rights;

10 “standards enforcement investigation” (*ymchwiliad i orfodi safonau*) means an investigation which the Commissioner is entitled to undertake, or is undertaking, under section 70.

(7) The Welsh Ministers may by order amend the definition of “ombudsman” in subsection (6) by –

- 15
- (a) adding a person;
  - (b) omitting a person;
  - (c) changing a description of a person.

(8) The Welsh Ministers may, by order, make such other provision as the Welsh Ministers think appropriate in connection with, for the purposes of, or in consequence of provision made under subsection (7), including, but not limited to –

- 20
- (a) provision enabling or requiring the other person to work with the Commissioner; and
  - (b) amendments of any enactment.

(9) Before making an order under subsection (7), the Welsh Ministers must consult the person concerned and any other persons they think it appropriate to consult.

25 (10) Schedule 3 contains amendments about other Commissioners and Ombudsmen working jointly and collaboratively with the Welsh Language Commissioner.

### *Disclosure of information*

## **21 Power to disclose information**

30 (1) Information which has been obtained by the Commissioner in the exercise of any of the Commissioner’s functions must not be disclosed unless the disclosure is authorised by subsection (2).

(2) The Commissioner may disclose the information –

- (a) for the purpose of the exercise of any of the Commissioner’s functions;



- (b) for the purpose of proceedings for an offence of perjury alleged to have been committed in the course of a standards enforcement investigation;
- (c) for the purpose of an inquiry with a view to the taking of proceedings mentioned in paragraph (b);
- 5 (d) for the purpose of issuing a certificate under section 96 (obstruction and contempt);
- (e) if the information is to the effect that a person is likely to constitute a threat to the health or safety of one or more persons, and the disclosure is to a person to whom the Commissioner thinks it should be disclosed in the public
- 10 interest;
- (f) if the information is of the kind mentioned in subsection (3), and the disclosure is to the Information Commissioner;
- (g) if the disclosure is to a permitted person, and the Commissioner is satisfied that the public interest condition is met;
- 15 (h) if the information was obtained by the Commissioner more than 70 years before the date of disclosure, and the disclosure is to a person to whom the Commissioner thinks it should be disclosed in the public interest.
- (3) The information referred to in subsection (2)(f) is information that appears to the Commissioner to relate to –
- 20 (a) a matter in respect of which the Information Commissioner could exercise a power conferred by an enactment mentioned in subsection (4); or
- (b) the commission of an offence mentioned in subsection (5).
- (4) The enactments referred to in subsection (3)(a) are –
- (a) Part 5 of the Data Protection Act 1998 (enforcement);
- 25 (b) section 48 of the Freedom of Information Act 2000 (practice recommendations); and
- (c) Part 4 of that Act (enforcement).
- (5) The offences referred to in subsection (3)(b) are those under –
- (a) any provision of the Data Protection Act 1998 other than paragraph 12 of
- 30 Schedule 9 to that Act (obstruction of execution of a warrant); or
- (b) section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure).
- (6) For the purposes of subsection (2)(g), the public interest condition is met if the disclosure –
- 35 (a) is appropriate for the purpose of the exercise by the permitted person of any of that person's functions, and
- (b) is in the public interest.
- (7) In determining for the purpose of this section whether disclosure of information is in the public interest, the Commissioner must take into account the interests of –

- (a) any person to whom the information relates; and
- (b) such other persons as the Commissioner thinks appropriate.

(8) This section does not affect the application of the Data Protection Act 1998 to the Commissioner.

5 (9) In this section –

“permitted person” (*“person a ganiatawyd”*) means –

- (a) the Welsh Ministers;
- (b) the First Minister;
- (c) the Counsel General to the Welsh Assembly Government;
- 10 (d) the Public Services Ombudsman for Wales;
- (e) the Children’s Commissioner for Wales;
- (f) the Children’s Commissioner;
- (g) the Commission for Equality and Human Rights;
- (h) the Commissioner for Children and Young People for Northern  
15 Ireland;
- (i) the Commissioner for Older People in Wales;
- (j) a housing ombudsman appointed in accordance with a scheme approved under section 51 of the Housing Act 1996;
- (k) a council for a county or county borough in Wales;
- 20 (l) a council for a county or district in England;
- (m) a council for a London borough;
- (n) a chief of police of a police force for a police area;
- (o) the chief constable of the British Transport Police Force;

25 “standards enforcement investigation” (*“ymchwiliad i orfodi safonau”*) means an investigation undertaken by the Commissioner under section 70.

(10) The Welsh Ministers may by order amend the definition of “permitted person” in subsection (9) by –

- (a) adding a person;
- (b) omitting a person;
- 30 (c) changing a description of a person.

(11) Before making an order under subsection (10), the Welsh Ministers must consult the person concerned and any other persons they think it appropriate to consult.

**PART 3**

## ADVISORY PANEL TO THE WELSH LANGUAGE COMMISSIONER

**22 Advisory Panel**

- 5 (1) The Welsh Ministers must appoint persons to be members of a panel of advisers to the Commissioner.
- (2) The panel is to be known as the Advisory Panel to the Welsh Language Commissioner (referred to in this Measure as the “Advisory Panel”).
- (3) The Welsh Ministers must secure that, as far as it is practicable, there are at least 3, but not more than 5, members of the Advisory Panel at any time.
- 10 (4) Schedule 4 makes further provision about the members of the Advisory Panel.

**23 Consultation**

- (1) The Commissioner may consult the Advisory Panel on any matter.
- (2) The other provisions of this Measure which provide for the Commissioner to consult the Advisory Panel do not limit subsection (1).
- 15 (3) References in this Measure to consultation with the Advisory Panel are to consultation with any or all of the members of the Advisory Panel.

**PART 4**

## STANDARDS

**CHAPTER 1**

## 20 DUTY TO COMPLY WITH STANDARDS

**24 Duty to comply with a standard**

- (1) A person (P) must comply with a standard of conduct specified by the Welsh Ministers in accordance with Chapter 2 if, and for as long as, the following conditions are met.
- 25 (2) Condition 1 is that P is liable to be required to comply with standards (see Chapter 3).
- (3) Condition 2 is that the standard is potentially applicable to P (see Chapter 4).
- (4) Condition 3 is that the standard is specifically applicable to P (see Chapter 5).
- (5) Condition 4 is that the Commissioner has given a compliance notice to P (see Chapter 6).
- 30 (6) Condition 5 is that the compliance notice requires P to comply with the standard (see Chapter 6).
- (7) Condition 6 is that the compliance notice is in force (see Chapter 6).
- (8) Subsection (1) is subject to the provisions of the compliance notice given to P.
- (9) For provision about –

- (a) rights of challenge in respect of the duty to comply with standards, see Chapter 7;
- (b) standards investigations and reports, see Chapter 8;
- (c) general matters, see Chapter 9.

5

**CHAPTER 2**

## STANDARDS AND THEIR SPECIFICATION

*Specification of standards***25 Welsh Ministers to specify standards**

- (1) The Welsh Ministers may, by regulations –
  - 10 (a) specify one or more service delivery standards,
  - (b) specify one or more policy making standards,
  - (c) specify one or more operational standards,
  - (d) specify one or more promotion standards, and
  - (e) specify one or more record keeping standards.
- 15 (2) The Welsh Ministers may, by regulations, make other provision about such standards.

**26 Specification of standards: supplementary provision**

- (1) The Welsh Ministers may specify a record keeping standard relating to the keeping of records that fall within section 31(1)(b)(ii) (complaints concerning the Welsh language |  
20 other than complaints concerning a person's compliance with other standards) only if it appears to the Welsh Ministers that the standard would –
  - (a) assist the Welsh Ministers to exercise any function under this Measure, or
  - (b) assist the Commissioner to exercise any function.
- (2) Regulations under any of paragraphs (a) to (e) of section 25(1) may specify different  
25 standards of the kind referred to in that paragraph in relation to different conduct.
- (3) Regulations under any of paragraphs (a) to (e) of section 25(1) may specify, in relation to particular conduct –
  - (a) a single standard of the kind referred to in that paragraph, or
  - (b) a number of standards of the kind referred to in that paragraph.
- 30 (4) Regulations under section 25(2) may, amongst other things, make provision about any of the following –

- 5
- (a) the preparation, by persons who are under the duty in section 24 to comply with standards, of strategies or plans setting out how they propose to comply with the standards;
  - (b) procedures to be followed by persons who are under the duty in section 24 to comply with standards;
  - (c) the collection of information by persons who are under the duty in section 24 to comply with standards, including information about the use of Welsh and the use of English in relation to a particular conduct;
  - (d) information to be made available to the Commissioner;
  - 10 (e) monitoring arrangements and publicity requirements;
  - (f) reporting requirements.

*Service delivery standards*

**27 Service delivery standards**

- 15
- (1) In this Measure “service delivery standard” means a standard that –
- (a) relates to a service delivery activity, and
  - (b) is intended to promote or facilitate the use of the Welsh language, or to work towards ensuring that the Welsh language is treated no less favourably than the English language, when that activity is carried out.
- (2) In this section “service delivery activity” means a person –
- 20
- (a) delivering services to another person, or
  - (b) dealing with any other person in connection with delivering services –
    - (i) to that other person, or
    - (ii) to a third person.

*Policy making standards*

25 **28 Policy making standards**

- (1) In this Measure “policy making standard” means a standard that –
- (a) relates to a policy decision, and
  - (b) is intended to secure, or to contribute to securing, one or more of the following results.
- 30
- (2) The first of those results is that the person making the policy decision considers what effects, if any, (whether positive or adverse) the policy decision would have on –
- (a) opportunities for other persons to use the Welsh language, or

- (b) working towards ensuring that the Welsh language is treated no less favourably than the English language.
- (3) The second of those results is that the person making the policy decision considers how the decision could be made so that the decision has positive effects, or increased positive effects, on –
- (a) opportunities for other persons to use the Welsh language, or
- (b) working towards ensuring that the Welsh language is treated no less favourably than the English language.
- (4) The third of those results is that the person making the policy decision considers how the decision could be made so that the decision does not have adverse effects, or has decreased adverse effects, on –
- (a) opportunities for other persons to use the Welsh language, or
- (b) working towards ensuring that the Welsh language is treated no less favourably than the English language.
- (5) In this section a reference to positive or adverse effects is a reference to such effects whether direct or indirect.
- (6) In this section “policy decision” means a decision by a person about –
- (a) the exercise of the person’s functions, or
- (b) the conduct of the person’s business or other undertaking.

### *Operational standards*

## **29 Operational standards**

- (1) In this Measure “operational standard” means a standard that –
- (a) relates to the relevant activities of a person (A), and
- (b) is intended to promote or facilitate the use of the Welsh language –
- (i) by A in carrying out A’s relevant activities,
- (ii) by A and another person in dealings between them in connection with A’s relevant activities, or
- (iii) by a person other than A in carrying out activities for the purposes of, or in connection with, A’s relevant activities.
- (2) In this section –
- (a) “relevant activities” means –
- (i) functions, or
- (ii) a business or other undertaking;
- (b) a reference to the carrying out of relevant activities is to –
- (i) the exercise of functions, or

- (ii) the conduct of a business or other undertaking.

*Promotion standards*

**30 Promotion standards**

In this Measure “promotion standard” means a standard (relating to any activity) that is intended to promote or facilitate the use of the Welsh language more widely.

*Record keeping standards*

**31 Record keeping standards**

- (1) In this Measure “record keeping standard” means a standard relating to the keeping of—

(a) records about other specified standards, and

(b) records about—

(i) complaints concerning a person’s compliance with other specified standards, or

(ii) other complaints concerning the Welsh language.

- (2) In this section “specified standard” means a standard specified by the Welsh Ministers under section 25(1).

**CHAPTER 3**

**PERSONS LIABLE TO BE REQUIRED TO COMPLY WITH STANDARDS**

**32 Persons liable to be required to comply with standards**

- (1) A person (P) is liable to be required to comply with standards if P is—

(a) within Schedule 5 and also within Schedule 6, or

(b) within Schedule 7 and also within Schedule 8.

- (2) This section applies for the purposes of this Part.

**33 Persons who are within Schedules 5, 6, 7 and 8**

- (1) A person is within Schedule 5 if the person is within a category of persons specified in column (2) of the Schedule 5 table.

- (2) A person is within Schedule 6 if the person—

(a) is specified in column (1) of the Schedule 6 table, or

(b) is within a category of persons specified in that column.

- (3) A person is within Schedule 7 if the person is within a category of persons specified in column (2) of the Schedule 7 table.

- (4) A person is within Schedule 8 if the person—

- (a) is specified in column (1) of the Schedule 8 table, or
  - (b) is within a category of persons specified in that column.
- (5) A change in the name of a person specified in Schedule 6 or in Schedule 8 does not affect the operation of this Measure in relation to the person.
- 5 (6) References in this Part to a person's entry in the Schedule 6 table or the Schedule 8 table are to the entry in that table which (in column (1)) specifies –
- (a) P, or
  - (b) a category of persons which P is within.
- (7) This section applies for the purposes of this Measure.

### 10 **34 Amendment of persons and categories specified in Schedules 6 and 8**

- (1) The Welsh Ministers may, by order, amend the Schedule 6 table and Schedule 8 table in accordance with this section.
- (2) The Welsh Ministers may amend the Schedule 6 table so that column (1) includes a reference to –
- 15 (a) a person who falls within one or more of the Schedule 5 categories, or
- (b) a category of persons, all of whom fall within one or more of the Schedule 5 categories.
- (3) The Welsh Ministers may amend the Schedule 6 table by removing any reference in column (1).
- 20 (4) The Welsh Ministers may amend the Schedule 8 table so that column (1) includes a reference to –
- (a) a person who falls within one or more of the Schedule 7 categories, or
- (b) a category of persons, all of whom fall within one or more of the Schedule 7 categories.
- 25 (5) The Welsh Ministers may amend the Schedule 8 table by removing any reference in column (1).
- (6) The Welsh Ministers may make such other amendments of the Schedule 6 table or Schedule 8 table, or of any other provision of this Measure, as they think appropriate in connection with, for the purposes of, or in consequence of, the powers in any of
- 30 subsections (2) to (5).
- (7) In this section –

“Schedule 5 category” (*“categori yn Atodlen 5”*) means a category of persons specified in column (2) of the Schedule 5 table;

35 “Schedule 7 category” (*“categori yn Atodlen 7”*) means a category of persons specified in column (2) of the Schedule 7 table.



**CHAPTER 4**

## STANDARDS POTENTIALLY APPLICABLE

**35 Persons within Schedule 6**

- (1) This section applies to a person (P) who is within Schedule 6.
- 5 (2) A standard specified by the Welsh Ministers under section 25(1) is potentially applicable to P if it belongs to a class of standard that is specified in column (2) of P's entry in the Schedule 6 table.
- (3) For that purpose, each of the following is a class of standard –
- 10 (a) service delivery standards;
- (b) policy making standards;
- (c) operational standards;
- (d) promotion standards;
- (e) record keeping standards.
- (4) This section applies for the purposes of this Part.

**36 Persons within Schedule 8**

- 15 (1) This section applies to a person (P) who is within Schedule 8.
- (2) A standard specified by the Welsh Ministers under section 25(1) is potentially applicable to P if, and to the extent that, the standard is –
- 20 (a) a service delivery standard that relates to the provision by P of a specified service (a “qualifying service delivery standard”), or
- (b) a record keeping standard that relates to the keeping of records about –
- 25 (i) qualifying service delivery standards,
- (ii) complaints concerning P's compliance with qualifying service delivery standards, or
- (iii) complaints concerning the Welsh language that relate to the provision by P of specified services.
- (3) In this section “specified service” means a service that is specified in column (2) of P's entry in the Schedule 8 table.
- (4) This section applies for the purposes of this Part.

**37 Amendment of standards potentially applicable**

- 30 (1) The Welsh Ministers may, by order, amend the Schedule 6 table and Schedule 8 table in accordance with this section.
- (2) The Welsh Ministers may amend the Schedule 6 table so that column (2) of an entry includes a reference to one or more of the following –
- 35 (a) service delivery standards;

- (b) policy making standards;
  - (c) operational standards;
  - (d) record keeping standards.
- 5 (3) The Welsh Ministers may amend the Schedule 6 table so that column (2) of any of the following entries in the table includes a reference to promotion standards—
- (a) the Welsh Ministers' entry;
  - (b) a county borough council's entry;
  - (c) a county council's entry;
  - (d) a National Park authority's entry;
  - 10 (e) an entry for any other person, but only if the person has given consent that promotion standards should be potentially applicable to that person.
- (3A) For the purpose of subsection (3)—
- (a) "consent" means consent in writing given to the Welsh Ministers;
  - (b) a person may withdraw consent, but only with the agreement of the Welsh  
15 Ministers;
  - (c) if a person withdraws consent after that person's entry has been amended to include a reference to promotion standards, the Welsh Ministers must amend the Schedule 6 table by removing the reference to promotion standards in the entry for that person.
- 20 (4) The Welsh Ministers may amend the Schedule 6 table by removing any reference in column (2).
- (5) The Welsh Ministers may amend the Schedule 8 table so that column (2) of an entry relating to a person or category of person includes a reference to provision of a service (the "specified service"), but only if—
- 25 (a) the condition in subsection (6) is met, and
  - (b) the condition in subsection (7) or (8) is met.
- (6) The specified service must fall within a category of service specified in column (3) of the Schedule 7 table (an "available service").
- (7) If the specified service is to relate to a person within Schedule 8, that person must fall  
30 within the category of persons in column (2) of the Schedule 7 table to which the available service relates.
- (8) If the specified service is to relate to a category of persons within Schedule 8, all persons within that category must fall within the category of persons in column (2) of the Schedule 7 table to which the available service relates.
- 35 (9) The Welsh Ministers may amend the Schedule 8 table by removing any reference in column (2).

- (10) The Welsh Ministers may make such other amendments of the Schedule 6 table or Schedule 8 table, or of any other provision of this Measure, as they think appropriate in connection with, for the purposes of, or in consequence of, the powers under any of subsections (2) to (9).

5

**CHAPTER 5**

## STANDARDS THAT ARE SPECIFICALLY APPLICABLE

**38 Standards that are specifically applicable**

- (1) A standard specified by the Welsh Ministers under section 25(1) is specifically applicable to a person (P) if the Welsh Ministers, by regulations, authorise the Commissioner to give P a compliance notice requiring P to comply with the standard.
- (2) The regulations may provide for a standard to be specifically applicable to P by means of provision that refers to—
- (a) P in particular, or
  - (b) a group of persons which P is within.
- (3) This section applies for the purposes of this Part.

15

**39 Duty to make standards specifically applicable**

- (1) This section applies in relation to each standard that is specified by the Welsh Ministers under section 25(1).
- (2) The Welsh Ministers must secure that regulations under section 38 provide for the standard to be specifically applicable to one or more persons.

20

**40 Different standards relating to particular conduct**

- (1) This section applies if regulations under any of paragraphs (a) to (e) of section 25(1) specify a number of standards of the kind referred to in that paragraph in relation to particular conduct.
- (2) Regulations under section 38 may provide for one or more of the following—
- (a) for one standard to be specifically applicable to one person, to two or more persons, or to a group of persons;
  - (b) for two or more standards to be specifically applicable to one person, to two or more persons, or to a group of persons;
  - (c) for different standards to be specifically applicable to different persons.

30

**41 Duty to make certain service delivery standards specifically applicable**

- (1) This section applies if regulations under section 38 provide for any service delivery standard to be specifically applicable to a person (P).

(2) The Welsh Ministers must secure that regulations under section 38 provide for service delivery standards relating to all of the activities specified in Schedule 9 (so far as such standards have been specified by the Welsh Ministers under section 25(1)) to be specifically applicable to P if, and to the extent that, P carries out those activities.

5 (2A) But the Welsh Ministers need not secure that regulations provide for service delivery standards to be specifically applicable to P in relation to an activity specified in Schedule 9 if, or to the extent that –

10 (a) a standards report under section 62 indicates that it would be unreasonable or disproportionate for service delivery standards to be specifically applicable to P in relation to that activity, or

(b) the Welsh Ministers think it would be unreasonable or disproportionate for service delivery standards to be specifically applicable to P in relation to that activity.

15 (3) This section does not prevent regulations under section 38 from providing for other service delivery standards to be specifically applicable to P.

(4) The Welsh Ministers may, by order, amend Schedule 9 by adding, omitting or amending a reference to an activity.

#### **42 Limitation on power to make standards specifically applicable**

(1) Regulations under section 38 may not provide for a standard to be –

20 (a) specifically applicable to a person unless the standard is potentially applicable to that person, or

(b) specifically applicable to a group of persons unless the standard is potentially applicable to all the persons in that group.

25 (2) Regulations under section 38 may not provide for a standard to be specifically applicable to a Minister of the Crown unless the Secretary of State consents to that provision.

(3) In a case where –

(a) a standard is specifically applicable to a Minister of the Crown, and

(b) the standard is modified by provision in regulations under section 25,

30 the standard as modified is not specifically applicable to the Minister of the Crown unless the Secretary of State consents to that provision in those regulations.

(4) In this section, “Minister of the Crown” has the same meaning as in Schedule 6.

**CHAPTER 6**

## COMPLIANCE NOTICES

*Compliance notices***43 Compliance notices**

- 5 (1) In this Measure “compliance notice” means a notice given by the Commissioner to a person (P) which –
- (a) sets out, or refers to, one or more standards specified by the Welsh Ministers under section 25(1), and
  - (b) requires P to comply with the standard or standards set out or referred to.
- 10 (2) A compliance notice may require a person to comply with a particular standard –
- (a) in some circumstances, but not in other circumstances;
  - (b) in some area or areas, but not in other areas.
- (3) If regulations under section 38 provide for two or more standards specified in relation to particular conduct to be specifically applicable to a particular person, a
- 15 compliance notice may require the person –
- (a) to comply with only one of the standards, or
  - (b) to comply with different standards –
    - (i) at different times;
    - (ii) in different circumstances (whether at the same time or different
    - 20 times);
    - (iii) in different areas (whether at the same time or different times).

*Giving compliance notices***44 Giving compliance notices to any person**

- 25 (1) The Commissioner may give a person (P) a compliance notice only if P is liable to be required to comply with standards (see Chapter 3).
- (2) A compliance notice given to P may set out, or refer to, a particular standard specified by the Welsh Ministers under section 25(1) only if the standard –
- (a) is potentially applicable to P (see Chapter 4), and
  - (b) is specifically applicable to P (see Chapter 5).
- 30 (3) If the Commissioner gives P a compliance notice, the Commissioner must also –
- (a) give P a copy of any relevant code of practice issued under section 66, and

(b) inform P of the right of challenge under Chapter 7.

(4) For provision about giving compliance notices to contractors, see section 47.

#### 45 Imposition days

5 (1) This section applies as respects each standard specified in a compliance notice given to a person.

(2) The notice must state the imposition day or imposition days.

(3) The imposition day, or the earliest of the imposition days, must fall after the end of the period of 6 months beginning with the day on which the notice is given.

(4) In this section “imposition day”, in relation to a standard, means –

10 (a) the day from which a person is to be required to comply with the standard, or

(b) the day from which a person is to be required to comply with the standard in a respect.

(5) For provision about giving compliance notices to contractors, see section 47.

#### 46 Consultation

15 (1) The Commissioner must consult a person before giving the person a compliance notice.

(2) But subsection (1) does not require the Commissioner to consult a person on any matter if the Commissioner is satisfied that the person has already been consulted, or given the opportunity to be consulted, on that matter in connection with a standards investigation (see Chapter 8).

(3) The failure of a person to participate in a consultation does not prevent the Commissioner from giving the person a compliance notice.

#### 47 Giving compliance notices to contractors

25 (1) This section applies (in addition to sections 44 and 45) in relation to a qualifying person who provides the public with services (the “relevant services”) provided under an agreement, or in accordance with arrangements, made with a public authority (the “relevant contract”).

(2) A compliance notice given to the qualifying person may set out, or refer to, a particular standard (the “relevant standard”) in relation to the provision of the relevant services under the relevant contract only if –

(a) the public authority is required to comply with the relevant standard in providing the public with the relevant services (or would be so required if it provided the public with those services),

35 (b) the relevant contract was entered into on or after the public authority’s imposition day, and

(c) the qualifying person's imposition day falls on or after the public authority's imposition day.

(3) The Commissioner must secure that the requirement for the qualifying person to comply with the relevant standard (which arises by virtue of the compliance notice being given in accordance with subsection (2)) is the same as, or no greater than, the requirement for the public authority to comply with the standard.

(4) Expressions used in this section and in Schedule 8 have the same meanings in this section as in Schedule 8.

(5) In this section –

“public authority's imposition day” (*“diwrnod gosod yr awdurdod cyhoeddus”*) means the day, or the earliest of the days, on which the public authority is required to comply with the relevant standard;

“qualifying person's imposition day” (*“diwrnod gosod y person neilltuedig”*) means the day, or the earliest of the days, stated in the compliance notice given to the qualifying person as a day when the qualifying person is to be required to comply with the relevant standard in relation to the provision of the relevant services under the relevant contract.

#### *Varying compliance notices*

### **48 Varying compliance notices**

- (1) The Commissioner may vary any compliance notice.
- (2) Sections 44 to 46 apply to the variation of a compliance notice as they apply to the giving of a compliance notice, but only insofar as the notice is varied.
- (3) Section 47 applies to the variation of a compliance notice as it applies to the giving of a compliance notice.

#### *Revoking compliance notices*

### **49 Revoking compliance notices**

- (1) The Commissioner may revoke any compliance notice.
- (2) Subsections (3) and (4) apply in a case where the Commissioner –
  - (a) revokes a compliance notice that was given to a person (the “old notice”), and
  - (b) at the same time gives that person a compliance notice (the “new notice”).

- (3) Sections 44 to 46 apply to the giving of the new notice only insofar as the new notice is different from the old notice.
- (4) Section 47 applies to the giving of the new notice as it applies to the giving of a compliance notice.

5

*When compliance notice in force***50 When compliance notice in force**

- (1) A compliance notice given to a person (P) is in force from the day when the Commissioner gives the notice to P.
- (2) A compliance notice remains in force unless – and until – it is revoked.
- 10 (3) This section applies for the purposes of this Measure.

*Publicising compliance notices***51 Publicising compliance notices**

- (1) This section applies in relation to each compliance notice which is in force.
- (2) As from the relevant imposition day, the Commissioner must –
  - 15 (a) ensure that a copy of the compliance notice is available for inspection at the Commissioner's office, and
  - (b) ensure that copies of the compliance notice are made available at such other places and by such other means (including by electronic means) as the Commissioner thinks appropriate.
- 20 (3) If a person makes an application to the Commissioner under Chapter 7 in respect of a standard, the Commissioner must ensure that, until the application is finally determined, the copies of the compliance notice made available in accordance with subsection (2) indicate –
  - (a) that the application has been made, and
  - 25 (b) that the requirement to comply with the standard does not apply by virtue of section 58 (if that is the case).
- (4) The Commissioner must ensure that the arrangements for inspecting and gaining access to compliance notices are published in such a way as to bring those arrangements to the attention of persons whom the Commissioner thinks likely to
  - 30 have an interest in inspecting or gaining access to compliance notices.
- (5) In this section "relevant imposition day" means –
  - (a) if only one imposition day is stated in a compliance notice, that imposition day;



- (b) if two or more imposition days are stated in a compliance notice, the earliest of those days.

*Cessation of requirement to comply with standard*

**52 Cessation of requirement to comply with standard**

- 5 (1) This section applies in any case where a person (P) ceases to be under the duty in section 24(1) to comply with a standard because –
- (a) one or more of conditions 1 to 3 in section 24 cease to be met, or
- (b) the standard ceases to be specified by the Welsh Ministers under section 25(1).
- 10 (2) As soon as practicable after this section applies, the Commissioner must, by exercising the powers conferred by this Chapter, secure that the change described in subsection (1) is reflected in the compliance notices (if any) that remain in force in relation to P.

**CHAPTER 7**

**RIGHT OF CHALLENGE**

15 **53 Challenging future duties**

- (1) This section applies if –
- (a) the Commissioner has given a person (P) a compliance notice, and
- (b) the notice requires P –
- 20 (i) to comply with a standard, or
- (ii) to comply with a standard in a particular respect,
- as from an imposition day that is in the future.
- (2) P may apply to the Commissioner for the Commissioner to determine whether or not the requirement for P to comply with that standard, or comply with it in that respect, is unreasonable or disproportionate.
- 25 (3) If that determination is being made before the imposition day, the Commissioner must make the determination by reference to the circumstances as they are expected to exist on the imposition day.
- (4) An application under this section must be made before the imposition day.
- (5) In this section “imposition day” has the same meaning as in section 45.

**54 Challenging existing duties**

(1) This section applies if –

(a) the Commissioner has given a person (P) a compliance notice, and

(b) the notice already requires P –

(i) to comply with a standard, or

(ii) to comply with a standard in a particular respect.

(2) P may apply to the Commissioner for the Commissioner to determine whether or not the requirement for P to comply with that standard, or to comply with it in that respect, is unreasonable or disproportionate.

(3) But the Commissioner may refuse to accept an application under this section if he or she is satisfied that there has been no material change in P's circumstances –

(a) since the day on which P was first required to comply with that standard, or to comply with it in that respect, or

(b) if the Commissioner has determined the relevant question on a previous application under this section, since the Commissioner determined the relevant question on that application.

(4) In this section “relevant question” means the question to which an application under this section relates.

**55 Applications to the Commissioner**

(1) This section applies to an application under section 53 or 54 for the Commissioner to determine whether or not the requirement for P to comply with a standard, or to comply with it in a particular respect, is unreasonable or disproportionate.

(2) The application must be made in writing.

(3) The application must be made in the form required by the Commissioner (if he or she requires it to be made in a particular form).

(4) The application must set out the reasons why P considers that the requirement to comply with the standard, or to comply with it in the particular respect, is unreasonable or disproportionate.

**56 Determining an application**

(1) This section applies to –

(a) any application under section 53, and

(b) any application under section 54 which the Commissioner does not refuse to accept.

(2) It is for P to show that the requirement for P to comply with the standard, or to comply with it in the particular respect, is unreasonable or disproportionate.

(3) The Commissioner must determine the application as soon as practicable after the application is made.

- (4) In determining the application, the Commissioner –
- (a) must consult P, and
  - (b) may consult any other person the Commissioner considers to have an interest in the outcome of the application.
- 5 (5) The Commissioner must notify P of the determination of the application.
- (6) If the Commissioner determines that the requirement for P to comply with the standard, or to comply with it in the particular respect, is unreasonable or disproportionate, he or she must do one of the following –
- (a) revoke the compliance notice;
  - 10 (b) revoke the compliance notice and give a new compliance notice;
  - (c) vary the existing compliance notice.
- (7) If the Commissioner gives a new compliance notice or varies the existing compliance notice –
- (a) section 44(3) does not apply, and
  - 15 (b) sections 45(3) and 46 do not apply insofar as the Commissioner and P agree the new compliance notice, or the variation of the existing compliance notice.

## 57 Right of appeal

- (1) This section applies if the Commissioner notifies P under section 56 of a determination that the requirement for P to comply with a standard, or to comply with it in a particular respect, is not unreasonable or disproportionate.
- 20 (2) P may appeal to the Tribunal for the Tribunal to determine whether or not that requirement is unreasonable or disproportionate.
- (3) An appeal under this section must be made within the period of 28 days beginning with the day when the Commissioner notified P under section 56.
- 25 (4) But the Tribunal may, on a written application by P, allow an appeal to be made after the end of that period if the Tribunal is satisfied that there is a good reason –
- (a) for the failure to appeal before the end of that period, and
  - (b) if there has been any delay in applying for permission to appeal out of time, for that delay.
- 30 (5) The Tribunal must notify P and the Commissioner of its determination of an appeal made under this section.
- (6) If the Tribunal determines that the requirement is unreasonable or disproportionate, subsections (6) and (7) of section 56 apply as if the Commissioner had made that determination.
- 35 (7) This section is subject to Tribunal Rules (which may, amongst other things, make provision about the manner in which appeals under this section may be brought).

**57A Appeals from Tribunal**

- (1) This section applies if the Tribunal has decided an appeal under section 57.
- (2) The Commissioner or P may, with the permission of the Tribunal or High Court, appeal to the High Court on a question of law arising out of the decision.
- 5 (3) If the High Court finds that the Tribunal has made an error on a point of law, the High Court –
  - (a) may set aside the decision of the Tribunal, and
  - (b) if it sets the decision aside, must either –
    - 10 (i) remit the case to the Tribunal with directions for its reconsideration, or
    - (ii) re-make the decision.
- (4) The directions that the High Court may give under subsection (3)(b)(i) include, but are not limited to, either or both of the following –
  - (a) a direction that the persons who are to reconsider the case must not be the persons who made the decision that has been set aside;
  - 15 (b) procedural directions in connection with the reconsideration of the case.
- (5) In re-making the decision in accordance with subsection (3)(b)(ii), the High Court –
  - (a) may make any decision which the Tribunal could make if the Tribunal were making the decision, and
  - (b) may make such findings of fact as the High Court thinks appropriate.
- 20 (6) An application for permission to appeal must be made to the Tribunal or High Court within the period of 28 days beginning with the day when the Tribunal notified the person making the application of its determination of the appeal under section 57.
- (7) But the Tribunal or High Court may, on a written application by the Commissioner or P, allow an appeal to be made after the end of that period if the Tribunal or High Court is satisfied that there is a good reason –
  - 25 (a) for the failure to apply for permission to appeal before the end of that period, and
  - (b) if there has been any delay in applying for permission to appeal out of time, for that delay.

**58 Postponement of imposition of duty**

- 30 (1) This section applies if P makes an application under section 53 for the Commissioner to determine whether or not the requirement for P to comply with a standard, or to comply with it in a particular respect, is unreasonable or disproportionate.

- (2) The requirement for P to comply with that standard, or to comply with it in that respect, does not apply unless and until –
- (a) the Commissioner has determined whether or not the requirement is unreasonable or disproportionate, and
  - 5 (b) P's rights of appeal are exhausted.
- (3) For that purpose, P's rights of appeal are exhausted if –
- (a) the period mentioned in section 57(3) for making an appeal to the Tribunal has ended without an appeal being made, or
  - 10 (b) an appeal under section 57 has been made and determined, and a further appeal –
    - (i) may not be made, or
    - (ii) may be made only with the permission of the Tribunal or a court.

## CHAPTER 8

### STANDARDS INVESTIGATIONS AND REPORTS

15

#### *Standards investigations*

#### **59 Standards investigations**

- (1) In this Measure “standards investigation” means an investigation carried out in relation to a person (P) for the purpose of determining one or more of the following questions –
- 20 (a) whether P should be – or should continue to be – liable to be required to comply with standards;
  - (b) if P is within Schedule 6, what standards (if any) should be – or should continue to be – potentially applicable to P;
  - 25 (c) if P is within Schedule 8, what services (if any) should be – or should continue to be – specified in column (2) of P's entry in the Schedule 8 table;
  - (d) what standards (if any) should be – or should continue to be – specifically applicable to P (whether or not the standards are already specified by the Welsh Ministers under section 25(1));
  - 30 (e) any other question which the Commissioner considers to be relevant to the extent to which P may be subject to the duty in section 24(1) to comply with standards.
- (2) A particular standards investigation may be carried out in relation to –
- (a) a particular person, or
  - (b) a group of persons.

**60 Power to carry out standards investigations**

- (1) The Commissioner may carry out standards investigations.
- (2) But the Commissioner may not carry out a standards investigation unless he or she has given an exploration notice to each relevant person, at least 14 days before beginning the investigation.
- (3) An exploration notice is a notice in writing which –
- (a) states that the Commissioner is proposing to carry out a standards investigation, and
  - (b) specifies the subject matter of the standards investigation.
- (4) In this section “relevant person” means –
- (a) in the case of a standards investigation relating to a particular person, that person;
  - (b) in the case of a standards investigation relating to a group of persons, such persons –
    - (i) who appear to the Commissioner to be members of the group, and
    - (ii) to whom the Commissioner thinks it appropriate to give exploration notices.

**61 Requirements when carrying out standards investigations**

- (1) In carrying out a standards investigation, the Commissioner must have regard to the need to secure that requirements for persons to comply with standards by virtue of section 24(1) are not unreasonable or disproportionate.
- (1A) If the Commissioner decides, or is directed, that a standards investigation is to consider whether service delivery standards should be specifically applicable to P, the investigation must –
- (a) consider whether, in respect of each of the activities specified in Schedule 9 which P carries out, it is reasonable and proportionate for service delivery standards to be specifically applicable to P, and
  - (b) as respects each such activity, if it is reasonable and proportionate for service delivery standards to be specifically applicable to P, conclude that service delivery standards should be specifically applicable to P in relation to that activity.
- (2) In carrying out a standards investigation, the Commissioner must consult –
- (a) each relevant person,
  - (b) the Advisory Panel, and

(c) the public, except –

- (i) if, or
- (ii) to the extent that

the Commissioner considers that it is inappropriate to do so.

5 (3) The failure of a person to participate in the Commissioner’s consultation does not prevent the Commissioner from carrying out the standards investigation.

(4) In this section “relevant person” means –

(a) in the case of a standards investigation relating to a particular person, that person;

10 (b) in the case of a standards investigation relating to a group of persons, such persons –

- (i) who appear to the Commissioner to be members of the group, and
- (ii) whom the Commissioner thinks it appropriate to consult.

#### *Standards reports*

### 15 **62 Standards report**

(1) After carrying out a standards investigation, the Commissioner must produce a standards report.

(2) A standards report is a document that sets out –

(a) the conclusions of the standards investigation, and

20 (b) the Commissioner’s reasons for reaching those conclusions.

(3) If –

(a) the conclusions of the investigation are (in whole or in part) that any standards should be specifically applicable to P, and

25 (b) any or all of those standards are not specified by the Welsh Ministers under section 25(1),

the report must set out the standards that are not specified.

(4) As soon as reasonably practicable after preparing a standards report, the Commissioner –

(a) must send a copy of the report to –

30 (i) each relevant person,

(ii) the Advisory Panel,

(iii) each person who participated in the Commissioner’s consultation under section 61, and

(iv) the Welsh Ministers, and

(b) may send a copy of the report to any other person whom the Commissioner considers to have an interest in the report.

(5) In this section “relevant person” means—

(a) in the case of a standards investigation relating to a particular person, that person;

(b) in the case of a standards investigation relating to a group of persons, such persons—

(i) who appear to the Commissioner to be members of the group, and

(ii) to whom the Commissioner thinks it appropriate to give a copy of the report.

#### *Welsh Ministers’ power of direction*

### **63 Direction to carry out standards investigation**

(1) This section applies if the Welsh Ministers exercise their powers under section 15 to direct the Commissioner so as to direct him or her to carry out a standards investigation in respect of a person or group of persons.

(2) The direction must specify the following matters—

(a) the person or group of persons in respect of which the investigation is to be carried out;

(b) the subject matter of the investigation;

(c) the reasons why the Welsh Ministers consider that the Commissioner should conduct the standards investigation;

(d) the period (which must be no shorter than six months) within which the Commissioner must carry out the standards investigation.

(3) Subsection (2) does not prevent the direction from specifying other matters.

#### *Regard to be had to standards report*

### **64 Welsh Ministers to have due regard to report**

(1) This section applies if the Commissioner has carried out a standards investigation and produced a standards report (whether or not at the direction or request of the Welsh Ministers).

(2) The Welsh Ministers must have due regard to the standards report in deciding whether, and how, to exercise the powers conferred on them by this Part.



**CHAPTER 9**

## GENERAL

*Exception for broadcasting***65 Exception for broadcasting**

- 5 (1) This Measure –
- (a) does not require, and
  - (b) does not authorise a person to require,
- a person to comply with a standard if, and to the extent that, the standard relates to broadcasting.
- 10 (2) In this section –
- (a) “broadcasting” means the commissioning, production, scheduling, transmission or distribution of programmes (including advertisements, subtitles, continuity announcements and teletext), access services, interactivity, online content and other output of a similar nature for television, radio, the internet or other online or wireless platforms;
  - (b) but references to broadcasting do not include any activity that is carried out in connection with broadcasting (unless the activity is itself broadcasting).
- 15

*Codes of practice***66 Codes of practice**

- 20 (1) The Commissioner may issue codes of practice for the purpose of providing practical guidance with respect to the requirements of any standards specified by the Welsh Ministers under section 25(1) (“standards codes of practice”).
- (2) The Commissioner may revise or withdraw standards codes of practice.
- (3) The Commissioner must not issue, revise or withdraw a standards code of practice without the consent of the Welsh Ministers.
- 25 (4) Before seeking that consent, the Commissioner must consult –
- (a) persons who are required to comply with the standard or standards to which the code of practice relates, and
  - (b) the Advisory Panel.
- 30 (5) Where a code of practice is issued or revised by the Commissioner, the Commissioner must also issue a notice in writing –
- (a) identifying the code in question and stating the date of issue, and
  - (b) specifying to which standard or standards the code relates.

- (6) Where the Commissioner withdraws a code of practice, the Commissioner must issue a notice in writing identifying the code in question and stating the date on which the code is to cease to have effect.

### 67 Failure to comply with codes

- 5 (1) A person's failure to comply with a provision of an approved code of practice does not render that person liable to enforcement action of any kind.
- (2) But if any action under this Measure is taken in respect of a failure of a person (P) to comply with a standard ("the alleged standards failure") –
- 10 (a) a failure by P to comply with a relevant provision of an approved code of practice may be relied upon as tending to establish that P is liable for the alleged standards failure; and
- (b) compliance with a relevant provision of an approved code of practice may be relied upon as tending to establish that P is not liable for the alleged standards failure.
- 15 (3) References in this section to an approved code of practice are references –
- (a) to a standards code of practice as it has effect for the time being, and
- (b) where a standards code of practice has been revised, to that code as revised as it has effect for the time being.

### *Information*

### 20 68 Supply of information to Commissioner

- (1) The Welsh Ministers may, by regulations, make provision about the supply to the Commissioner of information contained in relevant records.
- (2) That includes, but is not limited to, provision –
- 25 (a) requiring a person to supply such information,
- (b) imposing civil penalties (whether of a fixed amount or an amount determined by the Commissioner) for failures to supply such information, and
- (c) enabling such penalties to be –
- 30 (i) recovered by proceedings in a court, and
- (ii) paid into the Welsh Consolidated Fund (whether or not recovered by proceedings in a court).
- (3) In this section "relevant record" means a record kept by a person in compliance with the duty under section 24 to comply with a record keeping standard.

*Interpretation***69 Interpretation**

(1) In this Part—

- 5 (a) references to a person being liable to be required to comply with standards are to be read in accordance with section 32;
- (b) references to a person’s entry in the Schedule 6 table or the Schedule 8 table are to be read in accordance with section 33;
- (c) references to a standard being potentially applicable to a person are to be read in accordance with sections 35 and 36;
- 10 (d) references to a standard being specifically applicable to a person are to be read in accordance with section 38.

(2) In this Part—

“Schedule 5 table” means the table in Schedule 5;

“Schedule 6 table” means the table in Schedule 6;

15 “Schedule 7 table” means the table in Schedule 7;

“Schedule 8 table” means the table in Schedule 8.

**PART 5**

## ENFORCEMENT OF STANDARDS

**CHAPTER 1**

## INVESTIGATING FAILURE TO COMPLY WITH STANDARDS ETC

*Investigations***70 Investigating failure to comply with standards etc**

(1) The Commissioner may investigate whether a person (D) has failed to comply with a relevant requirement.

25 (2) In this Part, “relevant requirement” means any of the following—

- (a) a duty to comply with a standard specified by the Welsh Ministers (see section 24);
- (b) a requirement included in a decision notice by virtue of section 78 (requirement to prepare action plan or take steps);
- 30 (c) an action plan (see sections 78 and 79);
- (d) a requirement included in a decision notice by virtue of section 81 (publicising failure to comply).

- (3) If the relevant requirement is a duty to comply with a standard, the Commissioner may undertake an investigation under this section only if he or she suspects that D has failed to comply with the relevant requirement.
- (4) Schedule 10 makes further provision about investigations.

5 **71 Discontinuing an investigation**

- (1) This section applies if the Commissioner undertakes an investigation under section 70.
- (2) The Commissioner may, at any time, discontinue the investigation.
- 10 (3) If the Commissioner decides to discontinue the investigation, the Commissioner must—
  - (a) inform each interested person, and
  - (b) inform D of the reasons for reaching the decision.
- (4) The Commissioner must comply with subsection (3) as soon as practicable after reaching the decision.

15 *Determination of investigation*

**72 Determination of investigation**

- (1) This section applies if—
  - (a) the Commissioner undertakes an investigation under section 70, and
  - (b) does not discontinue the investigation.
- 20 (2) The Commissioner must determine whether or not D has failed to comply with the relevant requirement.
- (3) The Commissioner must—
  - (a) produce an investigation report, and
  - (b) give a copy of the investigation report to each interested person.
- 25 (4) The Commissioner must—
  - (a) give D a decision notice, and
  - (b) give a copy of the decision notice to any other interested person.
- (5) This section is subject to section 84.

*Investigation reports*

30 **73 Investigation reports**

- (1) In this Measure, “investigation report” means a report on an investigation under section 70 which includes all of the following—
  - (a) the terms of reference of the investigation;

- (b) a summary of the evidence taken during the investigation;
  - (c) the Commissioner's findings on the investigation;
  - (d) the Commissioner's determination of whether or not D has failed to comply with the relevant requirement;
  - 5 (e) a statement of whether the Commissioner is taking further action;
  - (f) if the Commissioner is taking further action, a statement of that action;
- (2) Subsection (1) does not prevent the Commissioner from including other matters in an investigation report.

#### *Decision notices*

#### 10 **74 Decision notices**

- (1) In this Measure "decision notice" means a notice that states the Commissioner's determination of whether or not D has failed to comply with the relevant requirement.
- 15 (2) Subsection (1) does not prevent a decision notice from including other matters (and certain provisions of this Part require a decision notice to include other matters in certain circumstances).

#### *No failure to comply: Commissioner's options*

#### **75 No failure to comply with the relevant requirement**

- 20 (1) This section applies if the Commissioner determines that D has not failed to comply with a relevant requirement.
- (2) The Commissioner may –
- (a) take no further action, or
  - (b) act under subsection (3).
- (3) The Commissioner may do one or more of the following things –
- 25 (a) give D or any other person recommendations;
- (b) give D or any other person advice.
- (4) This section is subject to section 84.

#### *Failure to comply: Commissioner's options*

#### **76 Failure to comply with relevant requirement**

- 30 (1) This section applies if the Commissioner determines that D has failed to comply with a relevant requirement.
- (2) The Commissioner may –

- (a) take no further action,
  - (b) act under subsection (3), or
  - (c) act under subsection (4).
- (3) The Commissioner may do one or more of the following things –
- 5 (a) require D to prepare an action plan for the purpose of preventing the continuation or repetition of D's failure to comply with the relevant requirement;
  - (b) require D to take steps for the purpose of preventing the continuation or repetition of D's failure to comply with the relevant requirement;
  - 10 (c) publicise D's failure to comply with the relevant requirement;
  - (d) require D to publicise the failure to comply with the relevant requirement;
  - (e) impose a civil penalty on D.
- (4) The Commissioner may do one or more of the following things –
- (a) give D or any other person recommendations;
  - 15 (b) give D or any other person advice;
  - (c) seek to enter into a settlement agreement with D (see Chapter 2), but only if the relevant requirement is a duty to comply with a standard.
- (5) If the Commissioner seeks to enter into a settlement agreement with D –
- (a) D is not obliged to enter into such an agreement;
  - 20 (b) if D declines to enter into a settlement agreement, the Commissioner may, but need not, exercise his or her powers under this section differently.
- (6) If the Commissioner acts under subsection (3), subsections (2) and (3) do not prevent the Commissioner from also doing either or both of the following –
- (a) giving D or any other person recommendations;
  - 25 (b) giving D or any other person advice.
- (7) This section is subject to section 84.

*No imposed enforcement action*

**77 No imposed enforcement action**

- (1) This section applies if the Commissioner –
- 30 (a) determines that D has failed to comply with a relevant requirement, but
  - (b) decides –
    - (i) to take no further action, or

- (ii) to act under section 76(4).
- (2) The relevant decision notice must give the Commissioner's reasons for deciding –
  - (a) to take no further action, or
  - (b) to act under section 76(4) and not under section 76(3).
- 5 (3) This section is subject to section 84.
- (4) In this section “relevant decision notice” means the notice which the Commissioner is required by section 72 to give to D.

*Preventing continuation or repetition of D's failure*

**78 Requirement to prepare action plan or take steps**

- 10 (1) This section applies if the Commissioner –
  - (a) determines that D has failed to comply with a relevant requirement, and
  - (b) decides to require D to do either or both of the following –
    - 15 (i) to prepare an action plan for the purpose of preventing the continuation or repetition of D's failure to comply with the relevant requirement;
    - (ii) to take steps for the purpose of preventing the continuation or repetition of D's failure to comply with the relevant requirement.
- (2) The relevant decision notice must set out what the Commissioner requires D to do.
- (3) If the Commissioner requires D to prepare an action plan, the relevant decision notice
  - 20 must specify the period within which D must –
    - (a) produce a first draft plan, and
    - (b) give that draft to the Commissioner.
- (4) The relevant decision notice must inform D of –
  - 25 (a) the consequences if D does not comply with a requirement included in the notice by virtue of this section; and
  - (b) the right to appeal under section 91.
- (5) This section is subject to section 84.
- (6) In this section “relevant decision notice” means the decision notice which the Commissioner is required by section 72 to give to D.

**79 Action plans**

- (1) This section applies if the Commissioner gives D a decision notice which requires D to prepare an action plan.
- (2) D must give a first draft plan to the Commissioner within the period specified in the decision notice.
- (3) After receiving a first draft plan from a person the Commissioner must –
- (a) approve it, or
  - (b) give the person a notice which –
    - (i) states that the draft is not adequate,
    - (ii) requires the person to give the Commissioner a revised draft by a specified time, and
    - (iii) may make recommendations about the content of the revised draft.
- (4) Subsection (3) applies in relation to a revised draft plan as it applies in relation to a first draft plan.
- (5) An action plan comes into force –
- (a) at the end of the period of six weeks beginning with the date on which a first draft or revised draft is given to the Commissioner, if that period expires without the Commissioner –
    - (i) giving a notice under subsection (3)(b), or
    - (ii) applying for an order under subsection (6)(b), or
  - (b) upon a court's declining to make an order under subsection (6)(b) in relation to a revised draft of the plan.
- (6) The Commissioner may apply to a county court –
- (a) for an order requiring a person to give the Commissioner a first draft plan by a time specified in the order; or
  - (b) for an order requiring a person who has given the Commissioner a revised draft plan to prepare and give to the Commissioner a further revised draft plan –
    - (i) by a time specified in the order, and
    - (ii) in accordance with any directions about the plan's content specified in the order.
- (7) An action plan may be varied by agreement between the Commissioner and the person who prepared it.
- (8) Paragraphs 5 to 12 of Schedule 10 apply in relation to consideration by the Commissioner of the adequacy of a draft action plan as they apply in relation to the conduct of an investigation.



*Publicising D's failure to comply***80 Publicising the failure to comply**

(1) In this Measure, references to the Commissioner publicising D's failure to comply with the relevant requirement are to the Commissioner doing either or both of the following –

- (a) publishing a statement that D has failed to comply with the relevant requirement;
- (b) publishing the investigation report produced in relation to the investigation of D.

(2) In this Measure, references to D being required to publicise the failure to comply with the relevant requirement are to D being required to publicise any or all of the following –

- (a) a statement that D has failed to comply with the relevant requirement;
- (b) the investigation report produced in relation to the investigation of D;
- (c) other information relating to D's failure to comply with the relevant requirement.

**81 Requiring the failure to comply to be publicised**

(1) This section applies if the Commissioner –

- (a) determines that D has failed to comply with a relevant requirement, and
- (b) decides to do either or both of the following –
  - (i) publicise D's failure to comply with the relevant requirement;
  - (ii) require D to publicise the failure to comply with the relevant requirement.

(2) The relevant decision notice must set out what the Commissioner –

- (a) is to do to publicise D's failure;
- (b) requires D to do to publicise the failure.

(3) The relevant decision notice must inform D of –

- (a) the consequences if D does not comply with a requirement included in the notice by virtue of this section; and
- (b) the right to appeal under section 91.

(4) This section is subject to section 84.

(5) In this section "relevant decision notice" means the decision notice which the Commissioner is required by section 72 to give to D.

*Civil penalties***82 Civil penalties**

- (1) The Commissioner must have regard to the matters set out in subsection (2) when determining –
- 5 (a) whether to impose a civil penalty on any person, and  
(b) the amount of any civil penalty.
- (2) Those matters are –
- (a) the seriousness of the matter in respect of which the civil penalty is to be imposed;
- 10 (b) the circumstances of the person on whom the civil penalty is to be imposed;
- (c) the need to prevent the continuation or repetition of the matter in respect of which the civil penalty is to be imposed.
- (3) Subsection (1) does not prevent the Commissioner from having regard to other matters.
- 15 (4) A civil penalty must not exceed £5,000.
- (5) A civil penalty is recoverable by the Commissioner as a debt due to the Commissioner.
- (6) The Commissioner must pay all civil penalties received by him or her into the Welsh Consolidated Fund.
- 20 (7) The Welsh Ministers may, by order, substitute a different amount for the amount that is specified for the time being in subsection (4).
- (8) In this section “civil penalty” means any civil penalty that may be imposed by the Commissioner.

**83 Imposition of civil penalty**

- 25 (1) This section applies if the Commissioner –
- (a) determines that D has failed to comply with a relevant requirement, and  
(b) decides to impose a civil penalty on D.
- (2) The relevant decision notice must –
- (a) set out the civil penalty which the Commissioner has decided to impose;
- 30 (b) set out how the civil penalty may be paid;
- (c) set out the period within which the civil penalty must be paid (which must be a period of not less than 28 days).
- (3) The relevant decision notice must also inform D of –
- (a) the consequences if D does not pay the civil penalty; and
- 35 (b) the right to appeal under section 91.
- (4) This section is subject to section 84.

- (5) In this section “relevant decision notice” means the decision notice which the Commissioner is required by section 72 to give to D.

### *Consultation*

#### **84 Consultation before final determination etc**

- 5 (1) This section applies if the Commissioner undertakes an investigation under section 70.
- (2) Before finally determining whether or not D has failed to comply with the relevant requirement, the Commissioner must give each interested person notice of the determination which the Commissioner is proposing to make.
- 10 (3) Before finally deciding what, if any, further action to take, the Commissioner must give each interested person –
- (a) notice of whether or not the Commissioner is proposing to take further action, and a statement of the Commissioner’s reasons for proposing to do so;
  - 15 (b) if the Commissioner is proposing to take further action, notice of that proposed action, and a statement of the Commissioner’s reasons for proposing to take that action; and
  - (c) copies of the draft of the decision notice which the Commissioner is proposing to give.
- (4) Before settling the investigation report, the Commissioner must give each interested person a draft of the proposed report.
- 20 (5) The Commissioner must –
- (a) give D an opportunity to make representations about the proposals referred to in subsections (2), (3) and (4), and
  - 25 (b) give any other interested person an opportunity to make representations about the proposals referred to in subsections (2) and (4).
- (6) The Commissioner must have due regard to any representations made by D or any other interested person before the Commissioner does any thing to which the representations relate.
- 30 (7) The Commissioner is to determine the period within which a person may make representations in accordance with subsection (5); but the period must not be less than 28 days.

### *When enforcement action takes effect*

#### **85 When enforcement action takes effect**

- 35 (1) This section applies if the Commissioner gives D a decision notice setting out enforcement action which the Commissioner has decided to take in relation to an investigation under section 70.
- (2) D must –

(a) prepare an action plan or take steps, or

(b) publicise a failure to comply,

if, in accordance with section 78 or 81, the decision notice requires D to do so.

(3) D must pay a civil penalty set out in the decision notice in accordance with section 83.

5 (4) But subsections (2) and (3) apply only after the end of the 28 day period for making a relevant appeal.

(5) The Commissioner may publicise D's failure to comply with the relevant requirement only after the end of the 28 day period for making a relevant appeal.

10 (6) If a relevant appeal is made, subsections (2), (3) and (5) do not apply unless and until –

(a) that appeal, and any further appeal, has been disposed of, and

(b) a further appeal –

(i) may not be made, or

(ii) may be made only with the permission of the Tribunal or a court.

15 (7) In this section “relevant appeal” means an appeal to the tribunal under section 91 in respect of the matters set out in the decision notice.

#### *Enforcement by county court*

### **86 Failure to comply with requirement to prepare action plan or take steps**

20 (1) This section applies if the Commissioner gives D a decision notice that requires D to take steps for the purpose of preventing the continuation or repetition of D's failure to comply with the relevant requirement.

(2) The Commissioner may, during the relevant period, apply to a county court for an order requiring D to comply with the requirement in the decision notice.

25 (3) In this section “relevant period” means the period of 5 years beginning with the day when the decision notice is given.

### **87 Failure to comply with action plan**

(1) This section applies if D has prepared an action plan in accordance with section 79.

(2) The Commissioner may, during the relevant period, apply to a county court for an order requiring D to comply with the action plan.

30 (3) In this section “relevant period” means the period of 5 years beginning with the day when the action plan comes into force.

**88 Failure to comply with requirement to publicise failure to comply**

- (1) This section applies if the Commissioner gives D a decision notice that requires D to take steps to publicise the failure to comply.
- (2) The Commissioner may, during the relevant period, apply to a county court for an order requiring D to comply with the requirement in the decision notice.
- (3) In this section “relevant period” means the period of 5 years beginning with the day when the decision notice is given.

**CHAPTER 2**

## SETTLEMENT AGREEMENTS

**89 Settlement agreements**

- (1) A reference to a settlement agreement between the Commissioner and a person (D) in relation to D’s failure to comply with a standard (the “relevant failure”) is a reference to an agreement which contains –
  - (a) an undertaking by D to do one or more of the following –
    - (i) not to fail to comply with one or more standards;
    - (ii) to take particular action (which may include, but is not limited to, the preparation of a plan of steps to be taken);
    - (iii) to refrain from taking particular action; and
  - (b) an undertaking by the Commissioner not to take enforcement action in respect of the relevant failure.
- (2) A settlement agreement –
  - (a) may include incidental or supplemental provision (which may include, but is not limited to, provision for termination in specified circumstances), and
  - (b) may be varied or terminated by agreement of the Commissioner and D.
- (3) D is not to be taken to have admitted the relevant failure by reason only of entering into a settlement agreement.
- (4) Subsection (1) applies for the purposes of this Measure.

**90 Failure to comply with settlement agreement**

- (1) The Commissioner may, during the relevant period, apply to a county court for an order requiring D to comply with a settlement agreement.
- (2) In this section “relevant period” means the period of 5 years beginning with the day when the settlement agreement is entered into.

**CHAPTER 3**

## APPEALS

**91 Appeals to the Tribunal**

- 5 (1) This section applies if the Commissioner –
- (a) undertakes an investigation under section 70, and
  - (b) determines that D has failed to comply with a relevant requirement.
- (2) D may appeal to the Tribunal on the grounds that D did not fail to comply with the relevant requirement.
- 10 (3) If the Commissioner takes enforcement action in connection with D's failure to comply with the relevant requirement, D may appeal to the Tribunal on the grounds that the enforcement action is unreasonable or disproportionate.
- (4) An appeal under this section must be made before the end of the relevant 28 day period.
- 15 (5) But the Tribunal may, on a written application by D, allow an appeal to be made after the end of the relevant 28 day period if the Tribunal is satisfied that there is a good reason –
- (a) for the failure to appeal before the end of that period, and
  - (b) if there has been any delay in applying for permission to appeal out of time, for that delay.
- 20 (6) An application under subsection (5) may be made before or after the end of the relevant 28 day period.
- (7) D may appeal under subsection (3) whether or not D also appeals under subsection (2).
- 25 (8) This section is subject to Tribunal Rules (which may, amongst other things, make provision about the manner in which appeals under this section may be brought).
- (9) In this Chapter "relevant 28 day period" means the period of 28 days beginning with the day on which the Commissioner gives D the decision notice in relation to the investigation.

**92 Powers of Tribunal on appeal**

- 30 (1) On an appeal under section 91(2), the Tribunal may –
- (a) affirm the Commissioner's determination, or
  - (b) annul the Commissioner's determination.
- (2) On an appeal under section 91(3), the Tribunal may –
- (a) affirm the enforcement action,
  - 35 (b) vary the enforcement action (including, but not limited to, by taking enforcement action of a different kind), or

(c) annul the enforcement action.

(2A) The Tribunal must notify D and the Commissioner of its decision on an appeal under section 91.

(3) Any decision of the Tribunal on an appeal under section 91 has the same effect, and may be enforced in the same manner, as a determination of the Commissioner.

### 93 Appeals from Tribunal

(1) This section applies if the Tribunal has decided an appeal under section 91.

(2) The Commissioner or D may, with the permission of the Tribunal or High Court, appeal to the High Court on a question of law arising out of the decision.

(3) If the High Court find that the Tribunal has made an error on a point of law, the High Court –

(a) may set aside the decision of the Tribunal, and

(b) if it sets the decision aside, must either –

(i) remit the case to the Tribunal with directions for its reconsideration, or

(ii) re-make the decision.

(4) The directions that the High Court may give under subsection (3)(b)(i) include, but are not limited to, either or both of the following –

(a) a direction that the persons who are to reconsider the case must not be the persons who made the decision that has been set aside,

(b) procedural directions in connection with the reconsideration of the case.

(5) In re-making the decision in accordance with subsection (3)(b)(ii), the High Court –

(a) may make any decision which the Tribunal could make if the Tribunal were making the decision, and

(b) may make such findings of fact as the High Court thinks appropriate.

(6) An application for permission to appeal must be made to the Tribunal or High Court within the period of 28 days beginning with the day when the Tribunal notified the person making the application of its decision on the appeal under section 91.

(7) But the Tribunal or High Court may, on a written application by the Commissioner or P, allow an appeal to be made after the end of that period if the Tribunal or High Court is satisfied that there is a good reason –

(a) for the failure to apply for permission to appeal before the end of that period, and

(b) if there has been any delay in applying for permission to appeal out of time, for that delay.

**93A Commissioner's duty on an appeal**

(1) This section applies if—

(a) the Commissioner has carried out an investigation under section 70 following a complaint under section 94, and

(b) an appeal under section 91 or 93, or any further appeal, is made in relation to the investigation.

(2) The Commissioner must—

(a) as soon as reasonably practicable after being informed of the appeal, give the person who made the complaint notice that the appeal has been made, and

(b) as soon as reasonably practicable after being informed of the outcome of the appeal, give the person who made the complaint notice of the outcome.

**CHAPTER 4****GENERAL***Non-compliance with standards: complaints by persons affected***94 Consideration of whether to investigate if conduct complained about**

(1) The Commissioner must consider whether to carry out an investigation under section 70 of whether the conduct of a person (D) ("the alleged conduct") amounts to a failure to comply with a standard if—

(a) a person (P) makes a complaint to the Commissioner about that conduct, and

(b) that complaint is valid.

(2) A complaint made by P to the Commissioner is a valid complaint if the conditions in subsections (3) to (6) are met.

(3) P must be—

(a) a person who appears to the Commissioner to have been directly affected by the alleged conduct, or

(b) a person acting on behalf of such a person.

(4) The complaint must be made in writing, unless P's personal circumstances are such that it would not be reasonable for P to make the complaint in writing.

(5) The complaint must give an address at which the Commissioner may contact P (whether the address is postal, electronic or of another description).

(6) The complaint must identify—

(a) D, and

(b) the alleged conduct.

(7) But, if those conditions are met, the Commissioner need not consider whether to carry out the investigation of the alleged conduct if—



- (a) the complaint is made more than 1 year after the affected person became aware of the alleged conduct,
- (b) the Commissioner considers that the complaint is frivolous or vexatious or is one that has already been made repeatedly, or
- 5 (c) the complaint is withdrawn.
- (8) This section does not prevent the Commissioner from considering whether to carry out the investigation of the alleged conduct if –
- (a) any of the conditions in subsections (3) to (6) is not met, or
- (b) subsection (7) applies.
- 10 (9) In this section “affected person” means the person who appears to the Commissioner to have been directly affected by the alleged conduct.

## 95 Notification if no investigation etc

- (1) This section applies in any of the following cases.
- (2) The first case is where –
- 15 (a) the duty under section 94 to consider whether to carry out an investigation of the alleged conduct applies, and
- (b) the Commissioner decides not to carry out an investigation.
- (3) The second case is where –
- (a) section 94(7) applies in relation to a complaint, and
- 20 (b) the Commissioner decides not to consider whether to carry out an investigation of the alleged conduct.
- (4) The third case is where –
- (a) the duty under section 94 to consider whether to carry out an investigation of the alleged conduct applies,
- 25 (b) the Commissioner decides to carry out an investigation, and
- (c) the Commissioner then decides to discontinue the investigation.
- (5) The Commissioner must notify P of –
- (a) the decision mentioned in subsection (2)(b), (3)(b) or (4)(c), and
- (b) the reasons for that decision.

## 30 *Obstruction and contempt*

## 96 Obstruction and contempt

- (1) If the Commissioner is satisfied that the condition in subsection (2) is met in relation to a person, the Commissioner may issue a certificate to that effect to the High Court.
- (2) The condition is that the person –
- 35 (a) without lawful excuse, has obstructed the discharge of any of the Commissioner’s functions under this Part, or

(b) has done an act in relation to an investigation under section 70 which, if the investigation were proceedings in the High Court, would constitute contempt of court.

5 (3) If the Commissioner issues a certificate under subsection (1), the High Court may inquire into the matter.

(4) If the High Court is satisfied that the condition in subsection (2) is met in relation to the person, it may deal with the person in any manner in which it could have dealt with the person if the person had committed contempt in relation to the High Court.

#### *Enforcement policy document*

### 10 **97 Enforcement policy document**

(1) The Commissioner must produce an enforcement policy document.

(2) The Commissioner may amend the enforcement policy document.

15 (3) An enforcement policy document is a document setting out advice and information on the Commissioner's intended approach to the exercise of the Commissioner's functions under this Part.

(4) The Commissioner may not produce or amend the enforcement policy document without the approval of the Welsh Ministers.

(5) The Commissioner must –

20 (a) ensure that a copy of the enforcement policy document is available for inspection at the Commissioner's office, and

(b) ensure that copies of the document are made available at such other places and by such other means (including by electronic means) as he or she thinks appropriate.

25 (6) The Commissioner must ensure that the arrangements for inspecting and gaining access to copies of the enforcement policy document are published in such a way as to bring those arrangements to the attention of persons whom the Commissioner thinks likely to have an interest in the document.

#### *Register of enforcement action*

### 30 **98 Register of enforcement action**

(1) The Commissioner must create and maintain a register of enforcement action.

(2) The register of enforcement action must include all of the following –

(a) a description of every investigation undertaken by the Commissioner;

(b) as respects each investigation undertaken, the following information as included in the investigation report –

35 (i) the Commissioner's findings on the investigation;

(ii) the Commissioner's determination of whether or not D had failed to comply with the relevant requirement;

- (iii) the statement of whether the Commissioner took further action;
- (iv) if the Commissioner took further action, the statement of that action;
- (c) as respects each investigation undertaken, details of any decision notice given;
- (d) details of appeals made to the Tribunal under Chapter 3 (including, but not limited to, the decisions made by the Tribunal).
- 5
- (3) The Commissioner must keep the register of enforcement action up to date.
- (4) The Commissioner must –
- (a) ensure that a copy of the register of enforcement action is available for inspection at the Commissioner’s office, and
- 10 (b) ensure that copies of the register of enforcement action are made available at such other places and by such other means (including by electronic means) as he or she thinks appropriate.
- (5) The Commissioner must ensure that the arrangements for inspecting and gaining access to copies of the register of enforcement action are published in such a way as to bring those arrangements to the attention of persons whom the Commissioner thinks likely to have an interest in the register.
- 15
- (6) In this section “investigation” means an investigation under section 70.

### *Interpretation*

## 99 Interpretation

- 20 In this Part –
- “enforcement action” (*“camau gorfodi”*), in relation to an investigation under section 70, means one or more of the following –
- (a) requiring D to prepare an action plan for the purpose of preventing the continuation or repetition of D’s failure;
- 25 (b) requiring D to take steps for the purpose of preventing the continuation or repetition of D’s failure;
- (c) publicising D’s failure;
- (d) requiring D to publicise the failure;
- (e) imposing a civil penalty on D;
- 30 “interested person” (*“person a chanddo fuddiant”*), in relation to an investigation under section 70, means –
- (a) D, and
- (b) if the investigation follows a complaint under section 94, the person who made the complaint.

**PART 6**

## FREEDOM TO USE WELSH

**100 Application to Commissioner**

- 5 (1) An individual (P) may apply to the Commissioner for the Commissioner to investigate whether a person (D) has interfered with P's freedom to undertake a Welsh communication with another individual (R) (the "alleged interference").
- (2) An application under this section must comply with the following requirements.
- (3) The application must be made in writing, unless P's personal circumstances are such that it would not be reasonable for P to make the application in writing.
- 10 (4) The application must give an address at which the Commissioner may contact P (whether the address is postal, electronic or of another description).
- (5) The application must identify –
- (a) D, and
  - (b) the alleged interference.

**15 101 Welsh communications**

In this Measure, "Welsh communication" means a communication in Welsh between two individuals, both of whom –

- (a) are in Wales, and
  - (b) wish to use the Welsh language with one another in undertaking the communication.
- 20

**102 Interference with freedom to use Welsh**

- (1) For the purposes of this Measure, D is to be taken to interfere with P's freedom to undertake a Welsh communication with R in any of the following cases.
- (2) Case 1 is where D indicates that P or R should not undertake –
- 25 (a) a particular communication in Welsh that is a Welsh communication, or
- (b) a category of communications in Welsh consisting (wholly or partly) of one or more Welsh communications.
- (3) Case 2 is where D indicates that P or R will be subjected to a detriment (by D or any other person) because P or R has undertaken –
- 30 (a) a particular communication in Welsh that is a Welsh communication, or
- (b) a category of communications in Welsh consisting (wholly or partly) of one or more Welsh communications.
- (4) Case 3 is where D, or a person acting at D's instigation, subjects P or R to a detriment because P or R has undertaken –
- 35 (a) a particular communication in Welsh that is a Welsh communication, or

(b) a category of communications in Welsh consisting (wholly or partly) of one or more Welsh communications.

5 (5) But, in a case falling within subsection (2)(b), (3)(b) or (4)(b), D is to be taken to interfere with P's freedom to undertake a Welsh communication only insofar as the category of communications consists of one or more Welsh communications.

(6) For the purposes of subsection (2), the circumstances in which D is to be taken to indicate that P or R should not undertake a particular communication, or a category of communications, include, but are not limited to, circumstances where –

10 (a) D instructs P or R not to undertake the communication or category of communications,

(b) D indicates that P or R will be subjected to a detriment (by D or any other person) if P or R undertakes the communication or category of communications, or

15 (c) D, or a person acting at D's instigation, subjects P or R to a detriment in connection with P or R undertaking the communication or category of communications.

(7) For the purposes of this section, it is irrelevant –

(a) whether or not D or any other person has authority to give an indication, and

(b) whether or not D or any other person has authority to enforce an indication.

20 (8) In this section, references to P or R being subjected to a detriment include P or R being intimidated, bullied, harassed or humiliated.

### 103 Deciding whether to investigate

(1) This section applies if P makes an application to the Commissioner under section 100.

25 (2) It is for the Commissioner to decide whether or not to investigate the alleged interference.

(3) When deciding whether to investigate the alleged interference, the Commissioner –

30 (a) must take into account the context in which interference is alleged to have taken place (including, but not limited to, the relationships, if any, that exist between D and P and between D and R);

(b) may ask P, D, or any other person, for information or views relating to the alleged interference; and

(c) must, if he or she asks P or D for information or views, give P or D the relevant information about investigations.

35 (4) Subsection (3) does not limit the matters which the Commissioner may consider when deciding whether to investigate the alleged interference.

(5) If the Commissioner decides to investigate the alleged interference, the Commissioner must –

(a) inform P and D of the decision, and

- (b) give P and D the relevant information about investigations (insofar as the Commissioner has not already given the information under subsection (3)(c)).
- (6) If the Commissioner decides not to investigate the alleged interference, the Commissioner must inform P of –
- 5 (a) the decision, and
- (b) the reasons for reaching the decision.
- (7) The Commissioner must comply with subsection (5) or (6) as soon as practicable after reaching the decision in question.
- (8) In this section “relevant information about investigations” means information
- 10 about –
- (a) the procedure for carrying out investigations under this Part, and
- (b) the Commissioner’s powers in relation to such investigations (including, but not limited to, the power under section 107 to produce and publish reports and other documents).

#### 15 **104 Investigations**

- (1) This section applies if the Commissioner decides to investigate the alleged interference.
- (2) The Commissioner may ask P, D, or any other person, for information or views relating to the alleged interference.
- 20 (3) The Commissioner must, so far as it is practicable, give D the opportunity to respond to the allegations made by P or any other person.

#### **105 Discontinuing investigations**

- (1) The Commissioner may, at any time, discontinue the investigation of the alleged interference.
- 25 (2) If the Commissioner decides to discontinue the investigation, the Commissioner must –
- (a) inform P and D of the decision, and
- (b) inform P of the reasons for reaching the decision.
- (3) The Commissioner must comply with subsection (2) as soon as practicable after
- 30 reaching the decision.

#### **106 Concluding investigations**

- (1) This section applies if the Commissioner –
- (a) decides to investigate the alleged interference, and
- (b) does not discontinue the investigation.
- 35 (2) The Commissioner must determine whether or not D has interfered with P’s freedom to undertake the Welsh communication.

- (3) If the Commissioner determines that D has interfered with P's freedom to undertake the Welsh communication, the Commissioner must also give his or her views on the interference (including, but not limited to, his or her views on whether the interference was justified).
- 5 (4) Before the Commissioner makes a determination under subsection (2) or gives his or her views under subsection (3), he or she must –
- (a) inform D of the determination which the Commissioner is proposing to make and of the views which the Commissioner is proposing to give, and
  - (b) so far as it is practicable, give D the opportunity to respond to the proposed
- 10 determination and views.
- (5) The Commissioner must notify P and D –
- (a) of the determination of P's application, and
  - (b) if the determination is that D has interfered with P's freedom to undertake the Welsh communication, of his or her views on the interference.
- 15 (6) The Commissioner must comply with subsection (5) as soon as practicable after making the determination.
- (7) The Commissioner may give P, D, or any other person advice about –
- (a) the alleged interference, or
  - (b) any matter that relates to the alleged interference.

## 20 **107 Reports**

- (1) This section applies in any case where an application is made under section 100.
- (2) The Commissioner may produce, and give the Welsh Ministers, a report on –
- (a) the application, and
  - (b) the action taken by the Commissioner in response to the application.
- 25 (3) The Commissioner must give copies of any such report to P and D.
- (4) The Commissioner may publish –
- (a) a report given to the Welsh Ministers under subsection (2),
  - (b) a version of such a report, or
  - (c) another document that relates (whether wholly or partly) to the subject matter
- 30 of such a report,
- (a "public document"), but only if the following conditions are met.
- (5) The first condition is that the Commissioner –
- (a) notifies P and D of the intention to publish a public document, and
  - (b) so far as it is practicable, gives P, D, or any other person the Commissioner
- 35 considers appropriate, the opportunity to provide the Commissioner with views about publication of a public document.
- (6) The second condition is that –

- (a) P and D agree to the publication of a public document, or
  - (b) the Commissioner considers that it is in the public interest to publish a public document.
- 5 (7) In considering whether it is in the public interest to publish a public document, the Commissioner must take account of, amongst other things –
- (a) the interests of P and D, and
  - (b) the interests of any other persons which the Commissioner thinks it is appropriate to take account of.
- 10 (8) In relation to any application in which the Commissioner determines that D has not interfered with P's freedom to undertake a Welsh communication, a public document must not identify D.

### 108 Annual report to Welsh Ministers

- (1) The Commissioner must include in each annual report produced in accordance with Part 2 a report on –
- 15 (a) relevant applications made to the Commissioner in the period to which the report relates,
- (b) the action taken by the Commissioner in response to relevant applications made in that period, and
- 20 (c) the Commissioner's view of the adequacy and effectiveness of the law in protecting the freedom of persons in Wales wishing to use the Welsh language to do so with one another.
- (2) In formulating a view for the purposes of subsection (1)(c), the matters which the Commissioner must consider include, but are not limited to –
- 25 (a) all relevant applications made since section 100 came into force, and
- (b) all action taken by the Commissioner in response to relevant applications made since section 100 came into force.
- (3) In relation to any relevant application in which the Commissioner determines that D has not interfered with P's freedom to undertake a Welsh communication, the matters included in an annual report in accordance with this section must not
- 30 identify D.
- (4) The Welsh Ministers may, by regulations, make provision about reports under this section.
- (5) In this section "relevant application" means an application made under section 100.



**PART 7**

## WELSH LANGUAGE TRIBUNAL

*The Tribunal***109 The Welsh Language Tribunal**

- 5 (1) There is to be a Welsh Language Tribunal (referred to in this Measure as the “Tribunal”).
- (2) The Tribunal is to consist of the following members –
- (a) the President of the Welsh Language Tribunal (referred to in this Measure as the “President”);
- 10 (b) legally-qualified members; and
- (c) lay members.
- (3) The Welsh Ministers must appoint the members of the Tribunal.
- (4) Schedule 11 makes further provision about the Tribunal.

**110 Composition for proceedings before Tribunal**

- 15 (1) The President must select the members of the Tribunal who are to deal with particular proceedings before the Tribunal.
- (2) The President must select three members of the Tribunal to deal with the proceedings.
- (3) The President must ensure that –
- 20 (a) at least one of the three members is a legal member, and
- (b) at least one of the three members is a lay member.
- (4) If only one of the three members is a legal member, that legal member is to chair the proceedings.
- (5) If more than one of the three members are legal members, the President is to select
- 25 the legal member who is to chair the proceedings.
- (6) This section is subject to Tribunal Rules.
- (7) In this section “legal member” means –
- (a) the President, or
- (b) a legally-qualified member of the Tribunal.

**111 Hearings in public**

- 30 (1) Proceedings before the Tribunal are to be held in public.
- (2) But that is subject to Tribunal Rules.

*Practice and procedure etc***112 Welsh Language Tribunal Rules**

- (1) The President must make rules governing the practice and procedure to be followed in the Tribunal.
- 5 (2) The rules are to be known as “Welsh Language Tribunal Rules” (but are referred to in this Measure as “Tribunal Rules”).
- (3) Tribunal Rules must include the following –
  - (a) provision about the selection under section 110(2) of the three members of the Tribunal to deal with proceedings;
  - 10 (b) provision about the selection under section 110(5) of the legal member to chair proceedings;
  - (c) provision about conflicts of interest that arise –
    - (i) in relation to the participation of members of the Tribunal in the determination of proceedings, or
    - 15 (ii) in relation to the exercise of the President’s functions under section 110.
- (4) Tribunal Rules may, amongst other things, include provision about the following matters –
  - 20 (a) the exercise by the President, or by the member chairing any proceedings, of any functions which relate to matters that are preliminary or incidental to the proceedings;
  - (b) the conduct of proceedings in the absence of any member other than the member chairing them;
  - (c) the disclosure or inspection of documents, and such right to further particulars as might be granted by a county court;
  - 25 (d) the determination of proceedings without a hearing in circumstances prescribed in Tribunal Rules;
  - (e) frivolous and vexatious proceedings;
  - (f) the award of costs (including, but not limited to, punitive costs) or expenses;
  - 30 (g) assessing or otherwise settling any such costs or expenses (and, in particular, for enabling such costs to be assessed in the county court);
  - (h) the publication of reports of the Tribunal’s decisions;
  - (i) the Tribunal’s powers to review its decisions, or revoke or vary its orders, in such circumstances as may be determined in accordance with Tribunal Rules.
- 35 (5) The power to make Tribunal Rules includes power –
  - (a) to make different provision for different purposes, and
  - (b) to confer functions on the President or the Welsh Ministers (as well as on any other person), including functions involving the exercise of a discretion.

- (6) The President must submit Tribunal Rules to the Welsh Ministers.
- (7) The Welsh Ministers may allow or disallow Tribunal Rules submitted to them.
- (8) Rules allowed by the Welsh Ministers –
  - (a) come into force on such day that the Welsh Ministers direct, and
  - (b) are to be contained in a statutory instrument to which the Statutory Instruments Act 1946 applies as if the instrument contained rules made by the Welsh Ministers.
- (9) A statutory instrument containing rules made by the President is subject to annulment in pursuance of a resolution of the National Assembly for Wales.

#### 114 Practice directions

- (1) The President may give directions as to the practice and procedure of the Tribunal.
- (2) But the President may not give practice directions unless they have been approved by the Welsh Ministers.
- (3) Subsection (2) does not apply to practice directions to the extent that they relate to –
  - (a) the application or interpretation of the law, or
  - (b) the making of decisions by members of the Tribunal.
- (4) The power under this section to give practice directions includes –
  - (a) power to vary or revoke practice directions given in exercise of the power, and
  - (b) power to make different provision for different purposes.

#### 115 Guidance, advice and information

- (1) The President may give guidance to other members of the Tribunal in relation to the exercise of their functions as members of the Tribunal.
- (2) A member of the Tribunal must have regard to such guidance in exercising such functions.
- (3) The President may give advice and information in respect of the Tribunal and its functions (including, but not limited to, its practice and procedure).
- (4) The President may give such advice –
  - (a) to particular persons, or
  - (b) more generally.

**116 Supplementary powers**

- (1) In relation to the matters mentioned in subsection (2), the Tribunal has the same powers, rights, privileges and authority as the High Court.
- (2) The matters are –
- 5 (a) the attendance and examination of witnesses,  
(b) the production and inspection of documents, and  
(c) all other matters incidental to the Tribunal’s functions.
- (3) Subsection (1) –
- 10 (a) does not limit any power to make Tribunal Rules, or  
(b) is not limited by anything in Tribunal Rules, except an express limitation.
- (4) The Tribunal may direct that a party or witness is to be examined on oath or affirmation.
- (5) The Tribunal may administer any oath, or take any affirmation, that is necessary for that purpose.

15

*Staff and other resources***117 Staff, accommodation and other resources of Tribunal**

- (1) The Welsh Ministers must ensure that the Tribunal is provided with –
- 20 (a) staff,  
(b) accommodation, and  
(c) financial and other resources,  
that are appropriate for the Tribunal to exercise its functions.
- (2) It is for the Welsh Ministers to determine what staff, accommodation and financial and other resources are appropriate for that purpose.
- (3) The Welsh Ministers may satisfy the duty under subsection (1) by –
- 25 (a) providing staff, accommodation or other resources, or  
(b) entering into arrangements with any other person for the provision of staff, accommodation or other resources.
- (4) The Welsh Ministers may pay remuneration to the staff of the Tribunal.
- 30 (5) The Welsh Ministers may pay allowances (including, but not limited to, travelling and subsistence allowances) and gratuities to the staff of the Tribunal.
- (6) The Welsh Ministers may pay –
- (a) pensions to, or in respect of, persons who have been staff of the Tribunal, and

- (b) amounts for or towards provision of pensions to, or in respect of, persons who have been staff of the Tribunal.

### 118 Specially qualified advisers

- 5 (1) The President may appoint specially qualified advisers to provide assistance to the Tribunal (whether in relation to particular proceedings before the Tribunal or otherwise).
- (2) The President may pay remuneration to specially qualified advisers.
- (3) The President may pay allowances (including, but not limited to, travelling and subsistence allowances) and gratuities to specially qualified advisers.
- 10 (4) But the Welsh Ministers must approve the amount of any remuneration, allowances or gratuities payable to a specially qualified adviser before the President agrees to pay, or pays, the remuneration, allowances or gratuities.

#### *Administrative matters*

### 119 Seal

- 15 (1) The Tribunal is to have an official seal.
- (2) Every document purporting to be sealed with the official seal of the Tribunal is to be received in evidence in England and Wales without further proof.
- (3) But subsection (2) does not apply if the document is shown not to be sealed with the official seal of the Tribunal.

### 120 Financial year

- 20 (1) The Tribunal's first financial year is the period that begins with the commencement day and ends with—
- (a) the following 31 March (if the commencement day is 1 April), or
- (b) the second following 31 March (if the commencement day is not 1 April).
- 25 (2) Subject to that, the Tribunal's financial year is the period of 12 months ending with 31 March.
- (3) In this section "commencement day" means the day when section 109 comes into force.

### 121 Vacancy in the office of President

- 30 (1) This section applies if the office of President is vacant.
- (2) The Welsh Ministers may appoint one or more of the legally-qualified members of the Tribunal to exercise any or all of the President's functions.

- (3) If, or to the extent that, the President's functions are not exercisable by a legally-qualified member in accordance with subsection (2), the Welsh Ministers may exercise the functions.
- (4) But the Welsh Ministers may not participate in determining any proceedings before the Tribunal.

*Reports, reviews and performance*

**122 President's annual report**

- (1) As soon as practicable after the end of each financial year, the President must—
- (a) produce a report on the Tribunal's exercise of its functions in that financial year, and
- (b) lay a copy of the report before the National Assembly for Wales.
- (2) The President must comply with any requirements of the National Assembly for Wales in relation to the form of the report and the laying of it.

**123 Training etc for members of Tribunal**

- (1) The President must maintain appropriate arrangements for the training, guidance and welfare of members of the Tribunal.
- (2) It is for the President to decide what arrangements are appropriate for that purpose.

**PART 8**

GENERAL

**CHAPTER 1**

INTEGRITY

**125 Register of interests**

- (1) Each relevant office holder must create and maintain a register of interests.
- (2) A relevant office holder's register of interests must include all of his or her registrable interests.
- (3) A relevant office holder must produce his or her register of interests in Welsh and in English.
- (4) A relevant office holder must keep his or her register of interests up to date.
- (5) That includes, but is not limited to, a duty to include a registrable interest in the register of interests within 4 weeks of—
- (a) the interest arising, or

- (b) the relevant office holder becoming aware of the interest (if that occurs after the interest arises).

## 126 Publication of registers of interests

- (1) The Commissioner must –

- (a) ensure that a copy of the register of interests of each relevant office holder is available for inspection at the Commissioner's office, and
- (b) ensure that copies of the register of interests of each relevant office holder are made available at such other places and by such other means (including by electronic means) as he or she thinks appropriate.

- (2) The Commissioner must ensure that the arrangements for inspecting and gaining access to copies of relevant office holders' registers of interests are published in such a way as to bring those arrangements to the attention of persons whom the Commissioner thinks likely to have an interest in the registers.

- (3) The Deputy Commissioner must give the Commissioner –

- (a) such copies of the Deputy Commissioner's register of interests, and
- (b) such other assistance,

as the Commissioner may require to enable him or her to comply with the duty under subsection (1).

## 127 Conflicts of interest

- (1) A relevant office holder must not exercise a function if he or she has a registrable interest which relates to the exercise of the function.

- (2) In a case where subsection (1) prevents the Commissioner from exercising a function, he or she must delegate that function (so far as necessary to enable that exercise of it to be carried out) to –

- (a) the Deputy Commissioner, or
- (b) another member of the Commissioner's staff.

- (3) In a case where subsection (1) prevents the Deputy Commissioner from exercising a function, the Commissioner must make arrangements for the function to be exercised otherwise than by the Deputy Commissioner.

## 128 Validity of acts

The validity of an act of a relevant office holder is not affected by a failure to comply with any provision of, or made under, this Chapter.

## 129 Regulations

- (1) The Welsh Ministers may, by regulations –

- (a) specify what interests are registrable interests for the purposes of this Chapter, and
- (b) make other provision for the purposes of this Chapter.

- (2) Registrable interests may, among other things, include interests of persons with whom relevant office holders have a connection (whether familial, financial or of any other kind).
- (3) In this section “interest” means an interest of any kind (including, but not limited to, financial interests, and all activities and occupations).

### 130 Interpretation of this Chapter

In this Chapter –

“registrable interest” (*“buddiant cofrestradwy”*) means a registrable interest specified in regulations made under section 129;

“relevant office holder” (*“deiliad swydd perthnasol”*) means –

- (a) the Commissioner, or
- (b) the Deputy Commissioner.

## CHAPTER 2

### DEFAMATION

### 131 Absolute privilege

- (1) For the purposes of the law of defamation, the following are absolutely privileged –
- (a) the publication of a matter by the Commissioner in the exercise of any of his or her functions;
  - (b) the publication of a matter by a member of the Advisory Panel in the exercise of any of his or her functions;
  - (c) the publication of a matter by a person in compliance with a requirement in a decision notice;
  - (d) the publication, in a communication between –
    - (i) the Commissioner, and
    - (ii) a protected person,of a matter in connection with an inquiry or investigation;
  - (e) the publication, in a communication between –
    - (i) the complainant or a person acting on behalf of the complainant, and
    - (ii) a representative,of a matter in connection with an investigation under Part 5 or Part 6.
- (2) In this section a reference to the Commissioner includes the following persons –
- (a) the members of the Commissioner’s staff;
  - (b) any person acting on the Commissioner’s behalf or assisting in the exercise of the Commissioner’s functions.



**132 Interpretation of this Chapter**

(1) In this Chapter –

“complainant” (*“achwynydd”*) means –

- 5 (a) in relation to an investigation under Part 5, the person (if any) referred to as “P” in section 94;
- (b) in relation to an investigation under Part 6 –
- (i) the person referred to as “P” in section 100; and
  - (ii) the person referred to as “R” in section 100;

“inquiry” (*“ymholiad”*) means an inquiry under section 6;

10 “investigation” (*“ymchwiliad”*) means any of the following –

- (a) a standards investigation under Chapter 8 of Part 4;
- (b) an investigation under Part 5 (compliance with relevant requirements);
- (c) an investigation under Part 6 (interference with the freedom to communicate in Welsh);

15 “protected person” (*“person a ddiogelir”*), in relation to an inquiry or investigation, means any of the following persons –

- (a) a member of the Advisory Panel;
- (b) a representative;
- (c) a person who is the subject of the inquiry or investigation;
- 20 (d) a person with whom the Commissioner is communicating for the purpose of obtaining information in connection with an inquiry or investigation;
- (e) the complainant;
- (f) a person acting on behalf of a person falling within paragraph (c) to
- 25 (e);

“representative” (*“cynrychiolydd”*) means any of the following persons –

- (a) a member of a community council, county borough council or county council in Wales;
- (b) a Member of the National Assembly for Wales;
- 30 (c) a Member of Parliament;
- (d) a member of the House of Lords;
- (e) a Member of the European Parliament.

**CHAPTER 3**

## RESTRICTIONS

**133 Restrictions**

- 5 (1) This Measure does not authorise or require the Commissioner to exercise a prescribed function which by virtue of an enactment is also exercisable by a prescribed person.
- (2) In this section “prescribed” means prescribed in an order made for the purposes of this section by the Welsh Ministers.

**PART 9**

## WELSH LANGUAGE BOARD, WELSH LANGUAGE SCHEMES ETC

**10 134 Abolition of Board and transfer of functions**

- (1) The Welsh Language Board is abolished.
- (2) The functions conferred on the Board by section 3 of the 1993 Act are transferred to the Commissioner.
- 15 (3) But that is subject to any order under section 143 which provides for those functions to be transferred to the Welsh Ministers (whether instead of, or in addition to, the functions being transferred to the Commissioner).
- (4) The functions conferred on the Board by Part 2 of the 1993 Act are transferred to the Commissioner.
- (5) The following provisions of the 1993 Act are repealed –
- 20 (a) section 1;
- (b) section 2;
- (c) section 4(2);
- (d) section 34(2);
- (e) Schedule 1.

**25 135 Abolition of Board’s general functions and replacement of schemes with standards**

- (1) The functions conferred by section 3 of the 1993 Act (and transferred as mentioned in section 134) are abolished.
- (2) Part 2 of the 1993 Act ceases to apply to a public body if and when that public body first becomes subject to the duty under section 24(1) of this Measure to comply with a standard.
- 30 (3) The following provisions of the 1993 Act are repealed –
- (a) section 3;

(b) section 4(1).

### 136 Replacement of Welsh language schemes with standards

(1) The functions conferred on the Board by Part 2 of the 1993 Act (and transferred as mentioned in section 134) are abolished.

5 (2) The following provisions of the 1993 Act are repealed –

(a) Part 2;

(b) section 34(1) and (3).

### 137 Other provision

Schedule 12 contains other provision relating to the abolition of the Board.

### 10 138 Supplementary

(1) The Welsh Ministers' powers under other Parts of this Measure may be exercised for the purpose of bringing into force any provision of this Measure relating to the Commissioner so as to enable functions of the Board to be transferred to, and to be exercisable by, the Commissioner before any new function is exercisable by the Commissioner (whether or not any new function subsequently becomes exercisable whilst any transferred function remains exercisable).

(2) For that purpose "new function" means a function which is conferred on the Commissioner by a provision of any other Part of this Measure.

20 (3) This Part does not limit the Welsh Ministers' powers under other Parts of this Measure (and accordingly those powers may be used to make provision in addition to, or in place of, provision in this Part).

(4) In this section, references to the Welsh Ministers' powers under other Parts of this Measure include, but are not limited to, their powers under –

(a) section 143 (transitional and consequential provision etc), and

25 (b) section 145(3) (commencement).

(5) In this Part –

"1993 Act" ("*Deddf 1993*") means the Welsh Language Act 1993;

"Board" ("*y Bwrdd*") means the Welsh Language Board.

**PART 9A**

## WELSH MINISTERS' WELSH LANGUAGE STRATEGY

**138A Welsh Ministers to prepare an action plan**

(1) Section 78 of the Government of Wales Act 2006 is amended as follows.

(2) After subsection (8), insert –

“(9) For each financial year, the Welsh Ministers must publish a plan setting out how they will implement the proposals set out in the Welsh language strategy during that year.

(10) The plan must be published as soon as reasonably practicable before the commencement of the financial year to which it relates.”

**138B The Welsh Language Partnership Council**

(1) The Welsh Ministers must establish and maintain a body to be known as the Welsh Language Partnership Council (referred to in this section as “the Partnership Council”).

(2) The Partnership Council is to consist of –

(a) the Welsh Minister with responsibility for the Welsh language (who is to chair the Partnership Council), and

(b) members appointed by the Welsh Ministers from among –

(i) the Welsh Ministers,

(ii) the Deputy Welsh Ministers,

(iii) persons who appear to the Welsh Ministers to have experience of matters relating to the Welsh language, and

(iv) persons who appear to the Welsh Ministers to have experience relevant to any of the matters listed in subsection (6).

(3) The Welsh Ministers must, in exercising their power to appoint members of the Partnership Council under subsection (2)(b)(iii) and (iv), have regard to the fact that it is desirable for the Partnership Council’s membership to reflect the varying extent to which the Welsh language is used by those living in Wales.

(4) The Partnership Council’s procedure is to be regulated by standing orders, to be made by the Welsh Ministers following consultation with the Partnership Council.

(5) The standing orders may make provision about who is to chair the Partnership Council in the absence of the Welsh Minister with responsibility for the Welsh language.

(6) The Partnership Council may –

(a) give advice or make representations to the Welsh Ministers in relation to the Welsh language strategy adopted under section 78 of the Government of Wales Act 2006 (including the plan setting out how the Welsh Ministers will implement the proposals set out in the strategy), and

- (b) do anything it considers appropriate for the purposes of giving that advice or making those representations.

## PART 10

### SUPPLEMENTARY

#### 5 **139 Orders and regulations**

- (1) Any power of the Welsh Ministers to make an order or regulations under this Measure is exercisable by statutory instrument.

- (2) A statutory instrument containing any of the following may not be made unless a draft of the instrument has been laid before, and approved by a resolution of, the National Assembly for Wales –

(a) an order under section 19(4)(a) or (b) (application of section 19 to persons other than Public Services Ombudsman for Wales etc) that amends provision of primary legislation;

(b) an order under section 20(7) (amendment of definition of “ombudsman”);

(c) an order under section 20(8) (provision in connection with order under section 20(7)) that amends primary legislation;

(d) an order under section 21(10) (amendment of definition of “permitted person”);

(e) regulations under section 25(1) or (2) (specification of standards etc);

(f) an order under section 34 or 37 (amendment of Schedule 6 or 8), apart from an order containing provision under that section all of which is of the kind referred to in subsection (4);

(g) regulations under section 38 (standards that are specifically applicable);

(h) an order under section 41 (amendment of Schedule 9);

(i) regulations under section 68 (supply of information to Commissioner);

(j) an order under section 82(7) (alteration of maximum amount of civil penalty);

(ja) an order under section 143 (transitional and consequential provision etc) that contains a provision amending, repealing or otherwise modifying an enactment (other than an enactment contained in subordinate legislation);

(jb) regulations under paragraph 7(1) of Schedule 1 (provision about the appointment of the Commissioner);

(k) an order under paragraph 8(1) of Schedule 1 (exercise of Commissioner’s functions by Welsh Ministers) that amends this Measure;

(l) an order under paragraph 1 of Schedule 5 (alteration of amount of public money specified in the Schedule 5 table).

- (3) Any other statutory instrument containing an order or regulations under this Measure, apart from an instrument containing only an order under section 145 (commencement), is subject to annulment in pursuance of a resolution of the National Assembly for Wales.

- (4) The provision mentioned in subsection (2)(f) is provision amending a reference to a person in the person's entry in the Schedule 6 table or Schedule 8 table in consequence of a change in that person's name.
- 5 (5) Any power of the Welsh Ministers to make an order or regulations under this Measure includes power –
- (a) to make different provision for different cases, different purposes, or different geographical areas;
  - (b) to make provision generally or in relation to specific cases;
  - 10 (c) to make such transitional, transitory, consequential, saving, incidental and other provision as the Welsh Ministers think necessary or appropriate.
- (6) The power of the Welsh Ministers under section 144(3) also includes, in the case of the commencement of the repeal of provision in the Welsh Language Act 1993, power to provide for different commencement for different jurisdictions.
- 15 (7) In this section "primary legislation" means an Act of Parliament or a Measure or Act of the Assembly.

#### 140 Directions

Any direction given by the Welsh Ministers under this Measure –

- (a) may be varied or revoked by a later direction;
- (b) must be given in writing;
- 20 (c) may make provision generally or in relation to specific cases; and
- (d) may make different provision for different cases, different purposes or different geographical areas.

#### 141 Notices etc

- 25 (1) This section applies in relation to notices and other documents required or authorised to be given under this Measure.
- (2) A notice or document that is required or authorised to be given to the Commissioner may be given –
- (a) by delivering it to the Commissioner,
  - (b) by sending it by post to the principal office of the Commissioner, or
  - 30 (c) subject to subsection (3), by transmitting it electronically.
- (3) A notice or document may be given to the Commissioner by transmitting it electronically only if it has been transmitted in such manner as the Commissioner may require.
- 35 (4) A notice or document that the Commissioner is required or authorised to give to another person may be given –

- (a) by delivering it to the person,
- (b) by sending it by post to the person's last known address, or
- (c) subject to subsection (5), by transmitting it electronically.
- (5) The Commissioner may give a notice or document to a person by transmitting it electronically only if the following requirements are met—
- (a) the person to whom the notice or document is to be given must have—
- (i) indicated to the Commissioner that person's willingness to receive the notice or document by transmission by electronic means, and
- (ii) provided the Commissioner with an address suitable for that purpose, and
- (b) the Commissioner must send the notice or document to the address provided by that person.
- (6) A person may give, for the purposes of subsection (4), an indication of willingness to receive—
- (a) notices or documents generally by transmission by electronic means, or
- (b) notices or documents of particular descriptions by electronic means.
- (7) This section does not exclude any method of giving or sending a notice or other document not expressly provided for by this section.
- (8) A requirement of this Measure for a notice or document to be in writing does not prevent this section from applying in relation to it.

## 142 Interpretation of this Measure

- (1) In this Measure—

“Advisory Panel” (*“Panel Cynghori”*) means the Advisory Panel to the Welsh Language Commissioner (see Part 3);

“Commissioner” (*“Comisiynydd”*) means the Welsh Language Commissioner (see Part 2);

“Deputy Commissioner” (*“Dirprwy Gomisiynydd”*) means the Deputy Welsh Language Commissioner (see section 11);

“enactment” (*“deddfiad”*) includes an Assembly Measure, an Act of the Assembly, subordinate legislation and any future enactment;

“President” (*“Llywydd”*) means the President of the Welsh Language Tribunal (see Part 7);

“Tribunal” (*“Tribiwnlys”*) means the Welsh Language Tribunal (see Part 7);

“Tribunal Rules” (*“Rheolau’r Tribiwnlys”*) means Welsh Language Tribunal Rules (see Part 7).

- (2) In this Measure references to the Commissioner’s staff are to be construed in accordance with section 11(2).

5 **143 Transitional and consequential provision etc**

- (1) The Welsh Ministers may, by order, make such transitional, transitory, consequential, saving, incidental and other provision as they think necessary or appropriate in connection with, or to give full effect to, this Measure.

- 10 (2) The provision that may be made under this section includes, but is not limited to, provision that amends, repeals or otherwise modifies an enactment.

**144 Extent**

- (1) This Measure extends to England and Wales only.

- (2) But that is subject to subsection (3).

- 15 (3) A repeal of a provision of the Welsh Language Act 1993 has the same extent as the provision repealed.

**145 Commencement**

- (1) The following provisions come into force on the day on which this Measure is approved by Her Majesty in Council –

- (a) Part 1;

- 20 (b) in Part 2 –

- (i) section 2(2),

- (ii) section 2(3) so far as it relates to the provisions of Schedule 1 referred to in sub-paragraph (iii), and

- (iii) in Schedule 1, paragraphs 3, 5(3), 7, 8, 13 and 20;

- 25 (c) in Part 7 –

- (i) section 109(3),

- (ii) section 109(4) so far as it relates to the provisions of Schedule 11 referred to in sub-paragraph (iii), and

- (iii) in Schedule 11, paragraphs 3, 6 to 15, 17 and 18;

- 30 (d) section 137 (and Schedule 12) and section 138;

- (e) this Part.

- (2) Subject to subsection (1), this Measure comes into force in accordance with provision made by the Welsh Ministers by order.



**146 Short title**

This Measure may be cited as the Welsh Language (Wales) Measure 2010.

SCHEDULE 1  
(introduced by section 2)

THE WELSH LANGUAGE COMMISSIONER

PART 1

STATUS ETC

*Status*

- 5
- 1 (1) The Commissioner is a corporation sole.
- (2) The Commissioner is not to be regarded as the servant or agent of the Crown or as enjoying any status, immunity or privilege of the Crown.
- 10 (3) The Commissioner's property is not to be regarded as property of, or property held on behalf of, the Crown.
- (4) When exercising functions in relation to the Commissioner, the Welsh Ministers must have regard to the fact that it is desirable to ensure that the Commissioner is under as few constraints as reasonably possible in determining his or her –
- 15 (a) activities,
- (b) timetables, and
- (c) priorities.

*Validity of acts*

- 20 2 (1) The validity of an act of a person as Commissioner is not affected by a defect in the appointment of –
- (a) that person, or
- (b) any member of the Advisory Panel.
- (2) The validity of an act of a person exercising functions of the Commissioner is not affected by a defect in the appointment of –
- 25 (a) that person,
- (b) the Commissioner, or
- (c) any member of the Advisory Panel.

PART 2

APPOINTMENT

30 *Appointment*

- 3 (1) In appointing the Commissioner, the First Minister –
- (a) must comply with appointment regulations (see paragraph 7);

- (b) must take account of the recommendations made by the selection panel in relation to the appointment (see paragraph 7), and
  - (c) may take into account the views of any other persons whom the First Minister thinks it is appropriate to consult.
- 5 (2) The First Minister may not appoint a person to be Commissioner if the person –
- (a) is disqualified from being Commissioner on grounds of employment (see paragraph 13), or
  - (b) has already been appointed as Commissioner.
- 10 (3) The views which the First Minister may take into account under sub-paragraph (1)(c) include, but are not limited to, the views of –
- (a) the National Assembly for Wales,
  - (b) committees of the National Assembly, and
  - (c) members of the National Assembly.

#### *Remuneration, allowances and pensions*

- 15 4 (1) The Welsh Ministers may pay remuneration to the Commissioner.
- (2) The Welsh Ministers may pay allowances (including, but not limited to, travelling and subsistence allowances) and gratuities to the Commissioner.
- (3) The Welsh Ministers may pay –
- (a) pensions to, or in respect of, persons who have been Commissioner, and
  - 20 (b) amounts for or towards provision of pensions to, or in respect of, persons who have been Commissioner.

#### *Terms of appointment*

- 5 (1) The Commissioner holds office subject to the terms of his or her appointment.
- (2) But that is subject to the other provisions of this Schedule.
- 25 (3) The terms of the Commissioner's appointment must provide for him or her to hold office on a full-time basis.

#### *Duration of appointment*

- 6 (1) A person appointed as Commissioner holds the office (by virtue of that appointment) for 7 years.
- 30 (2) But that is subject to Part 3 of this Schedule.

#### *Appointment regulations*

- 7 (1) The Welsh Ministers must, by regulations, make provision about the appointment of the Commissioner ("appointment regulations").
- (2) Appointment regulations must make provision for the establishment of a panel of
- 35 persons (a "selection panel") who are to –
- (a) interview candidates for appointment as Commissioner, and

- (b) make recommendations to the First Minister in relation to the appointment.
- (3) The provision that may be made in appointment regulations includes, but is not limited to, provision of the kind referred to in sub-paragraphs (4) to (7).
- 5 (4) Appointment regulations may make provision about principles to be followed in appointing the Commissioner.
- (5) Appointment regulations may make provision about –
- (a) the knowledge of, and proficiency in, the Welsh language, and
- (b) the knowledge and experience of the matters in respect of which the Commissioner has functions,
- 10 which the Commissioner must have.
- (6) Appointment regulations may –
- (a) apply (with or without modifications) any code of practice that is concerned with appointments to public bodies, or
- (b) make other provision relating to any such code.
- 15 (7) Appointment regulations may confer functions on the Welsh Ministers or First Minister (as well as on any other person), including functions involving the exercise of a discretion.

*Delegation of appointment functions etc*

- 8 (1) The First Minister may, by order –
- 20 (a) provide for the Welsh Ministers to exercise –
- (i) the First Minister’s function of appointing the Commissioner, and
- (ii) any or all of the First Minister’s other functions that relate to the Commissioner, and
- (b) make such other related provision as the First Minister thinks appropriate.
- 25 (2) The provision that may be made in an order under this paragraph includes, but is not limited to, provision amending or otherwise modifying this Measure.

PART 3

END OF APPOINTMENT

*Resignation*

- 30 9 The Commissioner may resign from office if he or she gives the First Minister not less than 3 months’ notice in writing of his or her intention to do so.

*Disqualification*

10 A person ceases to be Commissioner if the person is disqualified from being Commissioner on grounds of employment.

*Dismissal*

- 5 11 The First Minister may dismiss the Commissioner if the First Minister is satisfied that the Commissioner –
- (a) is unfit to continue as Commissioner, or
  - (b) is unable or unwilling to exercise the Commissioner's functions.

*Payments on ceasing to hold office*

- 10 12 The Welsh Ministers may make a payment to a person who ceases to hold the office of Commissioner if it appears to the Welsh Ministers that there are special circumstances which make it right that the person should receive the payment in compensation.

## PART 4

## 15 DISQUALIFICATION FROM BEING COMMISSIONER

- 13 A person is disqualified from being Commissioner on grounds of employment if the person is –
- (a) a Member of Parliament;
  - (b) a Member of the National Assembly for Wales;
  - 20 (c) a member of a county council, a county borough council or a community council in Wales;
  - (d) a member of the Tribunal;
  - (e) a member of the Advisory Panel;
  - (f) a person who is employed by, or advises, a person who is within Schedule 5 or Schedule 7;
  - 25 (g) a member of the Commissioner's staff.

## PART 5

## FINANCIAL MATTERS

*Payments by the Welsh Ministers*

- 30 13A The Welsh Ministers may pay the Commissioner such amounts, at such times and on such conditions (if any), as they think appropriate in respect of expenditure incurred in carrying out the functions of Commissioner.

*Financial year*

- 14 (1) The Commissioner's first financial year is the period that begins with the commencement day and ends with –
- (a) the following 31 March (if the commencement day is 1 April), or
  - 5 (b) the second following 31 March (if the commencement day is not 1 April).
- (2) Subject to that, the Commissioner's financial year is the period of 12 months ending with 31 March.
- (3) In this paragraph "commencement day" means the day when section 2 comes into force.

*Accounting officer*

- 15 (1) The Commissioner is the accounting officer for the office of the Commissioner.
- (2) The accounting officer has, in relation to the accounts and the finances of the office of the Commissioner, the responsibilities which are from time to time specified by the Treasury.
  - 15 (3) In this paragraph references to responsibilities include, amongst other things –
    - (a) responsibilities in relation to the signing of accounts,
    - (b) responsibilities for the propriety and regularity of the finances of the Commissioner, and
    - 20 (c) responsibilities for the economy, efficiency and effectiveness with which the resources of the Commissioner are used.
  - (4) The responsibilities which may be specified under this paragraph include, amongst other things, responsibilities owed to –
    - (a) the National Assembly for Wales, the Welsh Ministers or the Public Accounts Committee of the National Assembly, or
    - 25 (b) the House of Commons or the Committee of Public Accounts of that House.
  - (5) If requested to do so by the Committee of Public Accounts of the House of Commons ("the Parliamentary Committee"), the Public Accounts Committee of the National Assembly for Wales may –
    - 30 (a) take evidence on behalf of the Parliamentary Committee from the accounting officer,
    - (b) report to the Parliamentary Committee on the evidence taken, and
    - (c) transmit to the Parliamentary Committee the evidence taken.
  - (6) Section 13 of the National Audit Act 1983 (interpretation of references to the House of Commons Committee of Public Accounts) applies for the purposes of this Measure as it applies for the purposes of that Act.
  - 35 (7) In this paragraph "office of the Commissioner" means the Commissioner and the Commissioner's staff.

*Estimates*

- 16 (1) For each financial year other than the first, the Commissioner must prepare an estimate of the income and expenses of the Commissioner's office.
- 5 (2) The Commissioner must submit the estimate to the Welsh Ministers at least five months before the beginning of the financial year to which it relates.
- (3) The Welsh Ministers must examine an estimate submitted to them in accordance with this paragraph and must then lay the estimate before the National Assembly for Wales with the modifications (if any) they think appropriate.
- 10 (4) In sub-paragraph (1) "Commissioner's office" means the Commissioner and the Commissioner's staff.

*Accounts*

- 17 (1) The Commissioner must –
- 15 (a) keep proper accounting records, and
- (b) prepare accounts in respect of each financial year in accordance with directions given, with the consent of the Treasury, by the Welsh Ministers.
- (2) The directions which the Welsh Ministers may give under this paragraph include, amongst other things, directions as to –
- 20 (a) the information to be contained in the accounts and the manner in which the accounts are to be presented;
- (b) the methods and principles in accordance with which the accounts are to be prepared;
- (c) additional information (if any) that is to accompany the accounts.

*Audit*

- 25 18 (1) The Commissioner must submit the accounts prepared for a financial year to the Auditor General for Wales no later than 31 August in the following financial year.
- (2) The Auditor General for Wales must –
- 30 (a) examine, certify and report on each set of accounts submitted under this paragraph, and
- (b) no later than four months after the accounts are submitted, lay before the National Assembly for Wales a copy of them as certified by him or her together with his or her report on them.
- 35 (3) In examining accounts submitted under this paragraph, the Auditor General for Wales must, amongst other things, satisfy him or herself that the expenditure to which the accounts relate has been incurred lawfully and in accordance with the authority which governs it.

*Examinations into the use of resources*

- 19 (1) The Auditor General for Wales may carry out examinations into the economy, efficiency and effectiveness with which resources have been used in discharging the Commissioner's functions.
- 5 (2) Sub-paragraph (1) is not to be construed as entitling the Auditor General for Wales to question the merits of the policy objectives of the Commissioner.
- (3) In determining how to exercise the functions under this paragraph, the Auditor General for Wales must take into account the views of the Public Accounts Committee of the National Assembly for Wales as to the examinations which he or she should carry out.
- 10 (4) The Auditor General for Wales may lay before the National Assembly for Wales a report of the results of any examination carried out under this paragraph.

PART 6  
GENERAL

15 *Interpretation*

20 In this Schedule –

“appointment regulations” (*“rheoliadau penodi”*) means regulations made under paragraph 7;

“selection panel” (*“panel dethol”*) has the meaning given in paragraph 7.



SCHEDULE 2  
(introduced by section 6)  
INQUIRIES BY THE COMMISSIONER

*Introduction*

5 1 This Schedule applies to inquiries under section 6.

*Terms of reference*

2 Before conducting an inquiry, the Commissioner must prepare the terms of reference of the inquiry.

10 3 (1) This paragraph applies if the terms of reference relate to a particular person or category of person.

(2) The terms of reference must specify that person or category of person.

(3) Before settling the terms of reference, the Commissioner must –

(a) give each relevant person notice of the proposed terms,

15 (b) give each relevant person an opportunity to make representations about the proposed terms, and

(c) consider any representations made.

(4) After settling the terms of reference (having complied with sub-paragraph (3)), the Commissioner must –

20 (a) publish the terms of reference of the inquiry in a manner that the Commissioner thinks is likely to bring the inquiry to the attention of persons whom it concerns or who are likely to be interested in it, and

(b) give notice of the terms of reference to –

(i) each relevant person, and

(ii) the Welsh Ministers.

25 (5) In this paragraph “relevant person” means –

(a) a person specified in the terms of reference of an inquiry, and

(b) in relation to a category of persons specified in the terms of reference of an inquiry, each person whom the Commissioner considers to fall within that category.

30 4 (1) This paragraph applies if the terms of reference do not relate to a particular person or category of person.

(2) The Commissioner must –

35 (a) publish the terms of reference of the inquiry in a manner that the Commissioner thinks is likely to bring the inquiry to the attention of persons whom it concerns or who are likely to be interested in it, and

(b) give notice of the terms of reference to the Welsh Ministers.

5 Paragraph 3 or 4 applies to any change in the terms of reference as the paragraph would apply if the change in the terms were the preparation of those terms.

#### *Representations*

5 6 (1) The Commissioner must make arrangements for giving persons an opportunity to make representations in relation to inquiries.

(2) The arrangements must give the following persons an opportunity to make representations in the course of an inquiry –

(a) each person who –

(i) is specified in the terms of reference, or

10 (ii) falls within a category of person specified in the terms of reference, and

(b) the Welsh Ministers.

(3) Arrangements under this paragraph may, amongst other things, include arrangements for oral representations.

15 7 (1) The Commissioner must consider representations made in relation to an inquiry by –

(a) a person who –

(i) is specified in the terms of reference, or

(ii) falls within a category of person specified in the terms of reference, or

20 (b) a legal adviser who is acting on behalf of a person falling within paragraph (a)(i) or (ii), or

(c) the Welsh Ministers.

(2) The Commissioner must consider representations made in relation to an inquiry by any other person, unless the Commissioner thinks it is appropriate to refuse to do so.

25 (3) If the Commissioner refuses to consider representations made in relation to an inquiry, he or she must give the person who made the representations written notice of –

(a) the decision to refuse to consider the representations, and

(b) the reasons for the decision.

(4) In this paragraph “legal adviser” means –

30 (a) a person who, for the purposes of the Legal Services Act 2007, is an authorised person, or a European lawyer who is an exempt person by virtue of paragraph 7 of Schedule 3 to that Act, in relation to an activity which constitutes the exercise of a right of audience or the conduct of litigation (within the meaning of that Act), and

35 (b) an advocate or solicitor in Scotland.

#### *Reports on inquiries*

8 (1) The Commissioner must prepare a report of his or her findings on any inquiry.

- (2) The report must not –
- (a) identify a failure to comply with a relevant requirement (within the meaning of Part 5) by a person who is, or may be, identified by virtue of the report, or
  - (b) otherwise refer to the activities of a person who is, or may be, identified by virtue of the report, unless the Commissioner thinks that the reference –
    - (i) will not cause the person harm, or
    - (ii) is necessary for the report to adequately reflect the results of the inquiry (having regard to its terms of reference).
- (3) The Commissioner must send a draft of the report to the Welsh Ministers.
- (4) If the terms of reference specify a particular person or category of person, the Commissioner must also send a draft of the report to each relevant person.
- (5) The Commissioner must –
- (a) give the Welsh Ministers, and any other person to whom a draft of a report is sent, an opportunity to make representations about the draft report, and
  - (b) consider any representations made.
- (6) After settling the report (having complied with sub-paragraph (5)), the Commissioner must publish it.
- (7) This paragraph does not affect the application of the Data Protection Act 1998 to the Commissioner.
- (8) In this paragraph “relevant person” has the same meaning as in paragraph 3.

SCHEDULE 3  
(introduced by section 20)

AMENDMENTS ABOUT JOINT AND COLLABORATIVE WORKING

*Care Standards Act 2000*

- 5 1 Amend the Care Standards Act 2000 as follows.
- 2 In section 75ZA (Children’s Commissioner for Wales: working with the  
Commissioner for Older People in Wales) –
- (a) in the title, for “the Commissioner for Older People in Wales” substitute  
“other Commissioners”;
- 10 (b) in subsection (1), after “Wales” insert “or the Welsh Language  
Commissioner”;
- (c) in subsection (2), after “Wales” insert “, or may inform the Welsh Language  
Commissioner,”;
- (d) in subsection (3) –
- 15 (i) after “must” insert “(as respects the Commissioner for Older People in  
Wales) or may (as respects the Welsh Language Commissioner)”;
- (ii) in paragraphs (a) and (b), after “Wales” insert “or the Welsh Language  
Commissioner”;
- (e) in subsection (4), after “Wales” insert “or the Welsh Language  
20 Commissioner”;
- (f) in subsection (5) –
- (i) after “must” insert “(as respects the Commissioner for Older People in  
Wales) or may (as respects the Welsh Language Commissioner)”;
- (ii) for “the Commissioner for Older People in Wales” substitute “that  
25 Commissioner”.
- 3 In section 76 (further functions) in subsection (5), after paragraph (b) insert –
- “(c) provide that the Commissioner may make a joint report with the  
Welsh Language Commissioner where they have exercised their  
respective functions under this Act and the Welsh Language (Wales)  
30 Measure 2010 in relation to the same matters.”

*Public Services Ombudsman (Wales) Act 2005*

- 4 Amend the Public Services Ombudsman (Wales) Act 2005 as follows.
- 5 In section 25A (working jointly with Commissioner for Older People in Wales) –
- (a) in the title, for “the Commissioner for Older People in Wales” substitute  
35 “other Commissioners”;

(b) in subsection (1)(b), after “Wales” insert “or the Welsh Language Commissioner”;

(c) in subsection (2), after “must” insert “(as respects the Commissioner for Older People in Wales) or may (as respects the Welsh Language Commissioner)”.

5 6 In section 25B (working collaboratively with the Commissioner for Older People in Wales) –

(a) in the title, for “the Commissioner for Older People in Wales” substitute “other Commissioners”;

10 (b) in subsection (1), for “the Commissioner” substitute “the Commissioner for Older People in Wales or the Welsh Language Commissioner”;

(c) in subsection (2), after “must” insert “(as respects the Commissioner for Older People in Wales) or may (as respects the Welsh Language Commissioner)”;

(d) in subsection (3), after “must” insert “(as respects the Commissioner for Older People in Wales) or may (as respects the Welsh Language Commissioner)”;

15 (e) in subsection (5), after “must” insert “(as respects the Commissioner for Older People in Wales) or may (as respects the Welsh Language Commissioner)”;

(f) omit subsection (6).

#### *Commissioner for Older People (Wales) Act 2006*

7 Amend the Commissioner for Older People (Wales) Act 2006 as follows.

20 8 In section 15 (reports following discharge of particular functions), in subsection (3), after paragraph (d) insert –

“(e) provide that the Commissioner may make a joint report with the Welsh Language Commissioner where they have discharged their respective functions under this Act and the Welsh Language (Wales) Measure 2010 in relation to the same matters.”.

25

9 In section 17 (working collaboratively with other ombudsmen) –

(a) in subsection (2), for “must” substitute “may (as respects the Welsh Language Commissioner) or must (as respects another Ombudsman)”;

30 (b) in subsection (3), for “must” substitute “may (as respects the Welsh Language Commissioner) or must (as respects another Ombudsman)”;

(c) in subsection (5), for “must” substitute “may (as respects the Welsh Language Commissioner) or must (as respects another Ombudsman)”;

(d) in subsection (6), after paragraph (b) insert –

“(c) the Welsh Language Commissioner.”

SCHEDULE 4  
(introduced by section 22)

MEMBERS OF THE ADVISORY PANEL

PART 1

APPOINTMENT

*Appointment*

1 (1) In appointing a member of the Advisory Panel, the Welsh Ministers must comply with appointment regulations (see paragraph 5).

2 (2) The Welsh Ministers may not appoint a person to be a member of the Advisory Panel if the person is disqualified from being a member of the Advisory Panel on grounds of employment.

*Remuneration, allowances and pensions*

2 (1) The Welsh Ministers may pay remuneration to the members of the Advisory Panel.

3 (2) The Welsh Ministers may pay allowances (including, but not limited to, travelling and subsistence allowances) and gratuities to the members of the Advisory Panel.

4 (3) The Welsh Ministers may pay –

(a) pensions to, or in respect of, persons who have been members of the Advisory Panel, and

(b) amounts for or towards provision of pensions to, or in respect of, persons who have been members of the Advisory Panel.

*Terms of appointment*

3 (1) A member of the Advisory Panel holds office subject to the terms of his or her appointment.

(2) But that is subject to the other provisions of this Schedule.

*Duration of appointment*

4 (1) A person appointed as a member of the Advisory Panel holds office (by virtue of that appointment) for 3 years.

(2) But that is subject to Part 2 of this Schedule.

*Appointment regulations*

5 (1) The Welsh Ministers must, by regulations, make provision about the appointment of members of the Advisory Panel (“appointment regulations”).

(2) The provision that may be made in appointment regulations includes, but is not limited to, provision of the kind referred to in sub-paragraphs (3) to (6).

(3) Appointment regulations may make provision about principles to be followed in appointing members of the Advisory Panel.

(4) Appointment regulations may make provision about –

(a) the knowledge of, and proficiency in, the Welsh language, and

(b) the knowledge and experience of –

(i) the matters in respect of which the Commissioner has functions, and

(ii) any other matters relevant to anything that falls to be done by the Commissioner,

which a member of the Advisory Panel must have.

(5) Appointment regulations may –

(a) apply (with or without modifications) any code of practice that is concerned with appointments to public bodies, or

(b) make any other provision relating to any such code.

(6) Appointment regulations may confer functions on the Welsh Ministers (as well as on any other person), including functions involving the exercise of a discretion.

## PART 2

### END OF APPOINTMENT

#### *Resignation*

6 A member of the Advisory Panel may resign from office if he or she gives the Welsh Ministers not less than 2 months' notice in writing of his or her intention to do so.

#### *Disqualification from membership*

7 A person ceases to be a member of the Advisory Panel if the person is disqualified from being a member of the Advisory Panel on grounds of employment.

#### *Dismissal*

8 (1) The Welsh Ministers may dismiss a member of the Advisory Panel if the Welsh Ministers are satisfied that the person –

(a) is unfit to continue as a member of the Advisory Panel, or

(b) is unable or unwilling to act as a member of the Advisory Panel.

(2) The Welsh Ministers must consult the Commissioner before dismissing a member of the Advisory Panel.

*Payments on ceasing to hold office*

- 9        The Welsh Ministers may make a payment to a person who ceases to be a member of the Advisory Panel if it appears to the Welsh Ministers that there are special circumstances which make it right that the person should receive the payment in compensation.

PART 3  
DISQUALIFICATION

*Disqualification on grounds of employment*

- 10       A person is disqualified from being a member of the Advisory Panel on grounds of employment if the person is –
- (a) a Member of Parliament;
  - (b) a Member of the National Assembly for Wales;
  - (c) a member of the Welsh Language Tribunal;
  - (d) a member of the Commissioner’s staff.

PART 4  
GENERAL

*Interpretation*

- 11       In this Schedule “appointment regulations” means regulations made under paragraph 5.



**SCHEDULE 5**  
(introduced by section 32)

CATEGORIES OF PERSON THAT MAY BE ADDED TO SCHEDULE 6

Column 1 Entry	Column 2 Person/category
5 (1)	Public authorities.
(2)	Persons providing services to the public established by an enactment.
10 15	Persons established by prerogative instrument – <ul style="list-style-type: none"> <li>(a) to advance learning and knowledge by teaching or research or by developing or awarding qualifications,</li> <li>(b) to collect, preserve or provide access to recorded knowledge or to objects and things which further understanding,</li> <li>(c) to support, improve, promote or provide access to heritage, culture, sport or recreational activities,</li> <li>(d) engaged in promoting a wider knowledge and representing the interests of Wales to other countries, or</li> <li>(e) engaged in central banking.</li> </ul>
20	Persons upon whom functions of providing services to the public are conferred or imposed by an enactment.
25	Persons providing services to the public who receive public money amounting to £400,000 or more in a financial year, where – <ul style="list-style-type: none"> <li>(a) that person also received public money in a previous financial year, or</li> <li>(b) a decision has been made that that person will receive public money in a subsequent financial year.</li> </ul>
(6)	Persons overseeing the regulation of a profession, industry or other similar sphere of activity.
(7)	Providers of social housing.
30 (8)	Persons who consent to being specified in Schedule 6.

*Entry (5): amendment by order*

1 (1) The Welsh Ministers may, by order, amend entry (5) in the table by replacing the relevant amount with any other amount that is not less than £400,000.

5 (2) In sub-paragraph (1), “relevant amount” means the amount of public money that is for the time being specified in entry (5) in the table.

*Entry (8): interpretation etc*

2 For the purposes of entry (8) in the table –

(a) “consent”, in relation to a person, means consent in writing given to the Welsh Ministers by the person;

10 (b) consent may be withdrawn, but only with the agreement of the Welsh Ministers.

*Interpretation*

3 In this Schedule –

15 “public authority” (“*awdurdod cyhoeddus*”) means each public authority within the meaning of section 6 of the Human Rights Act 1998;

“public money” (“*arian cyhoeddus*”) means –

(a) moneys made available directly or indirectly by –

(i) the National Assembly for Wales;

(ii) the Welsh Ministers;

20 (iii) Parliament;

(iv) Ministers of the Crown; or

(v) an institution of the European Union;

(b) moneys provided by virtue of any enactment.

SCHEDULE 6  
(introduced by section 32)  
PUBLIC BODIES ETC: STANDARDS

Column 1 Person/Category	Column 2 Potentially applicable standards
<b>GOVERNMENT</b>	
The Welsh Ministers ( <i>"Gweinidogion Cymru"</i> )	Service delivery standards Policy making standards Operational standards Promotion standards Record keeping standards
Ministers of the Crown ( <i>"Gweinidogion y Goron"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
Government departments ( <i>"Adrannau'r Llywodraeth"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
Persons exercising, on behalf of the Crown, functions conferred by or under an Act or Measure ( <i>"Personau sy'n arfer, ar ran y Goron, swyddogaethau a roddir gan neu o dan Ddeddf neu Fesur"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
<b>LOCAL GOVERNMENT ETC</b>	
County borough councils and county councils in Wales ( <i>"Cynghorau sir a chynghorau bwrdeistref sirol yng Nghymru"</i> )	Service delivery standards Policy making standards Operational standards Promotion standards Record keeping standards
Community councils ( <i>"Cynghorau cymuned"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
Local authority joint committees ( <i>"Cyd-bwyllgorau awdurdodau lleol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
Local authority joint boards ( <i>"Cyd-fyrddau awdurdodau lleol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

	Local Health Boards (“Byrddau Iechyd Lleol”)	Service delivery standards Policy making standards Operational standards Record keeping standards
5	Community Health Councils (“Cynghorau Iechyd Cymuned”)	Service delivery standards Policy making standards Operational standards Record keeping standards
10	National Health Service Trusts (“Ymddiriedolaethau Gwasanaeth Iechyd Gwladol”)	Service delivery standards Policy making standards Operational standards Record keeping standards
15	Special Health Authorities (“Awdurdodau Iechyd Arbennig”)	Service delivery standards Policy making standards Operational standards Record keeping standards
20	National Park Authorities (“Awdurdodau Parciau Cenedlaethol”)	Service delivery standards Policy making standards Operational standards Promotion standards Record keeping standards
25	Police Authorities (“Awdurdodau’r Heddlu”)	Service delivery standards Policy making standards Operational standards Record keeping standards
30	Probation Trusts (“Ymddiriedolaethau Prawf”)	Service delivery standards Policy making standards Operational standards Record keeping standards
35	Fire and Rescue Authorities (“Awdurdodau Tân ac Achub”)	Service delivery standards Policy making standards Operational standards Record keeping standards
	Providers of Social Housing (“Darparwyr Tai Cymdeithasol”)	Service delivery standards Policy making standards Operational standards Record keeping standards
	<b>GENERAL</b>	
40	Aberystwyth University (“Prifysgol Aberystwyth”)	Service delivery standards Policy making standards Operational standards Record keeping standards
45	Agricultural Land Tribunal (Wales) (“Tribiwnllys Tir Amaethyddol Cymru”)	Service delivery standards Policy making standards Operational standards Record keeping standards

5	The Agriculture and Horticulture Development Board ( <i>"Bwrdd Datblygu Amaethyddiaeth a Garddwriaeth"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Alcohol Education and Research Fund ( <i>"Cronfa Addysgu ac Ymchwilio Alcohol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	The Arts Council of Wales ( <i>"Cyngor Celfyddydau Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Arts and Humanities Research Council ( <i>"Y Cyngor Ymchwil i'r Celfyddydau a'r Dyniaethau"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	The Auditor General for Wales ( <i>"Archwilydd Cyffredinol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30	Bangor University ( <i>"Prifysgol Bangor"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	The Bank of England ( <i>"Banc Lloegr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The Big Lottery Fund ( <i>"Y Gronfa Loteri Fawr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The Biotechnology and Biological Sciences Research Council ( <i>"Cyngor Ymchwil Biotechnoleg a Gwyddorau Biolegol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The British Broadcasting Corporation ( <i>"Y Gorfforaeth Ddarlledu Brydeinig"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The British Council ( <i>"Y Cyngor Prydeinig"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The British Waterways Board ( <i>"Bwrdd Dyfrffyrdd Prydain"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

5	The British Wool Marketing Board (" <i>Bwrdd Marchnata Gwlân Prydain</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Carbon Trust (" <i>Yr Ymddiriedolaeth Garbon</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
15	Cardiff University (" <i>Prifysgol Caerdydd</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Care Council for Wales (" <i>Cyngor Gofal Cymru</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
25	Charities Commission for England and Wales (" <i>Comisiwn Elusennau Cymru a Lloegr</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
30	The Child Maintenance and Enforcement Commission (" <i>Comisiwn Trefnu a Gorfodi Cynhaliaeth Plant</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
35	The Children's Commissioner for Wales (" <i>Comisiynydd Plant Cymru</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
40	Colleges Wales Limited (" <i>Colegau Cymru Cyfyngedig</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The Commission for Equality and Human Rights (" <i>Comisiwn Cydraddoldeb a Hawliau Dynol</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Commissioner for Older People in Wales (" <i>Comisiynydd Pobl Hŷn Cymru</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Community Development Foundation (" <i>Sefydliad Datblygu Cenedlaethol</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Competition Commission (" <i>Y Comisiwn Cystadlu</i> ")	Service delivery standards Policy making standards Operational standards Record keeping standards

5	The Consumer Council for Water ( <i>"Y Cyngor Defnyddwyr Dŵr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	Consumer Focus ( <i>"Llais Defnyddwyr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Council for Healthcare Regulatory Excellence ( <i>"Cyngor Rhagoriaeth Rheoleiddio Gofal Iechyd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	The Countryside Council for Wales ( <i>"Cyngor Cefn Gwlad Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Criminal Injuries Compensation Authority ( <i>"Yr Awdurdod Digolledu am Anafiadau Troseddol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	The Disabled Persons Transport Advisory Committee ( <i>"Pwyllgor Ymgynghorol Trafnidiaeth i'r Anabl"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30	The Economic and Social Research Council ( <i>"Y Cyngor Ymchwil Economaidd a Chymdeithasol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Electoral Commission ( <i>"Y Comisiwn Etholiadol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	The Energy Saving Trust Limited ( <i>"Yr Ymddiriedolaeth Arbed Ynni Cyfyngedig"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The Engineering and Physical Sciences Research Council ( <i>"Cyngor Ymchwil Peirianneg a Gwyddorau Ffisegol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The Engineering Construction Industry Training Board ( <i>"Bwrdd Hyfforddi'r Diwydiant Adeiladu Peiriannol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Environment Agency ( <i>"Asiantaeth yr Amgylchedd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

	Finance Wales plc ( <i>"Cyllid Cymru ccc"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
5	The Financial Services Authority ( <i>"Awdurdod Gwasanaethau Ariannol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	Further education corporations ( <i>"Corfforaethau addysg bellach"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	The Gambling Commission ( <i>"Y Comisiwn Hapchwarae"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The General Chiropractic Council ( <i>"Y Cyngor Ceiropractig Cyffredinol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The General Dental Council ( <i>"Y Cyngor Deintyddol Cyffredinol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	The General Medical Council ( <i>"Y Cyngor Meddygol Cyffredinol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The General Optical Council ( <i>"Y Cyngor Optegol Cyffredinol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30		
	The General Osteopathic Council ( <i>"Y Cyngor Osteopathig Cyffredinol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35		
	The General Teaching Council for Wales ( <i>"Cyngor Addysgu Cyffredinol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40		
	Glyndŵr University ( <i>"Prifysgol Glyndŵr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45		
	The governing bodies of schools ( <i>"Cyrff llywodraethu ysgolion"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards



5	The Health, Education and Social Care Chamber ( <i>"Y Siambr Iechyd, Addysg a Gofal Cymdeithasol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Health Professions Council ( <i>"Y Cyngor Proffesiynau Iechyd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	Higher education corporations ( <i>"Corfforaethau addysg uwch"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Higher Education Funding Council for Wales ( <i>"Cyngor Cyllido Addysg Uwch Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	Hybu Cig Cymru - Meat Promotion Wales	Service delivery standards Policy making standards Operational standards Record keeping standards
30	The Independent Living Funds ( <i>"Y Cronfeydd Byw'n Annibynnol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	The Independent Police Complaints Commission ( <i>"Comisiwn Cwynion Annibynnol yr Heddlu"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The Information Commissioner's Office ( <i>"Swyddfa'r Comisiynydd Gwybodaeth"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	Investors in People UK ( <i>"Buddsoddwyr mewn Pobl y DU"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Legal Services Commission ( <i>"Y Comisiwn Gwasanaethau Cyfreithiol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Local Government Boundary Commission for Wales ( <i>"Comisiwn Ffiniau Llywodraeth Leol i Gymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Local Government Data Unit-Wales ( <i>"Uned Ddata Llywodraeth Leol - Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

5	The Medical Research Council ( <i>"Y Cyngor Ymchwil Meddygol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Mental Health Review Tribunal for Wales ( <i>"Tribiwnlys Adolygiad Iechyd Meddwl Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	Motability	Service delivery standards Policy making standards Operational standards Record keeping standards
20	National Botanic Garden of Wales ( <i>"Gardd Fotaneg Genedlaethol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	National Clinical Assessment Service ( <i>"Yr Awdurdod Asesu Clinigol Cenedlaethol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30	National Endowment for Science, Technology and the Arts ( <i>"Y Gwaddol Cenedlaethol ar gyfer Gwyddoniaeth, Technoleg a'r Celfyddydau"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	National Heritage Memorial Fund ( <i>"Cronfa Goffa'r Dreftadaeth Genedlaethol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	National Institute for Health and Clinical Excellence ( <i>"Sefydliad Cenedlaethol dros Iechyd a Rhagoriaeth Glinigol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The National Library of Wales ( <i>"Llyfrgell Genedlaethol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The National Lottery Commission ( <i>"Comisiwn y Loteri Genedlaethol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The National Museum of Wales ( <i>"Amgueddfa Genedlaethol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The National Policing Improvement Agency ( <i>"Yr Asiantaeth Genedlaethol er Gwella Plismona"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

	The National Theatre of Wales	Service delivery standards Policy making standards Operational standards Record keeping standards
5	The Natural Environment Research Council ( <i>"Cyngor Ymchwil yr Amgylchedd Naturiol"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	NIACE	Service delivery standards Policy making standards Operational standards Record keeping standards
15	The Nursing and Midwifery Council ( <i>"Y Cyngor Nyrsio a Bydwreigiaeth"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Office of Communications ( <i>"Y Swyddfa Gyfathrebiadau"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Office of Rail Regulation ( <i>"Swyddfa Rheoleiddio'r Rheilffyrdd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	The Olympic Delivery Authority ( <i>"Awdurdod Gweithredu'r Gemau Olympaidd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30	The Open University ( <i>"Y Brifysgol Agored"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	Passenger Focus ( <i>"Ffocws ar Deithwyr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The Pensions Advisory Service ( <i>"Gwasanaeth Cynghori ar Bensiynau"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Pensions Ombudsman ( <i>"Yr Ombudsmon Pensiynau"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The Pensions Regulator ( <i>"Y Rheoleiddiwr Pensiynau"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

	Providers of career services ( <i>"Darparwyr gwasanaethau gyrfaedd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
5	The Quality Assurance Agency for Higher Education ( <i>"Asiantaeth Sicrwydd Ansawdd Addysg Uwch"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Rent Assessment Panel for Wales ( <i>"Panel Asesu Rhenti i Gymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	The Residential Property Tribunal Wales ( <i>"Tribiwnlys Eiddo Preswyl Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Royal Commission on the Ancient and Historical Monuments of Wales ( <i>"Comisiwn Brenhinol Henebion Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	Royal Mail Group plc ( <i>"Grŵp y Post Brenhinol ccc"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30	The Royal Pharmaceutical Society of Great Britain ( <i>"Cymdeithas Fferyllol Frenhinol Prydain Fawr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	The Royal Welsh College of Music and Drama Limited ( <i>"Coleg Brenhinol Cerdd a Drama Cymru Cyfyngedig"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The Sector Skills Councils ( <i>"Y Cynghorau Sgiliau Sector"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The Security Industry Authority ( <i>"Awdurdod y Diwydiant Diogelwch"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	Sianel 4 Cymru	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Science and Technology Facilities Council ( <i>"Cyngor Cyfleusterau Gwyddoniaeth a Thechnoleg"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

	The Social Fund Commissioner ("Comisiynydd y Gronfa Gymdeithasol")	Service delivery standards Policy making standards Operational standards Record keeping standards
5	The Special Educational Needs Tribunal for Wales ("Tribiwnlys Anghenion Addysgol Arbennig Cymru")	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The Sports Council for Wales ("Cyngor Chwaraeon Cymru")	Service delivery standards Policy making standards Operational standards Record keeping standards
15	Student Loans Company Limited ("Cwmni Benthyciadau Myfyrwyr Cyfyngedig")	Service delivery standards Policy making standards Operational standards Record keeping standards
20	The Sustainable Development Commission ("Y Comisiwn Datblygu Cynaliadwy")	Service delivery standards Policy making standards Operational standards Record keeping standards
25	Swansea University ("Prifysgol Abertawe")	Service delivery standards Policy making standards Operational standards Record keeping standards
30	Theatr Genedlaethol Cymru	Service delivery standards Policy making standards Operational standards Record keeping standards
35	UFI Ltd ("UFI Cyf")	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The UK Commission For Employment and Skills ("Comisiwn y DU dros Gyflogaeth a Sgiliau")	Service delivery standards Policy making standards Operational standards Record keeping standards
45	UK Film Council ("Cyngor Ffilm y DU")	Service delivery standards Policy making standards Operational standards Record keeping standards
	The UK Sports Council ("Cyngor Chwaraeon y DU")	Service delivery standards Policy making standards Operational standards Record keeping standards
	Universities and Colleges Admission Service ("Gwasanaeth Derbyn y Prifysgolion a'r Colegau")	Service delivery standards Policy making standards Operational standards Record keeping standards

	University of Glamorgan ( <i>"Prifysgol Morgannwg"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
5	The University of Wales ( <i>"Prifysgol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
10	The University of Wales Institute, Cardiff ( <i>"Athrofa Prifysgol Cymru, Caerdydd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
15	The University of Wales, Newport ( <i>"Prifysgol Cymru, Casnewydd"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
20	University of Wales: Trinity St David ( <i>"Prifysgol Cymru: Y Drindod Dewi Sant"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
25	The Valuation Tribunal Service for Wales ( <i>"Gwasanaeth Tribiwnlys Prisio Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
30	Wales Council for Voluntary Action ( <i>"Cyngor Gweithredu Gwirfoddol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
35	Wales Millennium Centre ( <i>"Canolfan Mileniwm Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
40	The Welsh Books Council ( <i>"Cyngor Llyfrau Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
45	The Welsh Local Government Association ( <i>"Cymdeithas Llywodraeth Leol Cymru"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	Welsh National Opera Limited ( <i>"Opera Cenedlaethol Cymru Cyfyngedig"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards
	The Youth Justice Board for England and Wales ( <i>"Bwrdd Cyfiawnder Ieuencid Cymru a Lloegr"</i> )	Service delivery standards Policy making standards Operational standards Record keeping standards

*Interpretation etc*

1 The table has effect subject to the following provisions –

(a) the entry relating to government departments does not include anything that is within the entry relating to Ministers of the Crown;

5 (b) the entry relating to persons exercising functions on behalf of the Crown does not include any person that is within any other entry.

2 In this Schedule –

“Act” (*“Deddf”*) means an Act of Parliament or an Act of the National Assembly of Wales;

10 “Agricultural Land Tribunal (Wales)” (*“Tribiwnlys Tir Amaethyddol Cymru”*) means the agricultural land tribunal established for Wales by the Agricultural Land Tribunals (Areas) Order 1982;

15 “Community Health Council” (*“Cyngor Iechyd Cymuned”*) means a community health council established under section 182 of the National Health Service (Wales) Act 2006;

“Consumer Focus” (*“Llais Defnyddwyr”*) means the National Consumer Council established under section 1 of the Consumers, Estate Agents and Redress Act 2007;

20 “Fire and Rescue Authority” (*“Awdurdod Tân ac Achub”*) means a fire and rescue authority constituted by a scheme under section 2 of the Fire and Rescue Services Act 2004, or a scheme to which section 4 of that Act applies;

“further education corporation” (*“corfforaeth addysg bellach”*) means a further education corporation established under section 15 or 16 of the Further and Higher Education Act 1992;

25 “governing body of a school” (*“corff llywodraethu ysgolion”*) means a governing body of a community, foundation or voluntary school or a community or foundation special school within the meaning of the School Standards and Framework Act 1998, as substituted by section 140(1) and paragraph 50 of Schedule 30 of the School Standards and Framework Act 1998;

30 “higher education corporation” (*“corfforaeth addysg uwch”*) means a higher education corporation established under section 121 or 122 of the Education Reform Act 1988;

“local authority joint board” (*“cyd-fwrdd awdurdod lleol”*) means a joint board of which the members are two or more of following –

- 35 (a) county councils,  
 (b) county borough councils, or  
 (c) community councils;

“local authority joint committee” (*“cyd-bwyllgor awdurdod lleol”*) means a joint committee of two or more of following –

- 40 (a) county councils,  
 (b) county borough councils, or  
 (c) community councils;

“Local Health Board” (*“Bwrdd Iechyd Lleol”*) means a local health board established under section 11 of the National Health Service (Wales) Act 2006;

“Minister of the Crown” (*“Gweinidog y Goron”*) includes the Treasury;

5 “National Health Service Trust” (*“Ymddiriedolaeth y Gwasanaeth Iechyd Gwladol”*) means a National Health Service trust constituted under the National Health Service Act 2006 or the National Health Service (Wales) Act 2006;

“Passenger Focus” (*“Ffocws ar Deithwyr”*) means the Rail Passengers’ Council established under the Railways Act 2005;

10 “provider of career services” (*“darparwr gwasanaethau gyrfaedd”*) means a person with whom the Secretary of State for Wales has made arrangements (not being arrangements which have been terminated) under section 10 of the Employment and Training Act 1973 (provision of career services);

15 “Special Health Authority” (*“Awdurdod Iechyd Arbennig”*) means a special health authority established under section 28 of the National Health Service Act 2006 or section 22 of the National Health Service (Wales) Act 2006.



**SCHEDULE 7**  
(introduced by section 32)

CATEGORIES OF PERSON THAT MAY BE ADDED TO SCHEDULE 8

Column 1 Entry	Column 2 Description of person	Column 3 Available service(s)
5  10	(1) Qualifying persons who provide the public with gas, water or electricity services (including supply or distribution).	(a) Gas, water or electricity services (including supply or distribution). (b) Other services which relate to services within paragraph (a).
15	(2) Qualifying persons who provide the public with sewerage services (including disposal of sewage).	(a) Sewerage services (including disposal of sewage). (b) Other services which relate to services within paragraph (a).
20	(3) Qualifying persons who provide the public with postal services or post offices.	(a) Postal services or post office services. (b) Other services which relate to services within paragraph (a).
25	(4) Qualifying persons who provide the public with telecommunication services.	(a) Telecommunication services. (b) Other services which relate to services within paragraph (a).
30  35	(5) Qualifying persons who provide the public with education, training (where the provider receives public money for its provision), or career guidance.	(a) Education, training (where the provider receives public money for its provision), or career guidance. (b) Other services which relate to services within paragraph (a).

5	(6)	Qualifying persons who provide the public with services to encourage, enable or assist participation in education, training or career guidance.	(a) Services to encourage, enable or assist participation in education, training or career guidance. (b) Other services which relate to services within paragraph (a).
10	(7)	Qualifying persons who provide the public with bus or railway services.	(a) Bus or railway services. (b) Other services which relate to services within paragraph (a).
15	(8)	Qualifying persons who provide the public with services to develop or award educational or vocational qualifications.	(a) Services to develop or award educational or vocational qualifications. (b) Other services which relate to services within paragraph (a).
20	(9)	Qualifying persons who provide the public with services which relate to any primary service.	Services which relate to any primary service.
25	(10)	Qualifying persons who provide services to the public under an agreement, or in accordance with arrangements, made with a public authority.	Services to the public provided under the agreement, or in accordance with the arrangements, made with the public authority.

*Services provided in shops: exclusions*

- 1 (1) The references in the table to related services do not include services provided in shops, unless those services are –
- (a) post office counter services, or
- (b) the sale of tickets or the provision of timetables for bus and railway services.
- (2) For that purpose, the references in the table to related services are –
- (a) in column (3) of each of rows (1) to (8) of the table, the references in each paragraph (b) to other services, and

- (b) in columns (2) and (3) of row (9) of the table, the references to services which relate to any primary service.

### Interpretation

#### 2 In this Schedule—

5 “bus services” (“*gwasanaethau bysiau*”) means a scheduled service, by public service vehicle (within the meaning of section 1 of the Public Passenger Vehicles Act 1981), for the carriage of passengers at separate fares, other than a service—

- 10 (a) for which the whole capacity of the vehicle has been purchased by a charterer for the charterer’s own use or for resale;
- (b) which is a journey or trip organised privately by any person acting independently of the vehicle operator; or
- 15 (c) on which the passengers travel together on a journey, with or without breaks and whether or not on the same day, from one or more places to one or more places and back;

“postal services” (“*gwasanaethau post*”) means the service of conveying letters, parcels, packets or other articles from one place to another by post and the incidental services of receiving, collecting, sorting and delivering such articles;

20 “primary service” (“*gwasanaeth sylfaenol*”) means a service within paragraph (a) in column (3) of any of rows (1) to (8) in the table;

“public authority” (“*awdurdod cyhoeddus*”) means each public authority within the meaning of section 6 of the Human Rights Act 1998;

“public money” (“*arian cyhoeddus*”) means—

- 25 (a) moneys made available directly or indirectly by—
- (i) the National Assembly for Wales;
- (ii) the Welsh Ministers;
- (iii) Parliament;
- (iv) Ministers of the Crown; or
- (v) an institution of the European Union;

30 (b) moneys provided by virtue of any enactment;

“qualifying person” (“*person neilltuedig*”) means a person who is not within Schedule 6;

“shop” (“*siop*”) means any premises where the sale of goods is the principal trade or business carried on;

5

“telecommunications service” (“*gwasanaethau telathrebu*”) means any service that consists of providing access to, or facilities for making use of, any system which exists (whether wholly or partly in the United Kingdom or elsewhere) for the purpose of facilitating the transmission of communications by any means involving the use of electrical, magnetic or electro-magnetic energy (including the apparatus comprised in the system), but does not include broadcasting, radio, or television.

SCHEDULE 8  
(introduced by section 32)

OTHER BODIES: STANDARDS

Column 1 Person/Category	Column 2 Specified service(s)
Qualifying persons who are licensed gas suppliers.	Supply of gas to the public under the relevant gas licence.
Qualifying persons who, by virtue of an appointment under section 6 of the Water Industry Act 1991, or by virtue of a variation of such an appointment under section 7 of that Act, are water undertakers for the whole or any part of Wales.	Services provided to the public in the exercise of the functions of water undertaker for the whole or any part of Wales.
Qualifying persons who, by virtue of an appointment under section 6 of the Water Industry Act 1991, or by virtue of a variation of such an appointment under section 7 of that Act, are sewerage undertakers for the whole or any part of Wales.	Services provided to the public in the exercise of the functions of sewerage undertaker for the whole or any part of Wales.
Qualifying persons who are licensed electricity suppliers.	Supply of electricity to the public under the relevant electricity licence.
Qualifying persons who provide the public with post offices.	Providing the public with post offices.
Qualifying persons, other than not for profit organisations, who provide the public with postal services.	Providing the public with postal services.
Qualifying persons who provide the public with telecommunications services.	Providing the public with telecommunications services.
Qualifying persons who provide the public with bus services.	Providing the public with bus services.

	Qualifying persons who provide the public with passenger railway services.	Providing the public with passenger railway services.
5	Qualifying persons who provide the public with education, training (where the provider receives public money for its provision), or career guidance.	Providing the public with education, training (where the provider receives public money for its provision), or career guidance.
10	Qualifying persons who provide the public with services to encourage, enable or assist participation in education, training or career guidance.	Providing the public with services to encourage, enable or assist participation in education, training or career guidance.
	Qualifying persons who provide the public with services to develop or award educational or vocational qualifications.	Providing the public with services to develop or award educational or vocational qualifications.
15	Qualifying persons who provide the public with services (the “related services”) which relate to a service that is within column (2) of any of the preceding entries in this table (the “primary service”), whether or not they are the persons providing the primary service.	Providing the public with the related services.
20		
25	Qualifying persons who provide services to the public under an agreement, or in accordance with arrangements, made with—	Providing services to the public under that agreement, or in accordance with those arrangements.
30	(a) the Welsh Ministers, (b) a Minister of the Crown, (c) a government department, (d) a person exercising on behalf of the Crown functions conferred by or under an Act or Measure, or (e) a county borough or county council in Wales.	

*Interpretation*

1 (1) Expressions used in this Schedule and in Schedule 7 (apart from “related service” and “primary service”) have the same meanings in this Schedule as in Schedule 7.

(2) But that is subject to the following provisions of this Schedule.

- 5 2 References to the provision of a service to the public include (but are not limited to) –
- (a) provision of the service to the public in general or to particular members of the public, and
  - (b) provision of the service for any purpose (whether it is a domestic, business or other purpose).

*Gas*

3 In this Schedule –

“licensed gas supplier” (“*cyflenwr nwy trwyddedig*”) means the holder of a relevant gas licence;

15 “relevant gas licence” (“*trwydded nwy berthnasol*”) means a licence under section 7A of the Gas Act 1986.

*Electricity*

4 In this Schedule –

“licensed electricity supplier” (“*cyflenwr trydan trwyddedig*”) means the holder of a relevant electricity licence;

20 “relevant electricity licence” (“*trwydded drydan berthnasol*”) means a licence under section 6(1)(d) of the Electricity Act 1989.

*Postal services*

5 In this Schedule “not for profit organisation” means a person or other body that –

- (a) is not constituted for the purpose of making a profit, or
- 25 (b) is required (after payment of outgoings) to apply the whole of its income, and any capital which it expends, for charitable or public purposes.

*Railways*

6 In this Schedule “passenger railway services” includes (but is not limited to) passenger services provided on a narrow gauge or heritage railway.

*Related services*

7 In this Schedule the references to related services do not include services provided in shops, unless those services are –

(a) post office counter services, or

5 (b) the sale of tickets or the provision of timetables for bus and railway services.



SCHEDULE 9  
(introduced by section 41)

ACTIVITIES IN RELATION TO WHICH SERVICE DELIVERY STANDARDS MUST BE SPECIFIED

These are the activities referred to in section 41(2) –

- 5           correspondence;
- telephone calls;
- help-lines and call-centres;
- personal meetings;
- public meetings;
- 10          publicity and advertising;
- public exhibitions;
- publications;
- forms;
- websites and online services;
- 15          signage;
- reception of visitors;
- official notices;
- awarding grants;
- awarding contracts;
- 20          raising awareness of Welsh language services that are available.

SCHEDULE 10  
(introduced by section 70)

COMMISSIONER'S INVESTIGATION OF FAILURE TO COMPLY WITH STANDARDS ETC

PART 1

GENERAL

5

*Introduction*

1 This Schedule applies to investigations under section 70.

*Terms of reference*

10

2 (1) Before conducting an investigation, the Commissioner must prepare the terms of reference of the investigation.

(2) The terms of reference must specify –

(a) the person who is being investigated (“D”),

(b) the suspected failure to comply with a relevant requirement.

(3) Before settling the terms of reference, the Commissioner must –

15

(a) give notice of the proposed terms to –

(i) D, and

(ii) any other interested person,

(b) give each person who is given notice of the proposed terms an opportunity to make representations about the proposed terms, and

20

(c) consider any representations made.

(4) After settling the terms of reference (having complied with sub-paragraph (3)), the Commissioner must –

25

(a) publish the terms of reference of the investigation in a manner that the Commissioner thinks is likely to bring the investigation to the attention of persons whom it concerns or who are likely to be interested in it, and

(b) give notice of the terms of reference to –

(i) D, and

(ii) any other interested person.

30

(5) This paragraph applies to any change in the terms of reference as the paragraph would apply if the change in the terms were the preparation of those terms.

*Representations*

3 (1) The Commissioner must make arrangements for giving persons an opportunity to make representations in relation to investigations.

- (2) The arrangements must give the following persons an opportunity to make representations in the course of an investigation –
- (a) D, and
  - (b) any other interested person.
- 5 (3) Arrangements under this paragraph may, amongst other things, include arrangements for oral representations.
- 4 (1) The Commissioner must consider representations made in relation to an investigation by –
- (a) D, or
  - 10 (b) a legal adviser who is acting on behalf of D.
- (2) The Commissioner must consider representations made in relation to an investigation by any other person, unless the Commissioner thinks it is appropriate to refuse to do so.
- (3) If the Commissioner refuses to consider representations made in relation to an investigation, he or she must give the person who made the representations written notice of –
- 15 (a) the decision to refuse to consider the representations, and
  - (b) the reasons for the decision.
- (4) In this paragraph “legal adviser” means –
- 20 (a) a person who, for the purposes of the Legal Services Act 2007, is an authorised person, or a European lawyer who is an exempt person by virtue of paragraph 7 of Schedule 3 to that Act, in relation to an activity which constitutes the exercise of a right of audience or the conduct of litigation (within the meaning of that Act), or
  - 25 (b) an advocate or solicitor in Scotland.

## PART 2

## INFORMATION, DOCUMENTS AND ORAL EVIDENCE

*Evidence notices*

- 5 (1) In the course of an investigation, the Commissioner may give a person (A) an evidence notice.
- 30 (2) In this Measure, “evidence notice” means a notice that requires A to do one or more of the following –
- (a) to provide information in A’s possession;
  - (b) to produce documents in A’s possession;
  - 35 (c) to give oral evidence.
- (3) A notice under this paragraph may include provision about –
- (a) the form of information, documents or evidence;

(b) the timing of anything to be done in accordance with the notice.

(4) A notice under this paragraph may not require A to do anything that A could not be compelled to do in proceedings before the High Court.

(5) A notice under this paragraph must inform A of—

5 (a) the consequences if A does not comply with the notice; and

(b) the right of appeal under paragraph 9.

6 (1) This paragraph applies if, in the course of an investigation, a person (B)—

(a) provides information,

(b) produces documents, or

10 (c) gives oral evidence.

(2) The Commissioner may, if he or she thinks fit, pay to B—

(a) sums in respect of expenses properly incurred by B, and

(b) allowances by way of compensation for loss of B's time.

(3) Any payment to B is to be made—

15 (a) in accordance with such scales as may be determined by the Commissioner, and

(b) subject to such conditions as may be determined by the Commissioner.

#### *Confidentiality etc*

7 A notice under paragraph 5—

20 (a) may not require a person to provide information that the person is prohibited from disclosing by virtue of an enactment, and

(b) may not require a person to do anything that the person could not be compelled to do in proceedings before the High Court.

8 (1) A must disregard a notice given under paragraph 5, and must notify the  
25 Commissioner that A is disregarding it, in so far as A thinks it would require A—

(a) to disclose sensitive information within the meaning of paragraph 4 of Schedule 3 to the Intelligence Services Act 1994 (Intelligence and Security Committee),

30 (b) to disclose information which might lead to the identification of an employee or agent of an intelligence service (other than one whose identity is already known to the Commissioner),

(c) to disclose information which might provide details of processes used in recruiting, selecting or training employees or agents of an intelligence service,

35 (d) to disclose information which might provide details of, or cannot practicably be separated from, information falling within any of paragraphs (a) to (c), or

(e) to make a disclosure of information relating to an intelligence service which would prejudice the interests of national security.

(2) In sub-paragraph (1) “intelligence service” means –

- (a) the Security Service,
- (b) the Secret Intelligence Service, and
- (c) the Government Communications Headquarters.

(3) If A notifies the Commissioner under sub-paragraph (1) above –

(a) paragraphs 9 and 10 do not apply in relation to that part of the notice under paragraph 5 to which the notice under sub-paragraph (1) above relates,

(b) the Commissioner may apply to the tribunal established by section 65 of the Regulation of Investigatory Powers Act 2000 for an order requiring the person to take such steps as may be specified in the order to comply with the notice,

(c) the following provisions of that Act are to apply in relation to proceedings under this paragraph as they apply in relation to proceedings under that Act (with any necessary modifications) –

- (i) section 67(7), (8) and (10) to (12) (determination),
- (ii) section 68 (procedure), and
- (iii) section 69 (rules), and

(d) the tribunal established by section 65 of that Act must determine proceedings under this paragraph by considering the opinion of A in accordance with the principles that would be applied by a court on an application for judicial review of the giving of the notice.

(4) Where the Commissioner receives information or documents from or relating to an intelligence service in response to a notice under paragraph 5, the Commissioner must store and use the information or documents in accordance with any arrangements specified by the Secretary of State.

### *Appeals*

9 A may apply to the Tribunal to have the notice under paragraph 5 cancelled on the grounds that a requirement imposed by the notice is –

- (a) unnecessary having regard to the purpose of the investigation, or
- (b) otherwise unreasonable or disproportionate.

10 A may apply to the Tribunal to have the notice under paragraph 5 cancelled on the grounds that the requirement imposed by the notice is undesirable for reasons of national security, other than for the reason that it would require a disclosure of a kind to which paragraph 8(1) applies.

*Enforcement*

- 11 (1) This paragraph applies where the Commissioner thinks that A –
- (a) has failed without reasonable excuse to comply with a notice under paragraph 5, or
  - 5 (b) is likely to fail without reasonable excuse to comply with a notice under paragraph 5.
- (2) The Commissioner may apply to a county court for an order requiring A to take such steps as may be specified in the order to comply with the notice.

## PART 3

10

## POWER OF ENTRY AND INSPECTION

*Power of entry and inspection*

- 12 (1) The Commissioner, or any person authorised by the Commissioner, may enter and inspect premises if the Commissioner or the authorised person thinks that the entry and inspection is necessary for the purposes of an investigation.
- 15 (2) But that is subject to sub-paragraphs (3) and (4).
- (3) This paragraph does not authorise entry to –
- (a) a dwelling, or
  - (b) premises that are not under the control of the person being investigated.
- 20 (4) This paragraph does not authorise entry to premises at a particular time if entry at that time is unreasonable.

SCHEDULE 11  
(introduced by section 109)

THE WELSH LANGUAGE TRIBUNAL

PART 1

5 NUMBER OF MEMBERS OF THE TRIBUNAL

*Legally-qualified members*

- 1 (1) The Welsh Ministers must from time to time determine the number of legally-qualified members which the Tribunal is to have.
- (2) The Welsh Ministers must consult the President before determining that number.
- 10 (3) So far as it is practicable to do so, the Welsh Ministers must secure that the number of persons serving as legally-qualified members of the Tribunal is equal to the number determined under this paragraph.

*Lay members*

- 2 (1) The Welsh Ministers must from time to time determine the number of lay members which the Tribunal is to have.
- 15 (2) The Welsh Ministers must consult the President before determining that number.
- (3) So far as it is practicable to do so, the Welsh Ministers must secure that the number of persons serving as lay members of the Tribunal is equal to the number determined under this paragraph.

20 PART 2  
APPOINTMENT

*The President*

- 3 (1) The Welsh Ministers may appoint a person to be President only if the person satisfies –
- 25 (a) the judicial-appointment eligibility condition on a 10-year basis, and
- (b) any other conditions applicable to the appointment that are specified in appointment regulations.
- (2) But the Welsh Ministers may not appoint a person to be President if the person is –
- 30 (a) disqualified from membership of the Tribunal on grounds of employment or unsuitability, or
- (b) disqualified from appointment as President on grounds of age, previous appointment or previous dismissal.

- (3) Part 2 of the Tribunals, Courts and Enforcement Act 2007 applies for determining whether a person satisfies the judicial-appointment eligibility condition on a 10-year basis as if this paragraph were a statutory provision (within the meaning of section 50 of that Act).

5 *Legally-qualified members*

- 4 (1) The Welsh Ministers may appoint a person to be a legally-qualified member only if the person satisfies –
- (a) the judicial-appointment eligibility condition on a 5-year basis,
  - (b) any other conditions applicable to the appointment that are specified in appointment regulations.
- 10
- (2) But the Welsh Ministers may not appoint a person to be a legally-qualified member if the person is –
- (a) disqualified from membership of the Tribunal on grounds of employment or unsuitability, or
  - (b) disqualified from appointment as a legally-qualified member on grounds of age, previous appointment or previous dismissal.
- 15
- (3) Part 2 of the Tribunals, Courts and Enforcement Act 2007 applies for determining whether a person satisfies the judicial-appointment eligibility condition on a 5-year basis as if this paragraph were a statutory provision (within the meaning of section 50 of that Act).
- 20

*Lay members*

- 5 (1) The Welsh Ministers may appoint a person to be a lay member only if the person satisfies any conditions applicable to the appointment that are specified in appointment regulations.
- 25 (2) But the Welsh Ministers may not appoint a person to be a lay member if the person –
- (a) is disqualified from membership of the Tribunal on grounds of employment or unsuitability,
  - (b) is disqualified from appointment as a lay member on grounds of age, previous appointment or previous dismissal, or
  - (c) may be appointed as a legally-qualified member of the Tribunal.
- 30

*Remuneration etc*

- 6 (1) The Welsh Ministers may pay remuneration to the members of the Tribunal.
- (2) The Welsh Ministers may pay allowances (including, but not limited to, travelling and subsistence allowances) and gratuities to the members of the Tribunal.



- (3) The Welsh Ministers may pay –
- (a) pensions to, or in respect of, persons who have been members of the Tribunal, and
  - (b) amounts for or towards provision of pensions to, or in respect of, persons who have been members of the Tribunal.

*Terms of appointment*

- 7 (1) A member of the Tribunal holds office subject to the terms of his or her appointment.
- (2) But that is subject to the other provisions of this Schedule.

*Duration of appointment*

- 10 8 (1) A person appointed to be a member of the Tribunal is a member (by virtue of that appointment) for 5 years.
- (2) But, if the Welsh Ministers think it necessary or expedient, they may appoint a person to be a legally-qualified or lay member of the Tribunal for a period of less than 5 years.
- 15 (3) This paragraph is subject to Part 3 of this Schedule.

*Appointment regulations*

- 9 (1) The Welsh Ministers may, by regulations, make provision about the appointment of members of the Tribunal (“appointment regulations”).
- (2) Appointment regulations may, amongst other things, make provision about any of the following matters –
- (a) principles to be followed in making any appointment to the Tribunal;
  - (b) the knowledge of, and proficiency in, the Welsh language which the members of the Tribunal must have.
- (3) Appointment regulations may, amongst other things –
- (a) apply (with or without modifications) any code of practice that is concerned with appointments to public bodies, or
  - (b) make other provision relating to any such code.
- (4) Appointment regulations may, amongst other things, confer functions on the Welsh Ministers (as well as on any other person), including functions involving the exercise of a discretion.
- 30 (5) The Welsh Ministers must consult the President before making appointment regulations that are applicable to the appointment of members of the Tribunal (unless the regulations are applicable only to the appointment of the President).

PART 3  
END OF APPOINTMENT

*Resignation*

- 5 10 (1) The President may resign from the Tribunal if he or she gives the Welsh Ministers not less than 3 months' notice in writing of his or her intention to do so.
- (2) A legally-qualified or lay member of the Tribunal may resign from the Tribunal if he or she gives the Welsh Ministers not less than 2 months' notice in writing of his or her intention to do so.

*Disqualification from membership*

- 10 11 A person ceases to be a member of the Tribunal if the person is disqualified from membership of the Tribunal on grounds of employment or unsuitability.

*Dismissal*

- 12 (1) The Welsh Ministers may dismiss a member of the Tribunal if the Welsh Ministers are satisfied that he or she –
- 15 (a) is unfit to continue as a member of the Tribunal, or  
(b) is unable or unwilling to exercise his or her duties as a member of the Tribunal.
- (2) The Welsh Ministers must consult the President before dismissing any other member of the Tribunal.

20 PART 4

DISQUALIFICATION FROM MEMBERSHIP OR APPOINTMENT

*Disqualification from membership: employment*

- 13 (1) A person is disqualified from membership of the Tribunal on grounds of employment if the person is –
- 25 (a) a Member of Parliament;  
(b) a Member of the National Assembly for Wales;  
(c) a member of the staff of the Welsh Assembly Government;  
(d) a member of the staff of the National Assembly for Wales Commission;  
(e) the Commissioner;
- 30 (f) the Deputy Commissioner;  
(g) any other member of the staff of the Commissioner; or  
(h) the husband or wife or civil partner of a person falling within paragraph (e), (f) or (g).

*Disqualification from membership: unsuitability*

14 (1) A person is disqualified from membership of the Tribunal on grounds of unsuitability if the person –

(a) has been adjudged bankrupt and remains bankrupt;

5 (aa) has been granted a debt relief order (within the meaning of Part VIIA of the Insolvency Act 1986), and the moratorium period under that order is continuing;

(b) has made an arrangement with his or her creditors and the arrangement remains in force;

10 (c) has been convicted in the United Kingdom, the Channel Islands or the Isle of Man of any offence and a sentence of imprisonment (whether suspended or not) for a period of not less than three months without the option of a fine has been imposed;

15 (d) is disqualified from being a member of a county borough council or county council in Wales; or

(e) is disqualified from being a director of a company.

(2) For the purposes of sub-paragraph (1)(a) a person remains bankrupt until such time as –

(a) the person is discharged from bankruptcy, or

20 (b) the bankruptcy order made against that person is annulled.

(3) For the purposes of sub-paragraph (1)(b) an arrangement with a person's creditors remains in force until –

(a) the person pays his or her debts in full, or

25 (b) if later, the end of the period of five years beginning with the day on which the terms of the arrangement are fulfilled.

(4) If the question of whether a person is disqualified from membership of the Tribunal on grounds of unsuitability arises in relation to the appointment of the person to be a member of the Tribunal, any conviction which that person received more than five years before the date of the appointment is to be disregarded.

*Disqualification from appointment: age*

30 15 A person is disqualified from appointment as President or as a legally-qualified or lay member of the Tribunal if the person has already reached the age of 70 at the date of the appointment.

*Disqualification from appointment: previous appointment*

35 16 (1) A person is disqualified from appointment as President on grounds of previous appointment if the person has already been President for a period of 10 years or more (whether in consecutive or non-consecutive appointments).

- (2) A person is disqualified from appointment as a legally-qualified member of the Tribunal on grounds of previous appointment if the person has already been a legally-qualified member for a period of 10 years or more (whether in consecutive or non-consecutive appointments).
- 5 (3) A person is disqualified from appointment as a lay member of the Tribunal on grounds of previous appointment if the person has already been a lay member for a period of 10 years or more (whether in consecutive or non-consecutive appointments).

*Disqualification from appointment: previous dismissal from office*

- 10 17 A person is disqualified from appointment as President or as a legally-qualified or lay member of the Tribunal on grounds of previous dismissal if the Welsh Ministers have previously dismissed the person from the Tribunal under paragraph 12.

PART 5

GENERAL

15 *Interpretation*

- 18 In this Schedule “appointment regulations” means regulations made under paragraph 9.

SCHEDULE 12  
(introduced by section 137)

ABOLITION OF WELSH LANGUAGE BOARD: OTHER PROVISION

*Staff of the Board*

- 5 1 (1) The Welsh Ministers may, by order, make provision for staff of the Board to be transferred to –
- (a) the Commissioner, or
  - (b) the Welsh Ministers.

*Property, rights and liabilities of the Board*

- 10 2 (1) The Welsh Ministers may, by order, make provision about the property, rights and liabilities of the Board.
- (2) The power conferred by sub-paragraph (1) includes, but is not limited to, power to make provision for –
- (a) property, rights and liabilities to be transferred to –
    - 15 (i) the Commissioner, or
    - (ii) the Welsh Ministers;
  - (b) transfers of property, rights or liabilities of the Board to have effect subject to exceptions or reservations;
  - (c) transfers of property, rights or liabilities to have effect in spite of any  
20 provision (of whatever nature) which would otherwise prevent or restrict the transfer;
  - (d) the creation of interests in, or rights over, property of the Board or property transferred from the Board;
  - (e) the creation of rights and liabilities –
    - 25 (i) between the Board and the Commissioner, or
    - (ii) between the Board and the Welsh Ministers.
- (3) In this paragraph –
- “property” (“*eiddo*”) includes property situated outside the United Kingdom;
  - “rights and liabilities” (“*hawliau a rhwymedigaethau*”) includes rights and  
30 liabilities arising otherwise than under the law of England and Wales.

*Modification of 1993 Act in relation to functions transferred to Welsh Ministers*

3 If the functions conferred on the Board by section 3 of the 1993 Act are transferred to  
the Welsh Ministers (whether instead of, or in addition to, the functions being  
transferred to the Commissioner), the following provisions of the 1993 Act do not  
5 apply to the functions as they are exercisable by the Welsh Ministers –

- (a) section 3(2)(a);
- (b) section 3(3) and (4);
- (c) section 4(1).

*References to the Board*

10 4 Any reference to the Board in the 1993 Act is to be construed –

- (a) so far as it relates to a function of the Board that is transferred to the  
Commissioner, as being, or including, a reference to the Commissioner; and
- (b) so far as it relates to a function of the Board that is transferred to the Welsh  
Ministers, as being, or including, a reference to the Welsh Ministers.

*Continuation of legal proceedings, validity of acts etc*

15 5 (1) Anything (including legal proceedings) which relates to –

- (a) a transferred function, or
- (b) transferred property, rights or liabilities,

and which is in the process of being done by, or in relation to, the Board immediately  
20 before the transfer time may be continued by, or in relation to, the transferee.

(2) Anything which was done by, or in relation to, the Board for the purpose of, or in  
connection with –

- (a) a transferred function, or
- (b) transferred property, rights or liabilities,

25 and which is in effect immediately before the transfer time, has effect after the  
transfer as if done by, or in relation to, the transferee.

(3) In any instruments, contracts or legal proceedings which relate to –

- (a) a transferred function, or
- (b) transferred property, rights or liabilities,

30 and which are made or commenced before the transfer time, the transferee is  
substituted for the Board.

(4) This paragraph does not apply in relation to rights and liabilities under a contract of  
employment of a member of the staff of the Board.

(5) In this paragraph –

“transfer time” (“*adeg y trosglwyddo*”), in relation to a transferred function, or transferred property, rights or liabilities, means the time of the transfer of the function, or property, rights or liabilities;

5 “transferred function” (“*swyddogaeth a drosglwyddwyd*” ) means a function of the Board transferred to the Commissioner or Welsh Ministers under this Measure;

10 “transferred property, rights or liabilities” (“*eiddo, hawliau neu rwymedigaethau a drosglwyddwyd*”) means property, rights or liabilities of the Board transferred to the Commissioner or Welsh Ministers under this Measure.

#### *Interpretation*

6 In this Schedule –

“1993 Act” (“*Deddf 1993*”) has the meaning given in section 138(5);

“Board” (“*y Bwrdd*”) has the meaning given in section 138(5).